

University of Pennsylvania  
THE MOORE SCHOOL OF ELECTRICAL ENGINEERING

55

PROBLEMS IN PROTECTION OF INFORMATION  
IN A MULTIUSER ON-LINE SYSTEM

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Abstract

The file system for the Moore School Problem Solving Facility of the University of Pennsylvania provides multiple files for various users and allows users to store, retrieve and update information in the files.

The working environment when using the file system is on-line, multiple-access and real time. In this kind of environment there exists problems which do not arise in a batch process or in a single-access on-line environment.

One of the problems that arises in using a file system in such an environment is that whenever a user makes changes in a particular file, he may cause other users, who are simultaneously accessing the same file to obtain erroneous information.

The objective of this thesis is to provide a solution to the above problem.

Master of Science in Engineering

(for graduate work in Computer and Information Sciences)

May, 1968

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## CHAPTER 1

### INTRODUCTION

A computer system known as Moore School Problem Solving Facility (MSPSF)<sup>(1)</sup> has been designed and implemented using a central computer with a large memory, a smaller computer for input/output, and consoles for man-machine communication. The system consists of three parts:

- a) An information storage and retrieval component, capable of storing large amounts of information including data and programs.
- b) An Executive program that permits several users to communicate with the computer at the same time, sequencing input, execution, and output to provide real-time processing.
- c) An Executive Language enabling a user to communicate requests for information and for program execution to the system.

Figure 1.1 shows the equipment used in the problem solving facility.

A file system has been added to the MSPSF which can serve not only as a repository for files of public, semiprivate and private information but also can provide users with functions for creating, searching, updating, reorganizing and purging files as well as automatically allocating storage and generating information of the files.

The following problem arises: In such an environment when a user of the file system is updating or reorganizing and purging of files, the changes may cause other users, who are accessing the same file at that time, to obtain erroneous information.

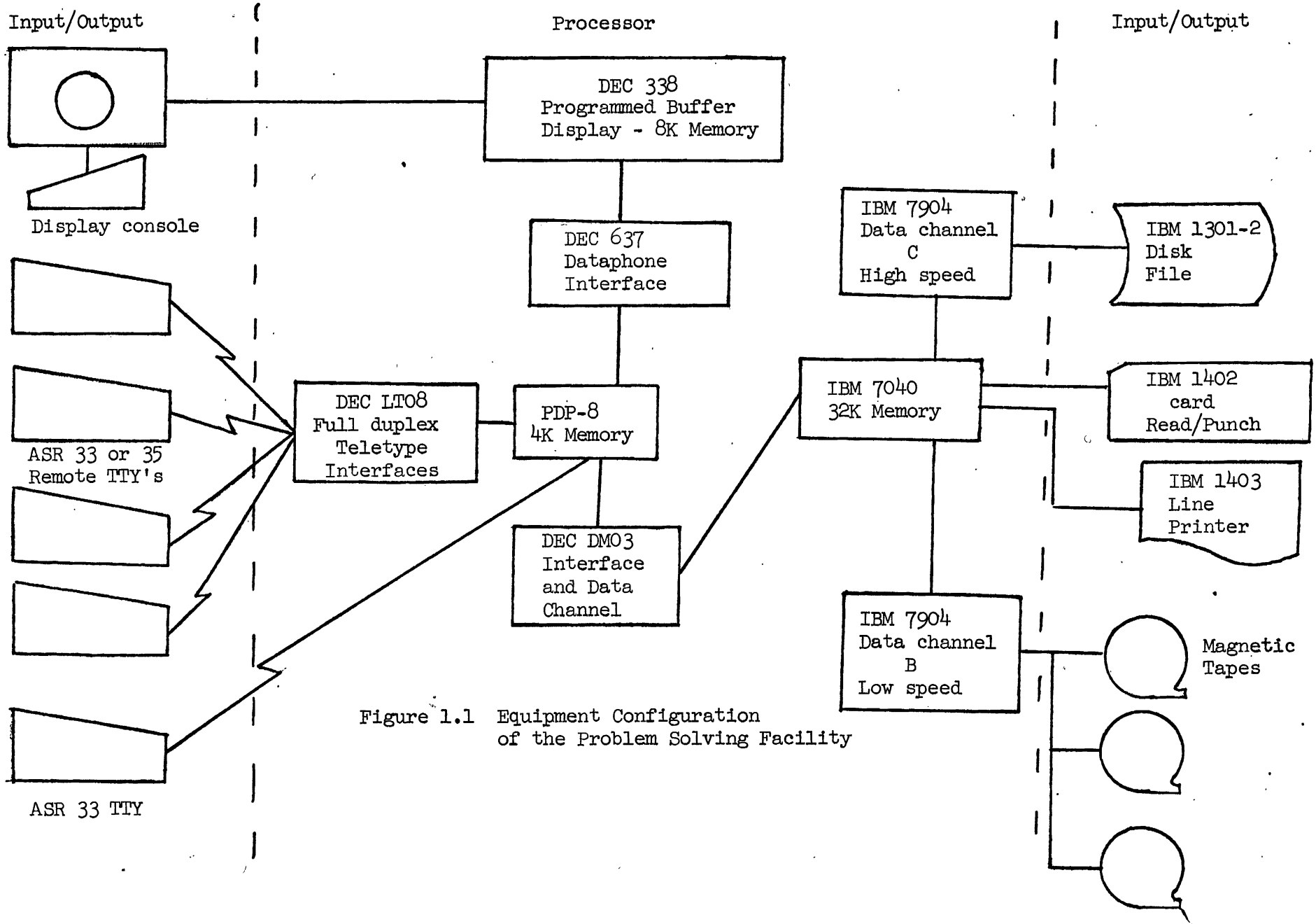


Figure 1.1 Equipment Configuration of the Problem Solving Facility

One of the possible solutions that we devised was the following: provide the file system with a function which will enable a user to temporarily block others, otherwise eligible users, from accessing a file. Also, add to the file system another function which allows a user to unblock files which he previously blocked.

The Block and Unblock functions were designed taking into consideration that the system is an on-line, time sharing a number of users. The user can request the execution of Block or Unblock thru Multilang statements - namely through use of the same high level system language used for other system functions.



## CHAPTER 2

### DESCRIPTION OF THE PROTECTING FEATURES

#### 2.1 Interface Function With An On-Line System

The systems Programs within the MSPSF are divided into two main subsystems: the Executive System and the Information Storage and Retrieval System (SRS). The former accepts statements in an Executive language, MULTILANG, in the format shown below:

```
XFILES OPERATION/OPERAND1/OP2/etc.
```

one pair

The operation is a description of the program to be executed (in this case Block or Unblock). The operands, when the program in question is Block, are used in pairs where the first element of the pair may be a file name or a disjunction of file names, the second one contains the information as to whether the user wants to block the entire file or only a portion (subset) of it.

When the program in question is Unblock, the operands are null since the function unblocks all the files previously blocked by the user requesting its execution.

Figure 2.1 shows the information flow during the processing of a MULTILANG statement. The statement is received by the Executive from the editing program of the PDP-8 and is translated to an internal format. The description of the desired 'operation' is located and the key or set of keys for retrieval are selected.<sup>(2)</sup>

The SRS program is then used to retrieve from the file system (where the Block and Unblock programs are stored) the program having the specified retrieval key, then the Executive allocates storage for it

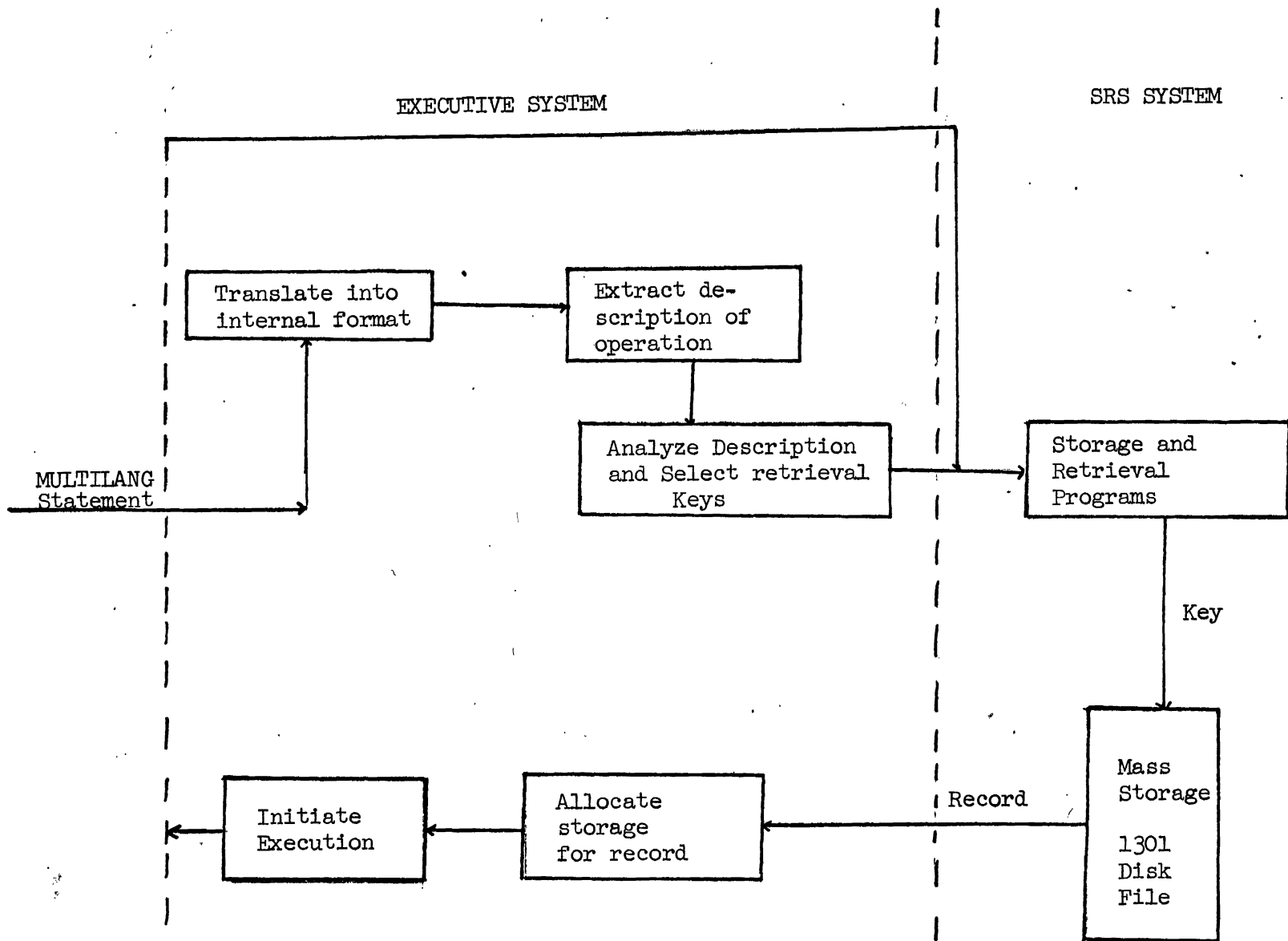


Figure 2.1 Information Flow During the Processing of a MULTILANG Statement

and initiates execution. At this point Block or Unblock has control and the function proceeds to perform the request. When this is done an appropriate set of messages is given to the user and control is passed to the system monitor.

## 2.2 Authority-Item and Log-Table Structure

At this point we introduce a little more detail about the basic units of information used by the Block and Unblock functions; these are the Authority-item and the Log-Table.

Generally speaking the authority-item contains information regarding the files which a user owns, the other files to which he has access, and the options for the use of these files.<sup>(3)</sup>

An Authority-item (see Fig. 2.2) is a block of consecutive storage locations which is divided into two sections -- the Control Section and the Data Section.

The Control Section carries the information needed to store an item initially, to retrieve it, and to restore it after it has been modified. In the Control Section of each authority-item all keys associated with it must appear. The keys are placed in alternate words beginning in word three.

The Data Section consists of two main sub-units:

- a) a block of variable length for each file name to which a user has access, where the information and its pointers regarding the files are kept;
- b) A block of variable length called the table of contents containing entries for each sub-unit of the authority-item.

An authority-item  
format specification

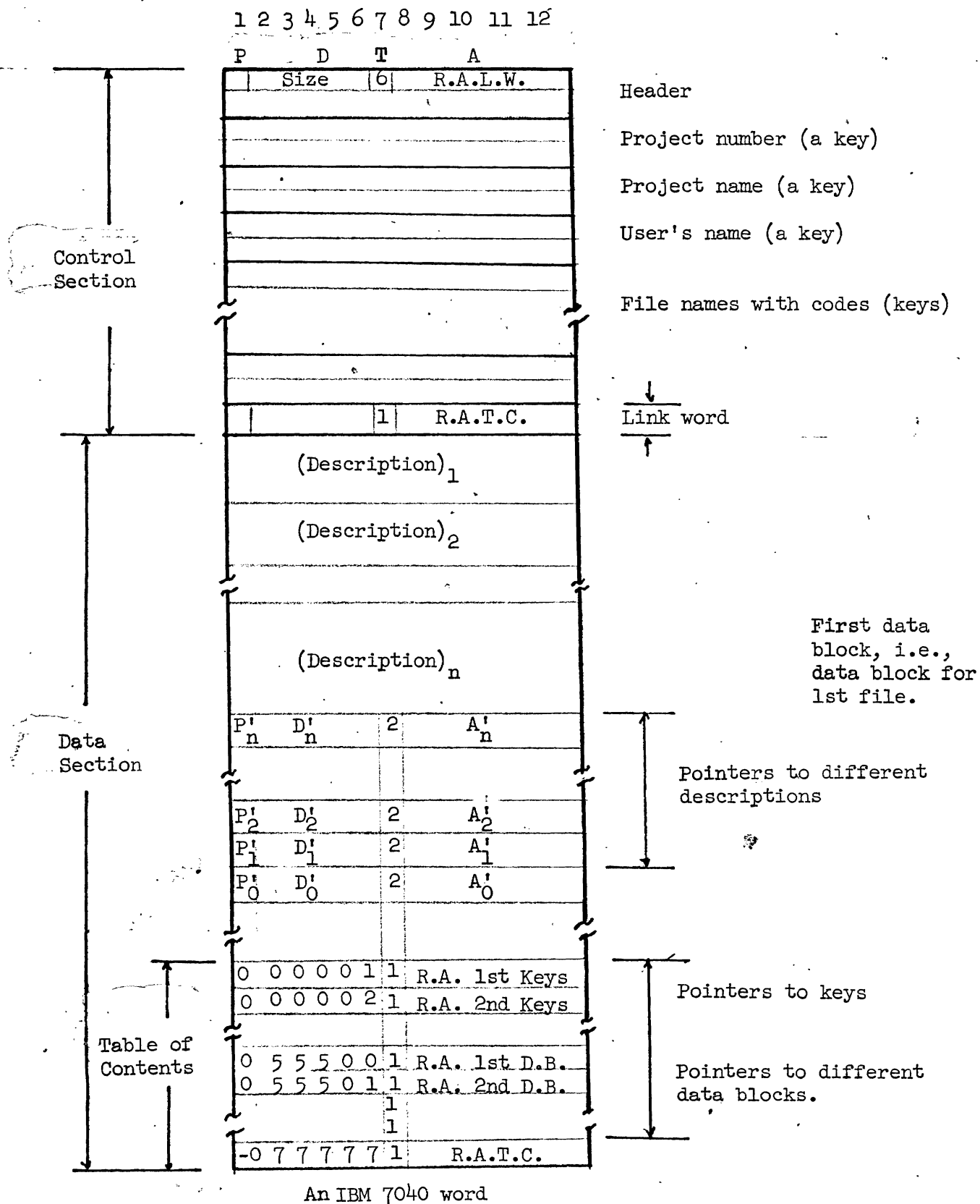


Figure 2.2

Definitions:

- Size: The number of words of the authority-item. It must be less than 463.
- R.A.L.W.: Relative address (to the item) of the link word.
- Key: Always takes two words.
- Link word: The word between keys and data portions of the item.  
Always contains the relative address (to the item) of the Table of Contents.
- R.A.T.C.: Relative address (to the item) of Table of Contents.
- Data Block: A block of words consists of descriptions and their pointers.
- Description: As far as the item is concerned, description is a number of words of variable size.
- $A_i^j$ : Relative address (to its data block) of the  $i$ -th description ( $i = 1, \dots, n$ ) in  $j$ -th data block.
- $D_i^j$ : See Fig. 2.3.
- $A_o^j$ : Relative address (to the data block) of the first pointer in  $j$ -th data block.
- $D_o^j$ : See Fig. 2.4.
- R.A.  $j$ -th D.B. (with  $55500 + j - 1$  in the decrement):  
Relative address (to the item) of the last word of the  $j$ -th data block, i.e., relative address of the word containing  $D_o^j \geq A_o^j$ .

Note: The design of the authority-item takes the consideration of the following two points:

- 1) minimize the efforts in updating pointers;
- 2) retain the same basic format as data item.

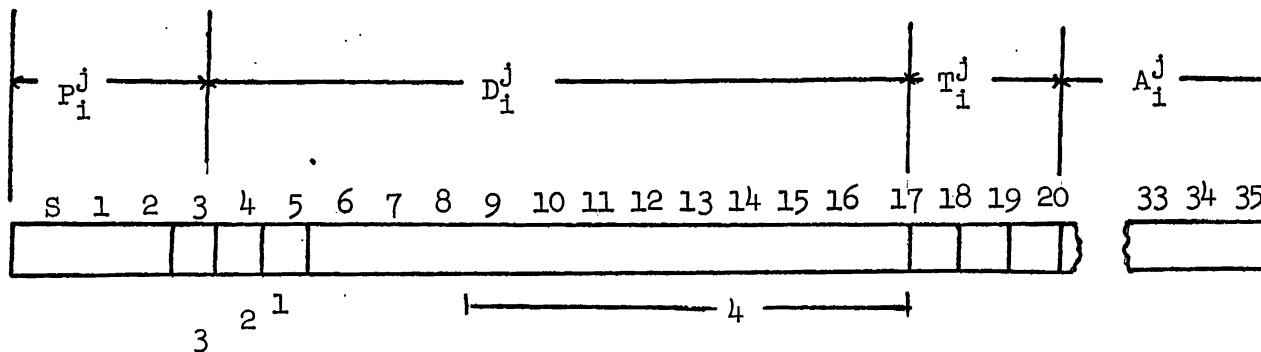


Figure 2.3 A Pointer to a Description

1. Access-bit: The description is used for allowing access to portion of the file of the  $j$ -th file.
2. BLOCK-bit: The description pointed by  $A_1^j$  is used for preventing the user from using records so described in  $j$ -th file.
3. OPEN-bit: The description pointed by  $A_1^j$  is used for opening portion of the file of the  $j$ -th accessible file.
4. When the Block-bit is set, this portion of the word is used to save the console number of the user who is being blocking  $j$ -th file.

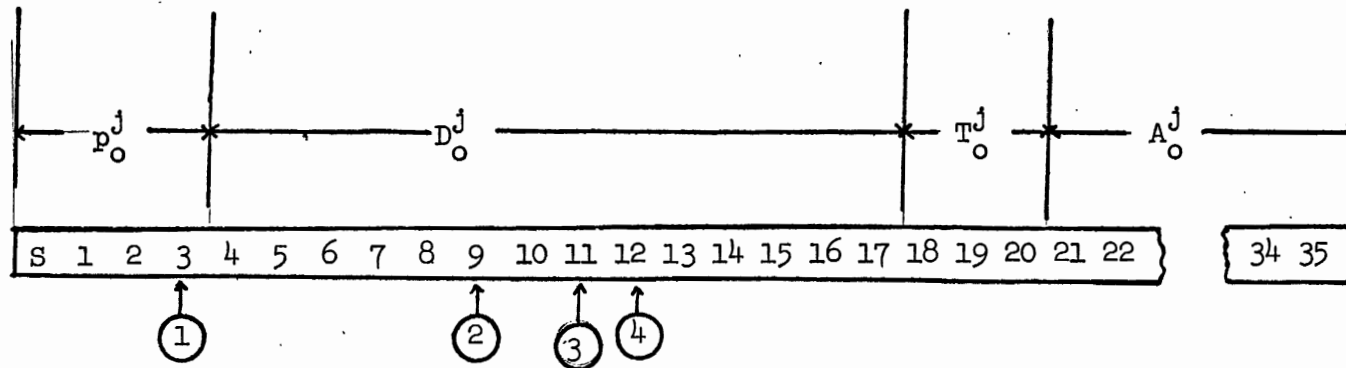


Figure 2.4 Last Word for a Data Block

- 1 OWN-bit: Ownership Option
- 2 TB-bit: Temporary-Blocking-Others Option
- 3 HTB-bit: Having-Exercised-The-TB-Option Indicator
- 4 BTB-bit: Being-Blocked-From-Using-File Indicator

$A_O^j$ : Points to the first pointer in the  $j$ -th data block. In case when there are not descriptions  $A_O^j$  points to itself, i.e., the address part of the word is zeros.

The sub-units are called elements which are referred to by an associated number in the range 0 to 32767. This number is found in the decrement portion of the word in the Table of Contents associated with the element. The address portion of this word contains the relative address of the element within the authority-item.

The Log-Table (see Fig. 2.5) was designed to keep track of who is using the File System along with their status (blocked or unblock).

Similar to an Authority-item the Log-Table is divided into two sections -- the Control Section and the Data Section.

The first four words of the Log-Table make up the Control Section. There the information needed to store the Log-Table initially, retrieve it, and restore it after modification, is maintained.

The Data Section of the Log-Table consists of several sub-units:

- a) A block of 20 consecutive words immediately after the link word, used to keep the user 'identification', 4 words per user (we need 20 words since at the moment the File system can handle a maximum of 5 consoles or terminals).

- The first 4 words within the block correspond to a user using console No. 5, the next four to a user using No. 4, etc.
- b) A block of 6 words immediately after the one used for user identification. The first word of this block carries the maximum number of users allowed for the file system, at this point 5. The remaining five words are used to keep the status of the users (as to whether they have been blocked or not). This is done in the following way: the decrement part of the word (the bits 3-17 which give room for 5 octal characters) and the 5 words make a square array of 5x5. Here the following con-



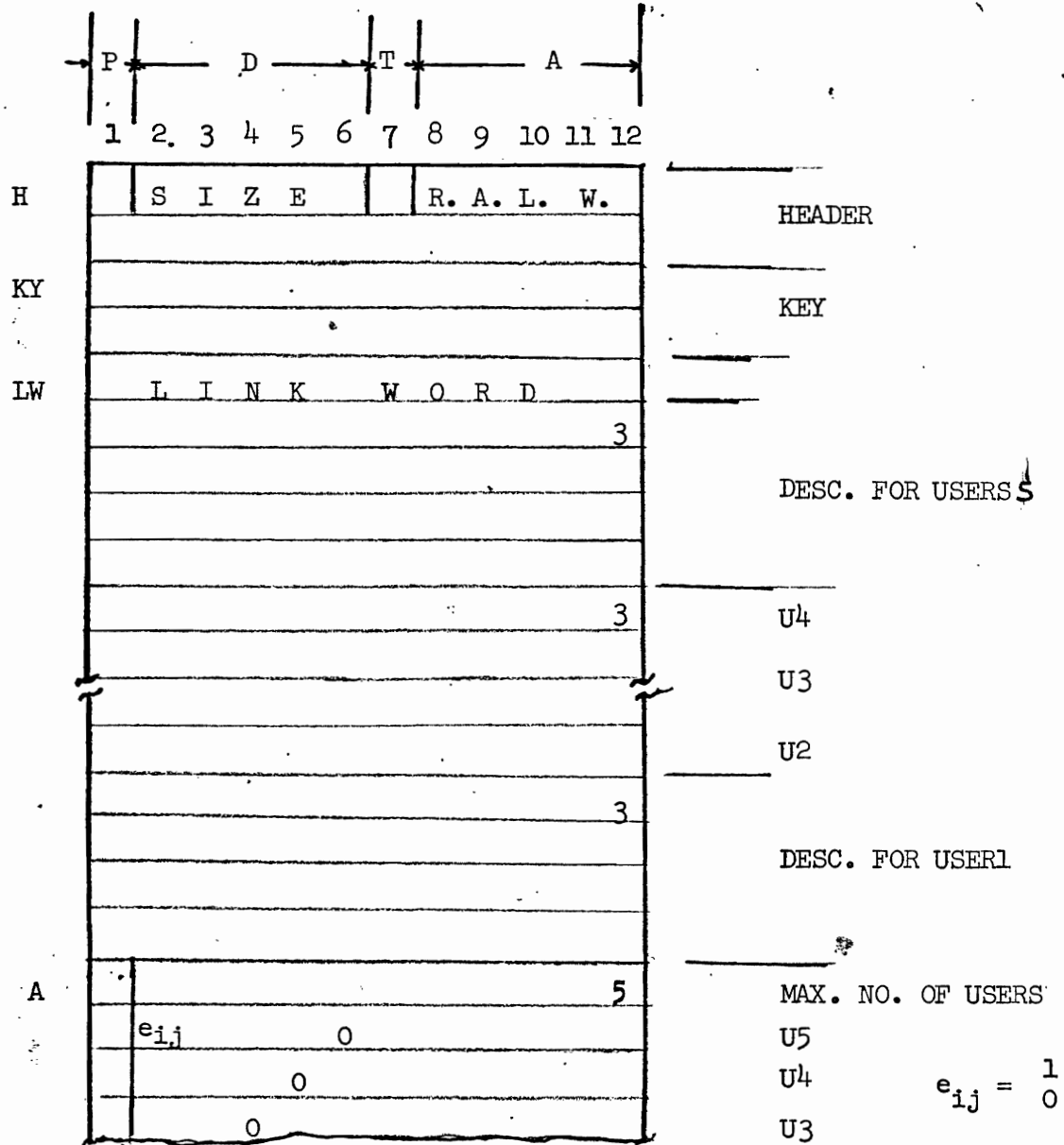


Figure 2.5 Log-Table Format Specification

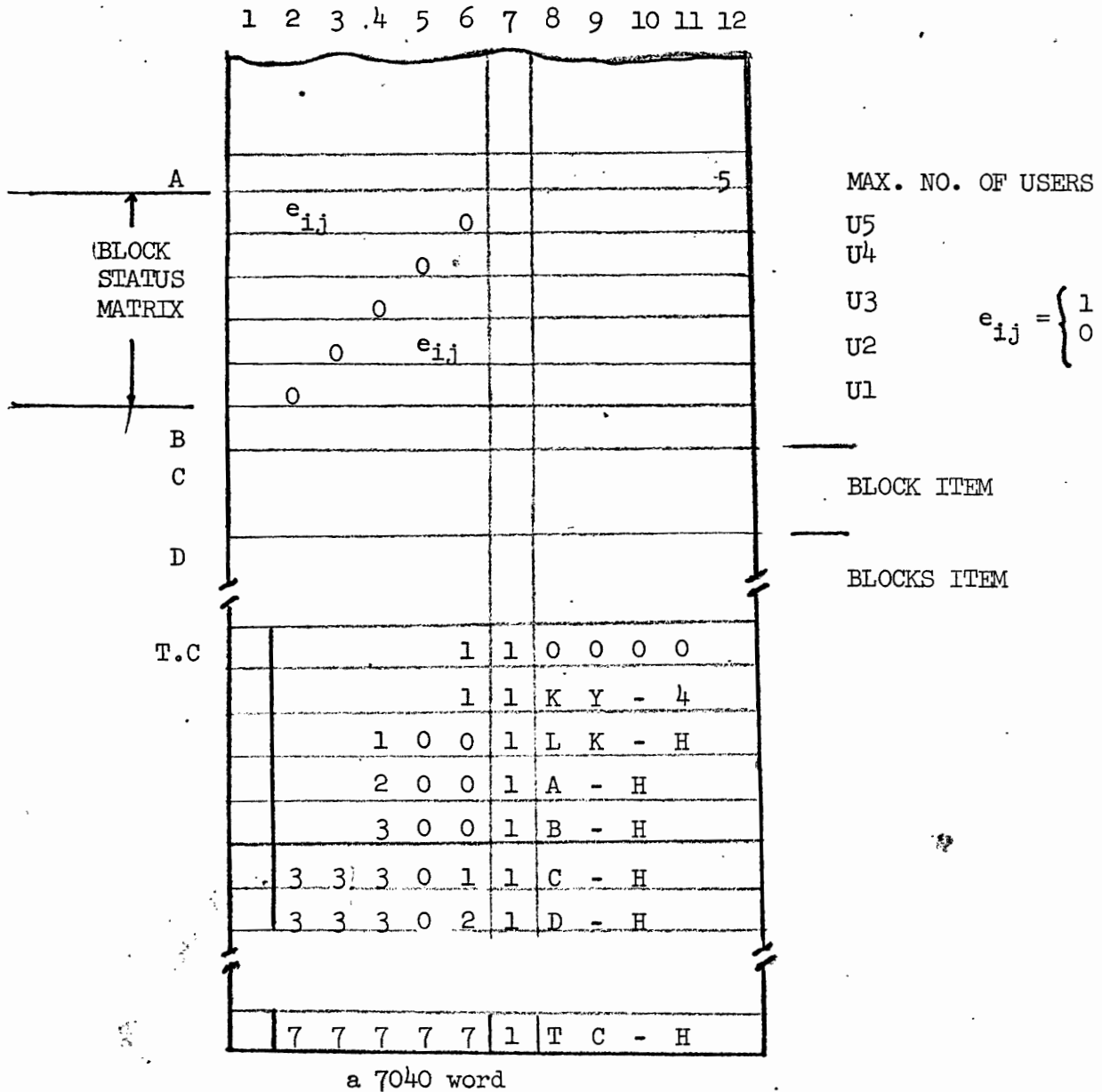


Figure 2.5 Log-Table Format Specification  
(continued)

vention was adopted, if a  $e_{ij}$  is 1 then the user using console  $i$  was blocked by the user using console  $j$ , where  $i \in \{1,2,3,4,5\}$  and  $j \in \{1,2,3,4,5\}$ .

- c) One block of variable length (for each user who requested the use of the Block function), where a copy of the translated Multilang statement is kept.
- d) A block of variable length called the Table of Contents containing entries for each sub-unit in the Log-Table.

Figure 2.6 shows how an authority-item looks in core, in this example the user's name is RAMIREZ, the Project name is MULTILIST and the Project number is 146077. The user owns 6 files and the right to block any of them.

Figure 2.7 shows how the Log-Table looks in core when 3 users are using the file system. They are HSIAO, RAMIREZ and FLINT using CONSOLES number 5, 4 and 3 respectively. At this point none of the users have blocked any of the files.

### 2.3 The Blocking Function in Operation

When a user requests the blocking of a file, the only indication that has to be made, as far as his authority-item is concerned, is in the last word of the data block corresponding to the file. There the HTPB bit is set to 1 indicating that he has temporarily blocked other users.

Referring to Fig. 2.6 suppose that this user blocks the MINSKY file to others, therefore the last word after this is done looks like:

|        |              |                     |
|--------|--------------|---------------------|
| before | 043000200000 |                     |
|        |              | MINSKY'S Data Block |
| after  | 043200200000 |                     |
|        | ↑            |                     |

On the other hand the information inserted in the Authority-Item of the other users using the system is as follows:

|    |              |                       |
|----|--------------|-----------------------|
| 0  | 000073000040 | 'HEADER'              |
| 1  | 000005000233 |                       |
| 2  | 005121443151 | USER NAME RAMIR       |
| 3  | 000002000225 |                       |
| 4  | 004464436331 | PROJ. NAME MULTI      |
| 5  | 000004000233 |                       |
| 6  | 000104060007 | PROJ. NUMBER 14607(7) |
| 7  | 000004000233 |                       |
| 10 | 000103080207 | PROJ. NO. 13827       |
| 11 | 000004000233 |                       |
| 12 | 000000010601 | PROJ. NO. 00161       |
| 13 | 000004000233 |                       |
| 14 | 000000510107 | BIN NUMBER R17        |
| 15 | 000004000233 |                       |
| 16 | 000000107051 | BIN NUMBER 17R        |
| 17 | 000004000233 |                       |
| 20 | 000047642243 | FILE 00PUBL           |
| 21 | 000004000233 |                       |
| 22 | 000100627062 | FILE 01OSYS           |
| 23 | 000004000233 |                       |
| 24 | 000244314562 | FILE 02MINS           |
| 25 | 000004000233 |                       |
| 26 | 000362252162 | FILE 03SEAS           |
| 27 | 000004000233 |                       |
| 30 | 000444252431 | FILE 04MEDI           |
| 31 | 000004000233 |                       |
| 32 | 000547307062 | FILE 05PHYS           |
| 33 | 000004000233 |                       |
| 34 | 000000100052 | LINK WORD             |

Figure 2.6 An Authority-Item As It Looks in Core

|    |               |                              |
|----|---------------|------------------------------|
| 35 | -112144315125 | RAMIRE                       |
| 36 | -316060606060 | Z                            |
| 37 | -046443633143 | MULTIL                       |
| 40 | 316263606060  | IST                          |
| 41 | 010406000707  | 146077                       |
| 42 | 010308020707  | 138277                       |
| 43 | 000001060103  | 001613                       |
| 44 | 043000200000  | 00PUBL's Data Block          |
| 45 | 043000200000  | 01OSYS's Data Block          |
| 46 | 043000200000  | 02MINS's Data Block          |
| 47 | 043000200000  | 03SEAS's Data Block          |
| 50 | 043000200000  | 04MEDI's Data Block          |
| 51 | 043000200000  | 05PHYS's Data Block          |
| 52 | 100001100002  | Relative address of 1st KEY  |
| 53 | 100002100020  | " " " 1st FILE NAME          |
| 54 | 100101100034  | " " " Link word              |
| 55 | 100102100035  | " " " extension of the Key   |
| 56 | 100103100036  | " " " " " " "                |
| 57 | 100104100037  | " " " " " " "                |
| 60 | 100105100040  | " " " " " " "                |
| 61 | 100106100041  | " " " " " " "                |
| 62 | 100107100042  | " " " " " " "                |
| 63 | 100110100043  | " " " " " " "                |
| 64 | 155500100044  | Pointer to 1st data block    |
| 65 | 155501100045  | " " 2nd " "                  |
| 66 | 155502100046  | " " 3rd " "                  |
| 67 | 155503100047  | " " 4th " "                  |
| 70 | 155504100050  | " " 5th " "                  |
| 71 | 155505100051  | " " 6th " "                  |
| 72 | -077777100052 | Pointer to Table of Contents |

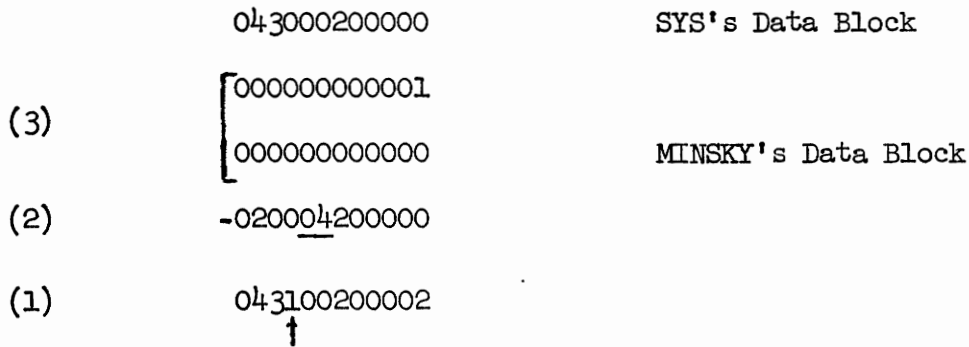
Figure 2.6 An Authority-Item As It Looks in Core  
(continued)

|    |                |                   |            |
|----|----------------|-------------------|------------|
| 0  | 000047700006   | Header            |            |
| 1  | 000012000170   |                   |            |
| 2  | 000000434627   | LOG               |            |
| 3  | 000000000000   |                   |            |
| 4  | 006321224325   | TABLE             |            |
| 5  | 000000000000   |                   |            |
| 6  | 000001000042   | LINK WORD         |            |
| 7  | 000000000003   |                   | TERMINAL 5 |
| 10 | 003062312146   | HSIAO             |            |
| 11 | 000103080207   | 13827             |            |
| 12 | 004464436331   | MULTI             |            |
| 13 | 000000000003   |                   | TERMINAL 4 |
| 14 | 005121443151   | RAMIR             |            |
| 15 | 000104060707   | 14607             |            |
| 16 | 004464436331   | MULTI             |            |
| 17 | 000000000003   |                   | TERMINAL 3 |
| 20 | 002643314563   | FLINT             |            |
| 21 | 000000010601   | 00161             |            |
| 22 | 004464436331   | MULTI             |            |
| 23 | -000000000003  |                   | TERMINAL 2 |
| 24 | 000000000000   |                   |            |
| 25 | 000000000000   |                   |            |
| 26 | 000000000000   |                   |            |
| 27 | -000000000003  |                   | TERMINAL 1 |
| 30 | 000000000000   |                   |            |
| 31 | 000000000000   |                   |            |
| 32 | 000000000000   |                   |            |
| 33 | 000000000005   | MAX. NO. OF USERS |            |
| 34 | 000000000000   | U5                |            |
| 35 | 000000000000   | U4                |            |
| 36 | 000000000000   | U3                |            |
| 37 | 000000000000   | U2                |            |
| 40 | 000000000000   | U1                |            |
| 41 | 000000000000   |                   |            |
| 42 | 000001100002   |                   |            |
| 43 | 000100100006   |                   |            |
| 44 | 000200100033   |                   |            |
| 45 | 000300100041   |                   |            |
| 46 | -0777777100042 |                   |            |

Figure 2.7 A Log-Table As It Looks in Core

- a) The BTB bit is set to 1 in the last word data block corresponding to Minsky file.
- b) The necessary information to indicate that the Minsky file has been completely blocked is inserted in the MINSKY data block.
- c) Next, the console number of the user who made the request is entered in the pointer to the blocking description and the BLOCK and Validity bits are set to 1.
- d) The final step is the updating of all necessary points in such authority-items.

The figure below shows the modification:



- (1) Indicates that the file corresponding to this data block has been blocked.
- (2) Is the pointer to the blocking description where the validity and block bits are set to 1 and also entered the console number (04) of user who blocked the file.
- (3) Is the blocking description, in this case indicates that the whole file is blocked.

The modifications made in the Log-Table are the following:

- a) In row 2 of the Block Status matrix (i.e., the corresponding to user at console No. 4) bits 12 and 18 are set to one to indicate that users 3 and 5 have been blocked by user 4.

- b) A copy of the translated Multilang statement is stored in Log-Table and a pointer to this request is added in the Table of Contents (suppose just for the sake of simplicity the size of this block is 10 octal words).
- c) Finally all necessary updatings are performed.

|  |                   |     |
|--|-------------------|-----|
| 000000000003                                   | Max. no. of users |     |
| 000000000000                                   | U5                |     |
| 000101000000                                   | U4                | (a) |
| same as before                                 |                   |     |
| 000000000000                                   |                   |     |
| copy of<br>translated MULTI-<br>LANG statement | 10 octal words    | (b) |
| same as before                                 |                   |     |
| 000300100041                                   |                   |     |
| 033304100042                                   |                   | (c) |
| -077777100053                                  |                   |     |



## CHAPTER 3

### FUNCTIONAL DESCRIPTIONS WITH EXAMPLES AND DEMONSTRATIONS

#### 3.1 The Block Function

After a user (henceforth called the current user) has asked for the Block function through a Multilang statement and the system monitor has released control to the block function, the request is analyzed in order to see if it is well formed (i.e., as far as the syntax of the Executive language is concerned). Then the user's authority-item is retrieved from disk into core and a series of checks is performed. This checking consists of determining whether or not the files which he has requested be blocked exist in his authority-item, and if he has the privilege of blocking such files and finally if he himself has not been blocked by others from the use of some of these files. If one of these test results is positive, an appropriate message is given to the user.

The next step is the setting of information in the corresponding pointers to the files being blocked to indicate that the blocking is taking effect. Then the user's authority-item is restored to disk.

After this is done, the Block function proceeds to investigate which other users are presently using the file system. This is achieved by retrieving the Log-Table where such information is kept. By searching thru it, the Block function gets the information necessary to retrieve the authority-items of the other users, one by one. A test is done in order to see if each of these users has access to the files in question, if that is the case, the blocking is performed by inserting into his authority-item the blocking description and putting it back into disk. As a final step a copy of the Translated request (i.e., the Multilang statement) is stored into the Log-Table under the entry point corresponding

to the current user. Also, the corresponding bit is set up in the 'Block Status Matrix' to indicate that the user in question is being blocked by the current user.

The Block function is called by the system monitor whenever a new user enters the system, since some of the files to which he has access may previously have been blocked by other users.

The Block function searches thru the Table of Contents in the Log-Table for pointers to copies of translated Multilang "Block" statements entered by other users. Each one found is made effective with regard to the new user.

The entry to the Block program depends upon whether it is called by a user or by the system monitor. This is so because when the system monitor calls on Block there is no need to translate and copy a Multilang statement, nor to give any of the eligibility messages.

### 3.2 The Unblock Function

The Unblock function provides the user with the ability to unblock files that he previously blocked.

The unblocking of files previously blocked by a user (henceforth called current user) takes place in the following way:

After a user has asked for the Unblock function through a Multilang statement and the syntax of the request has been checked, his authority-item is retrieved, a search for files blocked by him is performed and if there are any the information is masked out and his authority-item re-stored to disk.

Otherwise, an appropriate message is given and control is passed to the system Monitor.

The next step performed is the retrieval of the Log-Table in order to find out which users were blocked by the current user. With this information in hand the authority-item of such a user is retrieved from disk and all the blocking information entered by the current user is deleted.

The user's authority-item is then restored to core. This procedure is carried on for each user who was blocked by the current user.

The Unblock function is called by the system monitor when a user leaves (i.e., 'signs-out') to delete from his authority-item any blocking descriptions entered there by other users. The monitor also calls on Unblock to unblock files which the current user previously blocked and has neglected to set free.

### 3.3 Demonstration

This part of the paper describes the performance of the Block and Unblock functions in various actual situations. We first give an explanation of the various messages which the system uses to communicate with the user.

To a request of the type:

```
XFILES BLOCK/F1/D1/F2/D2/etc.
```

the Block function types out a group of messages depending on the status of the File system.

a) XFILES BLOCK/F1/D1/F2/D2/etc.

```
END OF MESSAGES.
```

This indicates that the Block Command was satisfactorily executed.

b) XFILES BLOCK/F1/D1/F2/D2/etc.

```
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF THE FILE...F1
```

```
END OF MESSAGES.
```

This indicates that all the files with the exception of F1 have been blocked as requested.

c) XFILES BLOCK/F1/D1/F2/D2/etc.

USER DOES NOT HAVE THE RIGHT TO BLOCK THIS FILE

F2

END OF MESSAGES.

This message differs from b in that it indicates the non-availability of F2 to the user, and the whole request is ignored.

Let us now consider the messages typed out by the Unblock function.

After a user has typed in

XFILES UNBLOCK

a) XFILES UNBLOCK

XFILES UNBLOCK HAS BEEN EXECUTED...

END OF MESSAGES.

The message is self-explanatory.

b) XFILES UNBLOCK

ALL FILES THAT USER WANTS TO

UNBLOCK HAVE NOT BLOCKED BY HIM

END OF MESSAGES.

This message too is self-explanatory.

Case 1: (see Page 25 )

Here we examine the simplest case - that of two users, one at terminal A and the other at terminal B.

Suppose that both users request and receive permission to access the file system. Now if user A blocks the MINSKY file, in particular records of that file which contain the name GRAY in them, user B will be unable to access it. If user B now attempts to block the MINSKY file he cannot do so and the BLOCK function indicates this by the message.

```
XFILES BLOCK/MINSKY/GRAY
```

```
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE .... MINS
```

```
BUT YOU MAY BLOCK OTHER FILES.
```

```
END OF MESSAGES.
```

Later the user at terminal A UNBLOCK'S the MINSKY file and now an attempt by B to block it will be successful.

C I@  
C O@  
A@ NO INPUT LINES  
XUSERS/RAMIREZ/MULTILIST/138277  
XFILES OPEN  
START@  
P O@  
XUSERS/RAMIREZ/MULTILIST/138277  
YOU MAY USE THE FILE SYSTEM.  
XFILES OPEN  
THE FOLLOWING FILES ARE OPENED TO YOU  
PUBL, ØSYS, MINS, SEAS, MEDI, PHYS,  
END OF MESSAGES.

Terminal A  
 $t = t_0$

C I@  
C O@  
A@ NO INPUT LINES  
COUNT/GRAY/MINSKY  
XFILES BLOCK/MINSKY/GRAY  
START@  
P O@  
COUNT/GRAY/MINSKY  
ITEM COUNT OF FILE 'MINS' = 00001  
TOTAL COUNT = 00001  
XFILES BLOCK/MINSKY/GRAY  
END OF MESSAGES.

Terminal A  
 $t = t_1$

C I@  
C O@  
A@ NO INPUT LINES  
XUSERS/FLINT/MULTILIST/138277  
START@  
P O@  
XUSERS/FLINT/MULTILIST/138277  
YOU MAY USE THE FILE SYSTEM.

Terminal B  
 $t = t_0$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES OPEN  
START@  
P O@  
XFILES OPEN  
THE FOLLOWING FILES ARE OPENED TO YOU  
PUBL, ØSYS, MINS, SEAS, MEDI, PHYS,  
END OF MESSAGES.

Terminal B  
 $t = t_1$

Terminal A

doing other jobs,  
involving files blocked  
by him.

$t = t_2$

```
C I@
C O@
A@ NO INPUT LINES
XFILES BLOCK/MINSKY/GRAY
COUNT/GRAY/MINSKY
START@
P O@
XFILES BLOCK/MINSKY/GRAY
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... MINS
BUT YOU MAY BLOCK OTHER FILES.
END OF MESSAGES.
COUNT/GRAY/MINSKY
TOTAL COUNT = 00000
```

Terminal B

$t = t_2$

```
C I@
C O@
A@ NO INPUT LINES
XFILES UNBLOCK
START@
P O@
XFILES UNBLOCK
XFILES UNBLOCK HAS BEEN EXECUTED.....
END OF MESSAGES.
```

Terminal A

$t = t_3$

```
C I@
C O@
A@ NO INPUT LINES
XFILES BLOCK/MINSKY/GRAY
COUNT/GRAY/MINSKT
START@
P O@
XFILES BLOCK/MINSKY/GRAY
END OF MESSAGES.
COUNT/GRAY/MINSKT
ITEM COUNT OF FILE 'MINS' = 00001
TOTAL COUNT = 00001
```

Terminal B

$t = t_4$

Case 2: (see page 28 )

In this case, 3 users request the use of the file system, namely RAMIREZ, HSIAO, FLINT; each one blocks the others from use of a file.

The blocking goes as follows: RAMIREZ blocks HSIAO and FLINT from use of file MEDICAL and in particular of records of that file which contain the name TROOP. HSIAO blocks RAMIREZ and FLINT from use of the file SEAS and in particular of records containing GORN in them. Finally, FLINT blocks RAMIREZ and HSIAO from use of the file MINSKY and in particular of records containing GRAY in them.

Later the three users try to block files previously blocked by others, then the Block function indicates this by corresponding messages.

As a next step the three users using the file system call UNBLOCK in order to unblock files previously blocked by them.

Finally to show that Unblock performs properly its mission each one of the users attempts again to block the files to which they were blocked before.



FULL@ CONSOLE AVAILABLE

SIGNIN@

C I@

C O@

A@ NO INPUT LINES

XUSERS/RAMIREZ/MULTILIST/146077

START@

P O@

XUSERS/RAMIREZ/MULTILIST/146077

YOU MAY THE FILE SYSTEM.

Terminal A

t = t<sub>0</sub>

FULL@ CONSOLE AVAILABLE

SIGNIN@

C I@

C O@

A@ NO INPUT LINES

XUSERS/HSIAO/MULTILIST/138277

START@

P O@

XUSERS/HSIAO/MULTILIST/138277

YOU MAY USE THE FILE SYSTEM.

Terminal B

t = t<sub>0</sub>

FULL@ CONSOLE AVAILABLE

SIGNIN@

C I@

C O@

A@ NO INPUT LINES

XUSERS/FLINT/MULTILIST/001613

START@

P O@

XUSERS/FLINT/MULTILIST/001613

YOU MAY USE THE FILE SYSTEM.

Terminal C

t = t<sub>0</sub>

C I@  
C O@  
A@ NO INPUT LINES  
XFILES OPEN  
START@  
P O@

XFILES OPEN  
THE FOLLOWING FILES ARE OPEN TO YOU  
PUBL, OSYS, MINS, SEAS, MEDI, PHYS,  
END OF MESSAGES.

Terminal A  
 $t = t_1$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES OPEN  
START@  
P O@

XFILES OPEN  
THE FOLLOWING FILES ARE OPEN TO YOU  
PUBL, OSYS, MINS, SEAS, MEDI, PHYS,  
END OF MESSAGES.

Terminal C  
 $t = t_1$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES OPEN  
START@  
P O@

XFILES OPEN  
THE FOLLOWING FILES ARE OPEN TO YOU  
PUBL, OSYS, MINS, SEAS, MEDI, PHYS,  
END OF MESSAGES.

Terminal B  
 $t = t_1$

C I@  
C P@  
A@ NO INPUT LINES  
XFILES BLOCK/MEDICAL/TROOP  
START@  
P O@

XFILES BLOCK/MEDICAL/TROOP  
END OF MESSAGES.

Terminal A

$t = t_2$

C I@  
C O@  
AP NO INPUT LINES  
XFILES BLOCK/SEAS/GORN  
START@  
P O@

XFILES BLOCK/SEAS/GORN  
END OF MESSAGES.

Terminal B

$t = t_2$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/MINSKY/GRAY  
START@  
P O@

XFILES BLOCK/MINSKY/GRAY  
END OF MESSAGES.

Terminal C

$t = t_2$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/SEAS/GORN/MINSKY/GRAY  
START@  
P O@

XFILES BLOCK/SEAS/GORN/MINSKY/GRAY  
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... SEAS  
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... MINS  
BUT YOU MAY BLOCK OTHER FILES.  
END OF MESSAGES.

Terminal A  
t = t<sub>3</sub>

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/MEDICAL/TROOP/MINSKY/GRAY  
START@  
P O@

XFILES BLOCK/MEDICAL/TROOP/MINSKY/GRAY  
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... MEDI  
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... MINS  
BUT YOU MAY BLOCK OTHER FILES.  
END OF MESSAGES.

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/MEDICAL/TROOP/SEAS/GORN  
START@  
P O@

Terminal B  
t = t<sub>3</sub>

XFILES BLOCK/MEDICAL/TROOP/SEAS/GORN  
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... MEDI  
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... SEAS  
BUT YOU MAY BLOCK OTHER FILES.  
END OF MESSAGES.

Terminal C  
t = t<sub>3</sub>

C I@  
C O@  
A@ NO INPUT LINES  
XFILES UNBLOCK  
START@  
P O@

XFILES UNBLOCK  
XFILES UNBLOCK HAS BEEN EXECUTED.....

Terminal A  
 $t = t_4$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES UNBLOCK  
START@  
P O@

XFILES UNBLOCK  
XFILES UNBLOCK HAS BEEN EXECUTED.....

Terminal B  
 $t = t_4$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES UNBLOCK  
START@  
P O@

XFILES UNBLOCK  
XFILES UNBLOCK HAS BEEN EXECUTED.....

Terminal C  
 $t = t_4$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/SEAS/GORN  
START@  
P O@

XFILES BLOCK/SEAS/GORN  
END OF MESSAGES.

Terminal A

$t = t_5$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/MINSKY/GRAY  
START@  
P O@

XFILES BLOCK/MINSKY/GRAY  
END OF MESSAGES.

Terminal B

$t = t_5$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/MEDICAL/TROOP  
START@  
P OP

XFILES BLOCK/MEDICAL/TROOP  
END OF MESSAGES.

Terminal C

$t = t_5$

Case 3: (see page 35 )

In this case we are illustrating the situation in which a new user arrives to use the file system but at the same time other users using the system before him had blocked files to which this incoming user normally has access to.

The user who is using the system (say at  $t = 0$ ) is RAMIREZ and he has requested the Blocking of the file MEDICAL and in particular of records in that file containing TROOP in them.

At  $t = t_n$  the MEDICAL file is still blocked and the user HSIAO arrives to use the system, and since he normally has access to such file he will be blocked. Thus when he requests the use of the Block function in order to Block 'MEDICAL' the Block function gives him the corresponding message.

C I@  
C O@  
A@ NO INPUT LINES  
XUSERS/RAMIREZ/MULTILISR/138277  
START@  
P O@  
XUSERS/RAMIREZ/MULTILISR/138277  
YOU MAY USE THE FILE SYSTEM.

Terminal A

$t = t_0$

C I@  
C O@  
A@ NO INPUT LINES  
COUNT/TROOP/MEDICAL  
XFILES BLOCK/MEDICAL/TROOP  
START@  
P O@  
COUNT/TROOP/MEDICAL  
ITEM COUNT OF FILE 'MEDI' = 00001  
TOTAL COUNT = 00001  
XFILES BLOCK/MEDICAL/TROOP  
END OF MESSAGES.

Terminal B

$t = t_1$

Terminal B

Nobody is using this  
terminal at the moment.

Terminal B

$t = t_2$

SIGNIN@

C I@  
C O@  
A@ NO INPUT LINES  
XUSERS/HSIAO/MULTILIST/138277  
START@  
P O@  
XUSERS/HSIAO/MULTILIST/138277  
YOU MAY USE THE FILE SYSTEM.



User at terminal A  
doing other jobs,  
involving files blocked.  
by him.

@  
C I@  
C O@  
A@ NO INPUT LINES  
XFILES OPEN  
COUNT/GRAY/MINSKY  
START&@  
P O@  
XFILES OPEN  
THE FOLLOWING FILES ARE OPENED TO YOU  
PUBL, OSYS, MINS, SEAS, MEDI, PHYS,  
END OF MESSAGES.  
COUNT/GRAY/MINSKY  
ITEM COUNT OF FILE 'MINS' = 00001  
TOTAL COUNT = 00001

Terminal B

$t = t_3$

C I@  
C O@  
A@ NO INPUT LINES  
COUNT/TROOP/MEDICAL  
XFILES BLOCK/MEDICAL/TROOP  
STATC#  
START@  
P O@

COUNT/TROOP/MEDICAL  
TOTAL COUNT = 00000  
XFILES BLOCK/MEDICAL/TROOP  
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE... MEDI  
BUT YOU MAY BLOCK OTHER FILES.  
END OF MESSAGES.

Terminal B

$t = t_4$

Case 4: (see Page 38 )

In this case we examine the situation which arises when a user, having blocked some files leaves the system, i.e., he 'signs-out' without having requested the Unblocking of such files.

The user who leaves the system is RAMIREZ and he had previously requested the Blocking of the files MINSKY and MEDICAL. FLINT is the other user.

Later Ramirez 'SIGNS-OUT'. Then the System monitor calls on Unblock and the Unblocking of such files is automatically taken care of.

To show that the unblock of MINSKY and MEDICAL took effect the user FLINT requests the Blocking of such files and this time he is successful.

FULL@ CONSOLE AVAILABLE  
SIGNIN@  
C I@  
C O@  
A@ NO INPUT LINES  
XUSERS/RAMIREZ/MULTILISY-T/138277  
XFILES BLOCK/MINS/GRAY  
START@  
P O@  
XUSERS/RAMIREZ/MULTILIST/138277  
YOU MAY USE THE FILE SYSTEM.  
XFILES BLOCK/MINS/GRAY  
END OF MESSAGES.

Terminal A  
 $t = t_1$

C I@  
C O@  
A@ NO INPUT LINES  
COUNT/TROOP/MEDICAL  
XFILES BLOCK/MEDICAL/TROOP  
START@  
P O@  
COUNT/TROOP/MEDICAL  
ITEM COUNT OF FILE 'MEDI' = 00001  
TOTAL COUNT = 00001  
XFILES BLOCK/MEDICAL/TROOP  
END OF MESSAGES.

Terminal A  
 $t = t_2$

@FULL@ CONSOLE AVAILABLE  
SIGNIN@  
C I@ 7040 IS NOT READY  
C I@  
C I@  
C O@  
A@ NO INPUT LINES  
XUSERS/FLINT/MULTILIST/138277  
XFILES OPEN  
START@  
P O@  
XUSERS/FLINT/MULTILIST/138277  
YOU MAY USE THE FILE SYSTEM.  
XFILES OPEN  
THE FOLLOWING FILES ARE OPENED TO YOU  
PUBL, OSYS, MINS, SEAS, MEDI, PHYS,  
END OF MESSAGES.

Terminal B  
 $t = t_0$

User at terminal B doing other jobs.

User at terminal A

doing other jobs related

to files blocked by him.

```
C I@
C O@
A@ NO INPUT LINES
COUNT/TROOP/MEDICAL
XFILES BLOCK/MEDICAL/TROOP
START@
P O@
```

```
          COUNT/TROOP/MEDICAL
          TOTAL COUNT = 00000
XFILES BLOCK/MEDICAL/TROOP
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE...  MEDI
BUT YOU MAY BLOCK OTHER FILES.
END OF MESSAGES.
```

Terminal B  
at  $t = t_3$

```
C I@
C O@
A@ NO INPUT LINES
COUNT/GRAY/MINSKY
XFILES BLOCK/MINSKY/GRAY
STARTT@
P. O@
```

```
          COUNT/GRAY/MINSKY
          TOTAL COUNT = 00000
XFILES BLOCK/MINSKY/GRAY
YOU'VE BEEN BLOCKED BY OTHERS FOR THE USE OF FILE...  MINS
BUT YOU MAY BLOCK OTHER FILES.
END OF MESSAGES.
```

Terminal B at  $t = t_3$

C I@  
C O@  
A@ NO INPUT LINES  
XSIGNOUT  
START@  
P O@  
XSIGNOUT  
YOU HAVE SIGNED OUT. . .

Terminal A  
at  $t = t_4$

C I@  
C O@  
A@ NO INPUT LINES  
XFILES BLOCK/MINSKY/GRAY  
COUNT/GRAY/MINSKY  
START@  
P O@  
XFILES BLOCK/MINSKY/GRAY  
END OF MESSAGES.  
COUNT/GRAY/MINSKY  
ITEM COUNT OF FILE 'MINS' = 00001  
TOTAL COUNT = 00001

Terminal B  
at  $t = t_5$

C I@  
C O@  
A@ NO INPUT LINES  
COUNT/TROOP/MEDICAL  
XFILES BLOCK/MEDICAL/TROOP  
START@  
P O@  
COUNT/TROOP/MEDICAL  
ITEM COUNT OF FILE 'MEDI' = 00001  
TOTAL COUNT = 00001  
XFILES BLOCK/MEDICAL/TROOP  
END OF MESSAGES.

Terminal B at  $t = t_6$

## CONCLUSIONS

As stated in the introduction the aim of this paper is the design and implementation of a set of functions which will prevent several different users of an on-line, time-sharing system, from accessing the same file simultaneously. As we showed in the previous chapter, the Block and Unblock functions are capable of solving the problems arising when a user is working with a file and has requested that other users be temporarily blocked from using the file. The problem of preventing a new user from accessing a file, which he can normally access and another user has blocked, and the problem of assuring that all files, that a user has blocked before, are unblocked when he leaves the system, have been successfully solved.

It is also important to note that we took advantage of the ability of the system to grow. As a result, we were able to make the interaction of the Block and Unblock functions and the existing system simple and efficient.

REFERENCES

- (1) Wexelblat, R., "The Development and Mechanization of a Problem Solving Facility," Ph.D. Dissertation, University of Pennsylvania, December 1965.
- (2) Morton, R.P. and Wolfberg, M.S., "The Input/Output and Control System of the Moore School Problem Solving Facility," Report No. 67-30, The Moore School of Electrical Engineering, University of Pennsylvania, June 1967.
- (3) Hsiao, D., "A File System for a Problem Solving Facility," Ph.D. Dissertation, University of Pennsylvania, May 1968.

APPENDIX



Routine used for deleting the copy of the translate blocking description from Log-Table.

NAME: DELETE

INPUT: 1) Address of Log-Table  
2) Address of block's pointer where blocking desc is.

OUTPUT: DELETION of translated copy of Multilang Statement.

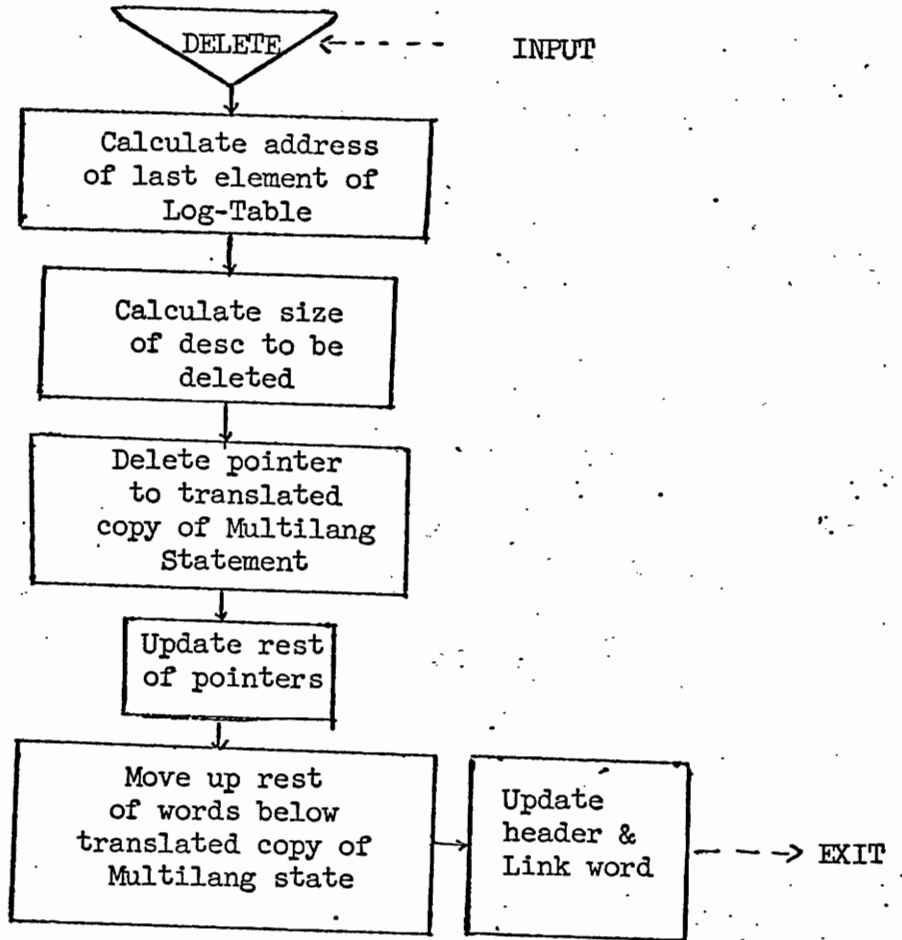


Figure A-1  
'Delete' Routine to Delete Copy of Translate Blocking Description from Log-Table

NAME: EXTRAC: Routine for extract description from data blocks in auth-items

- INPUT: 1) Comp. of auth-item's header in XRI.  
2) Comp. of address of pointer corresponding to file from where we want extrac descriptions.  
3) Rel addr (with respect to first word in data block) of pointer to description in 'ACC'  
4) Size of auth-item in 'MQ'

OUTPUT: 1) The description will be extracted and all pointers will be updated.

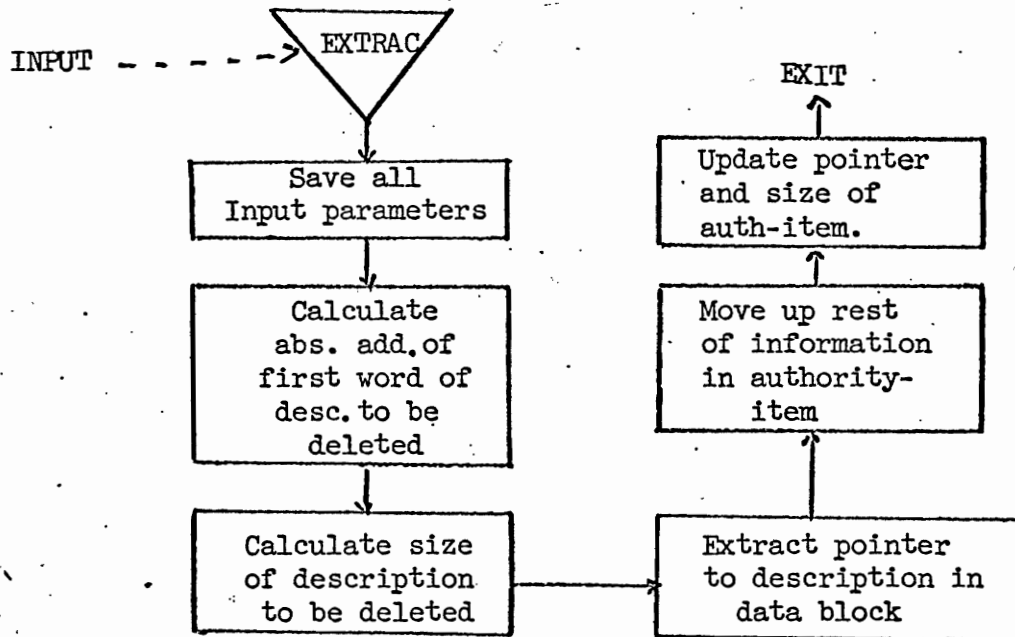


Figure A-2  
'EXTRACT' Routine to Extract Description  
from Data Blocks in Authority Item

NAME: FNFILE

INPUT: Complement of address of header of auth-item in ACC

- OUTPUT: 1) Number of files in auth-item in ACC.  
2) Rel. addr. of link word in XRL  
3) Comp. of address of f. file in XR2  
4) Comp. of address of T. of C. in XR3

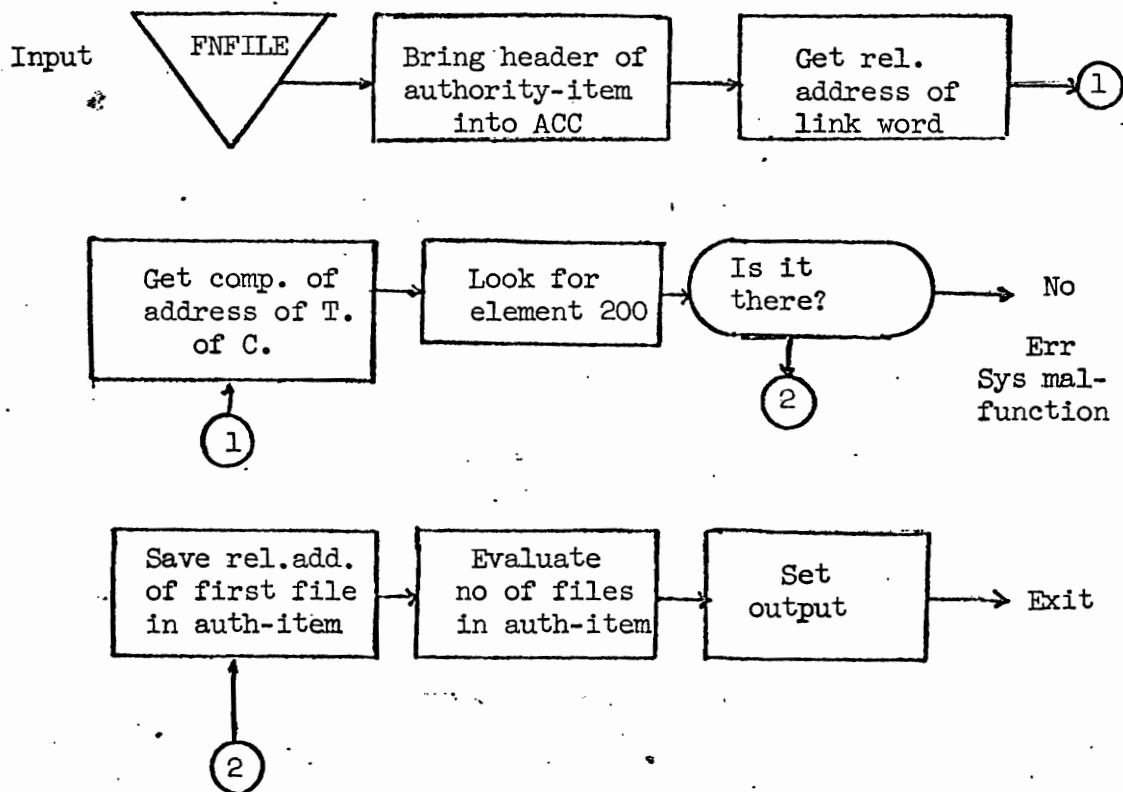


Figure A-3  
'FNFILE' Routine to Find Number of File Names  
in an Authority-Item

NAME: CNTKY: Routine for counting the number of keys in a non-formatted description.

INPUT: The complement of the address of the Description in XR1.

OUTPUT: The no. of keys in the description in XR2.

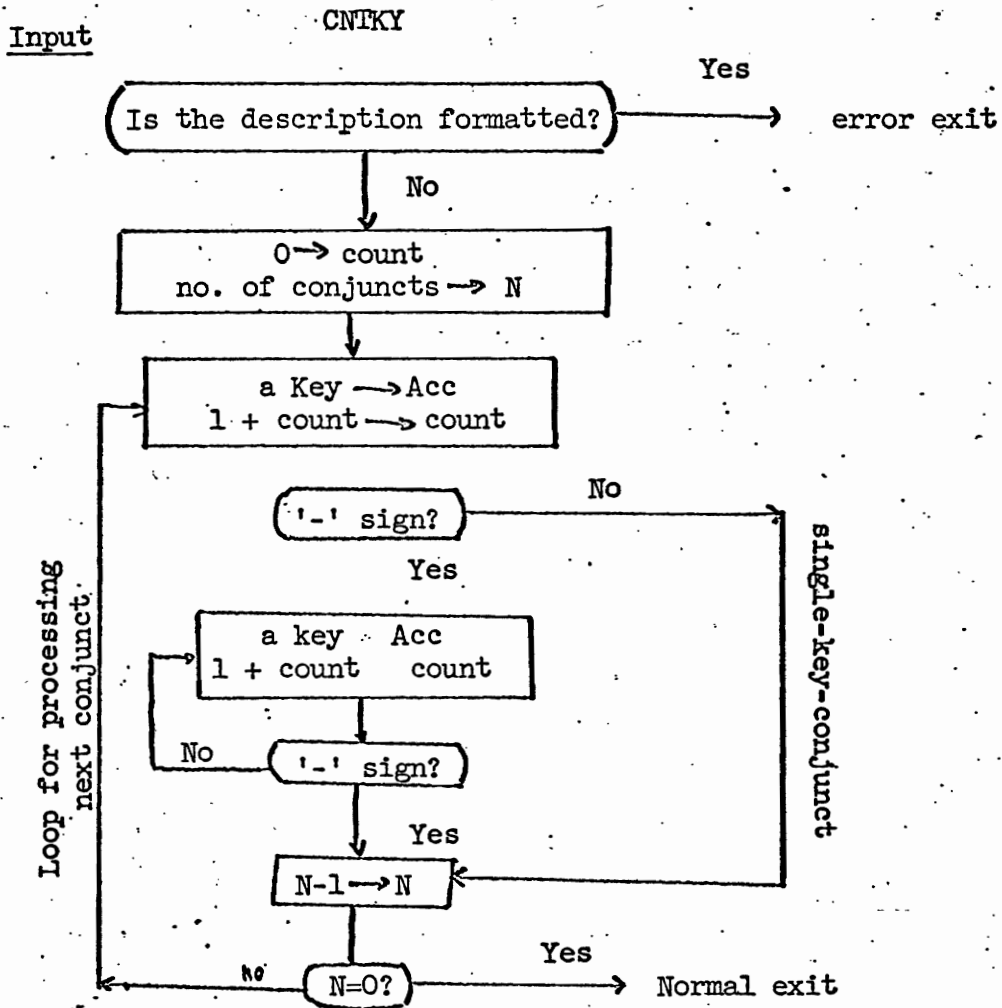


Figure A-4

'CNTKY' Routine for Counting the Number of Keys in a Non-Formatted Description

NAME: FNAME

- INPUT: 1) File name that will be compared with auth-item's f. names in MQ.  
2) No. of files names in auth-item in ACC.  
3) Comp of address of first f. name in XR1  
4) " " " " T. of C. in XR4.

- OUTPUT: 1) The "P" bit of accumulator is set to '1' if the f. name in question doesn't match with someone in auth-item.  
2) If the file name is in auth-item and its corresponding pointer is in T of C the complement of its address is put into ACC, otherwise the ACC is set to zeros.

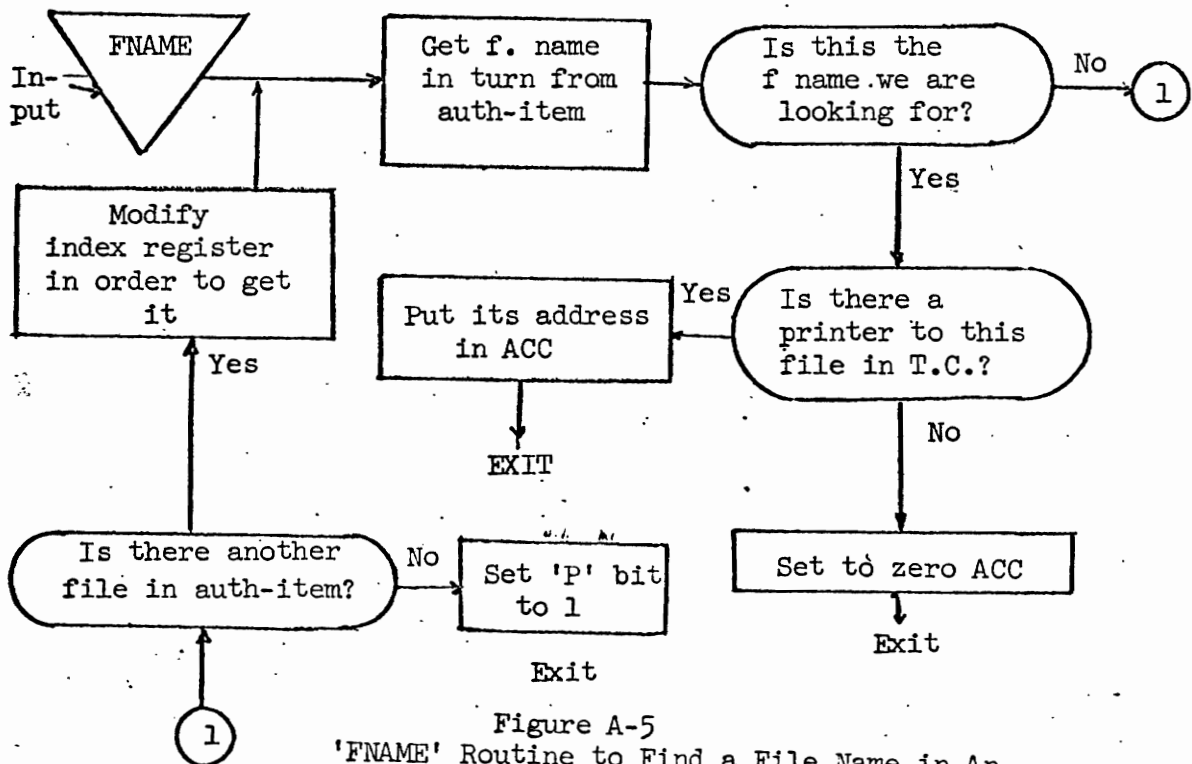


Figure A-5  
'FNAME' Routine to Find a File Name in An Authority-Item

Routine that will insert description into a data block corresponding to a given file in corresponding authority-item.

NAME: INSERT

- INPUT:
- 1) Comp. of address of authority item's header in XRI
  - 2) Address of information that will be inserted, in address part of ACC.
  - 3) Size of information to be inserted, in decrement part of ACC.
  - 4) Complement of address of pointer corresponding to data block where the information wants to go.

- OUTPUT:
- 1) This routine will update all the corresponding pointers in auth-item after the insertion has taken place.
  - 2) The comp of address of pointer corresponding to information just inserted will be put in ACC.

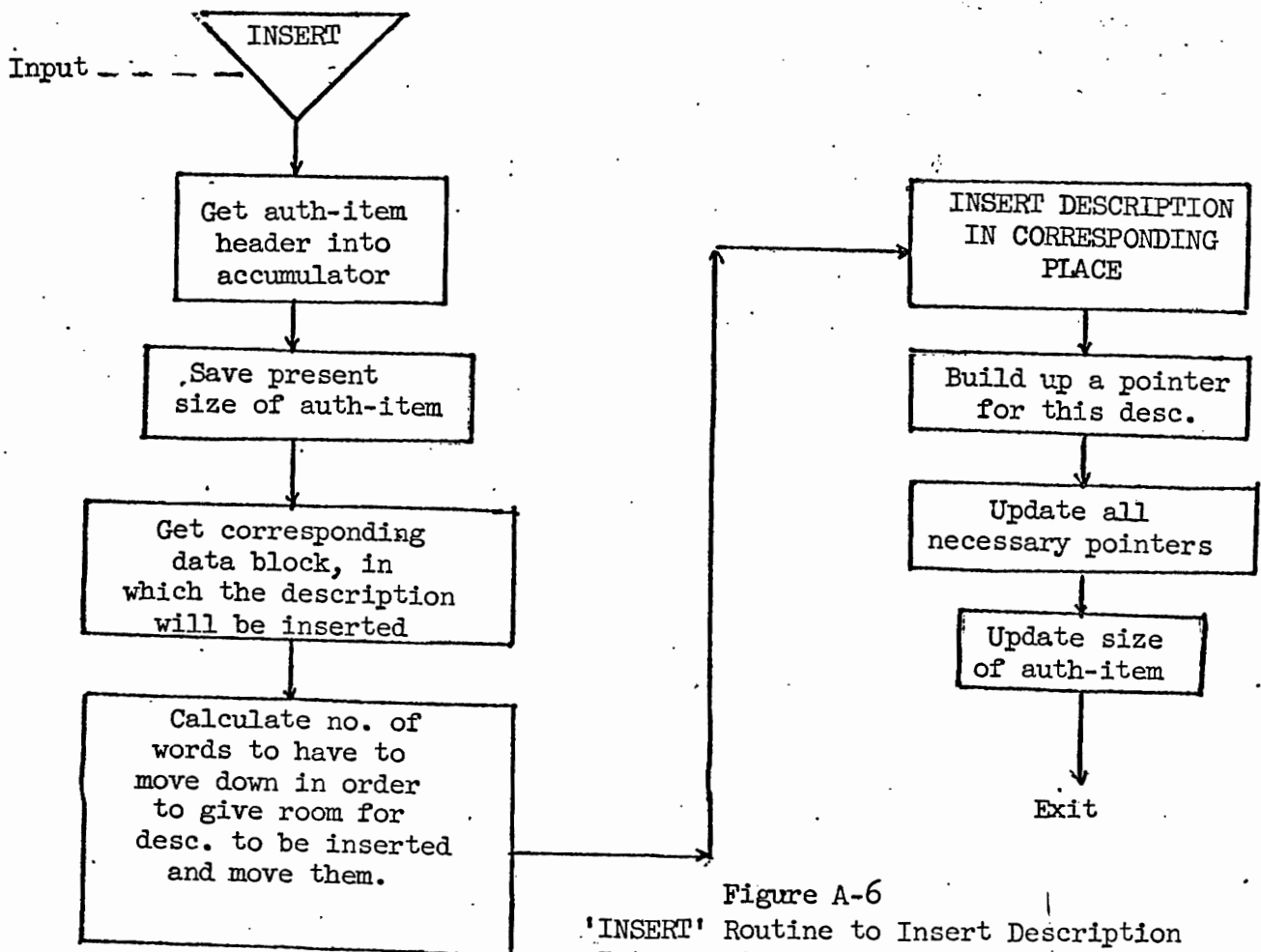


Figure A-6  
'INSERT' Routine to Insert Description Into a Data Block Corresponding to a Given File Name in an Authority Item

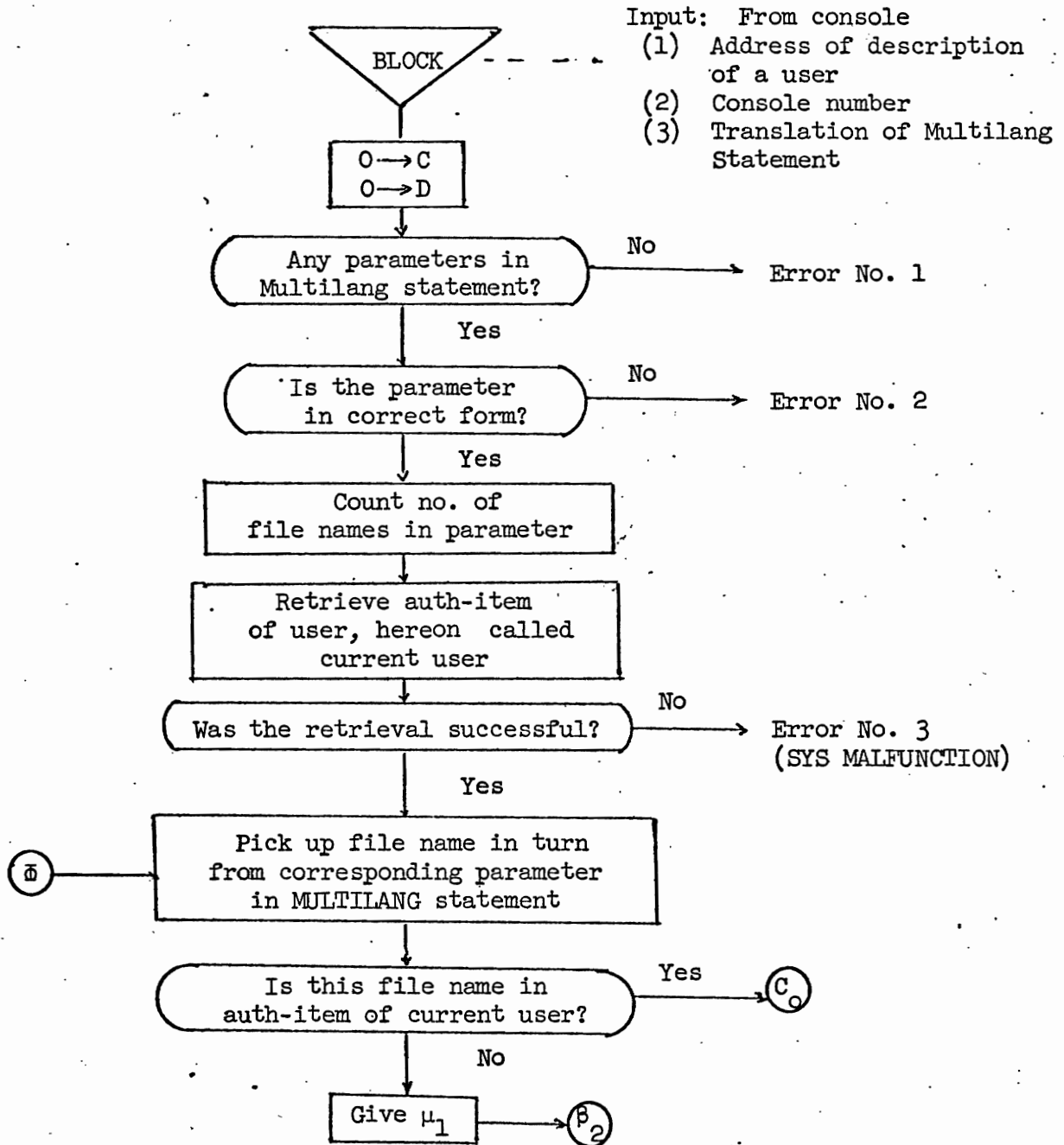


Figure A-7  
The BLOCK Program

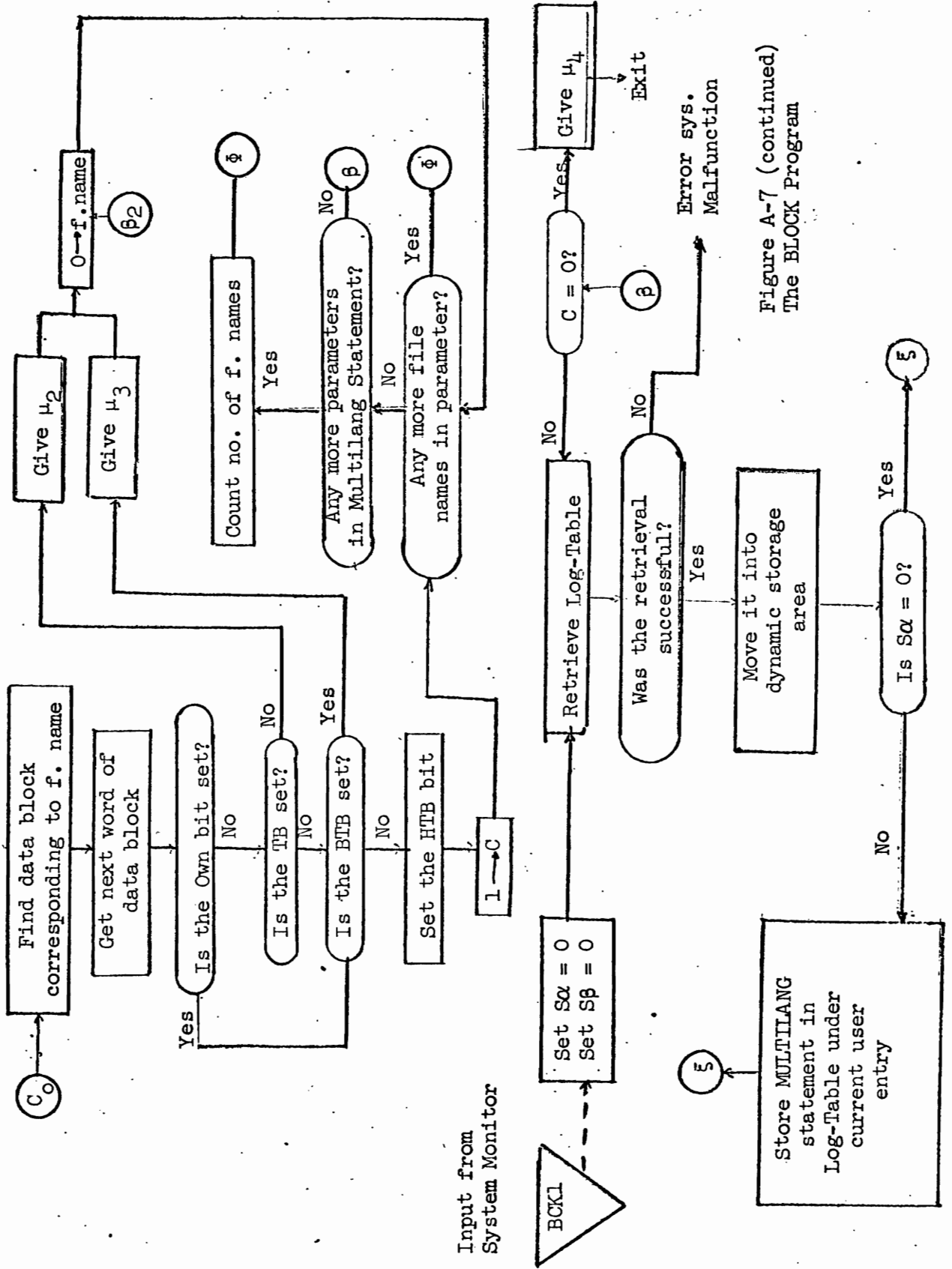


Figure A-7 (continued)  
The BLOCK Program



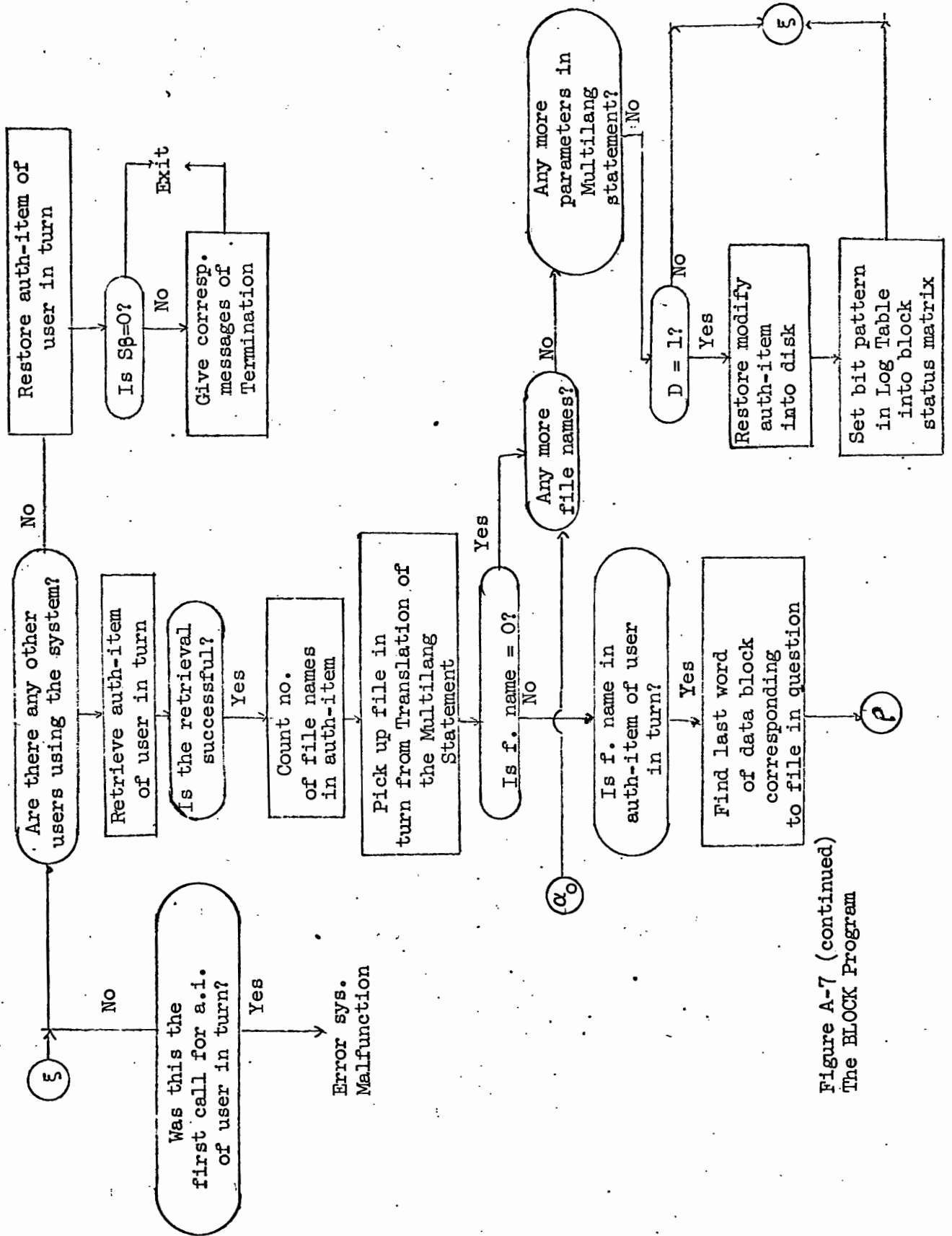


Figure A-7 (continued)  
The BLOCK Program

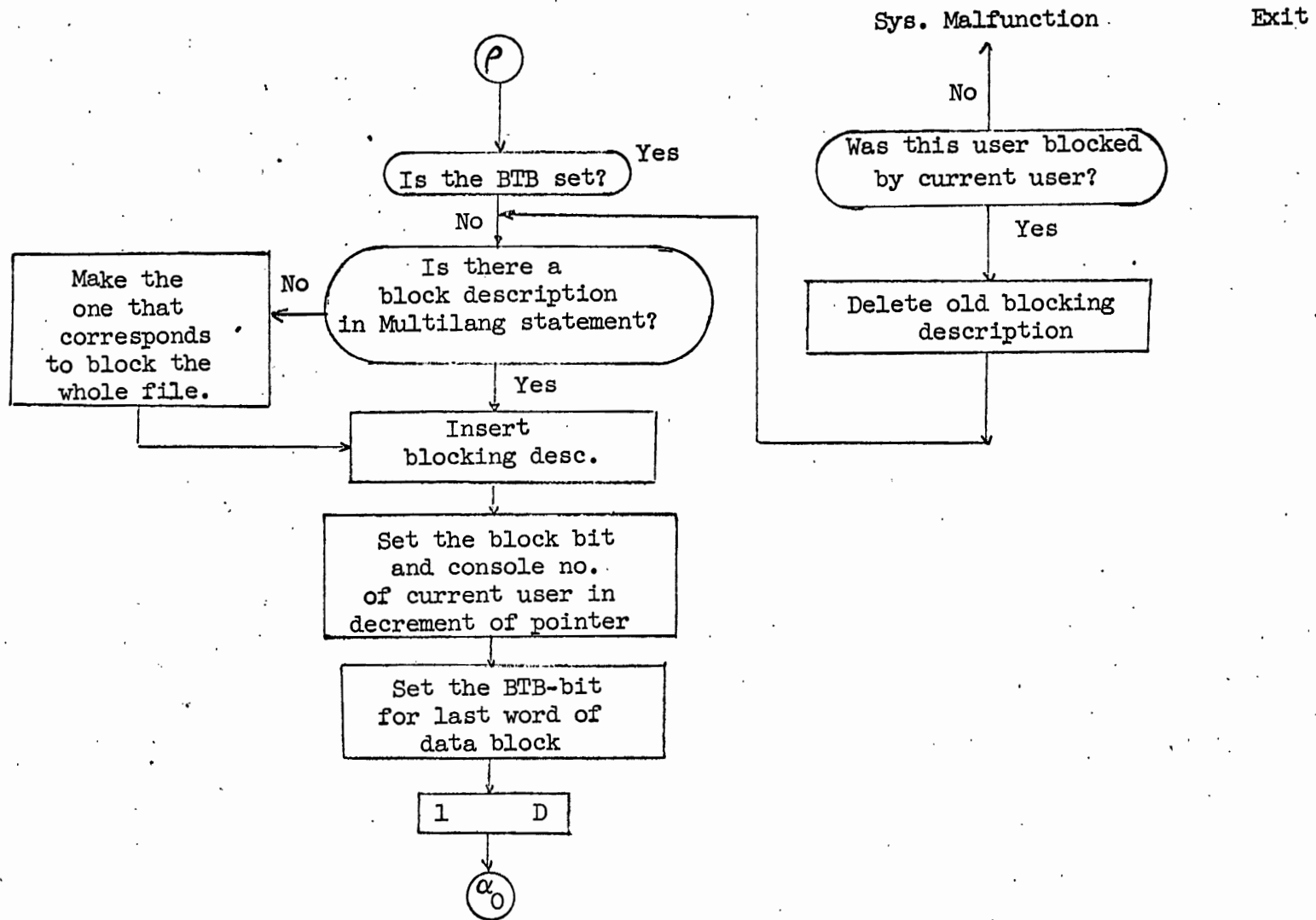


Figure A-7 (continued)  
The BLOCK Program

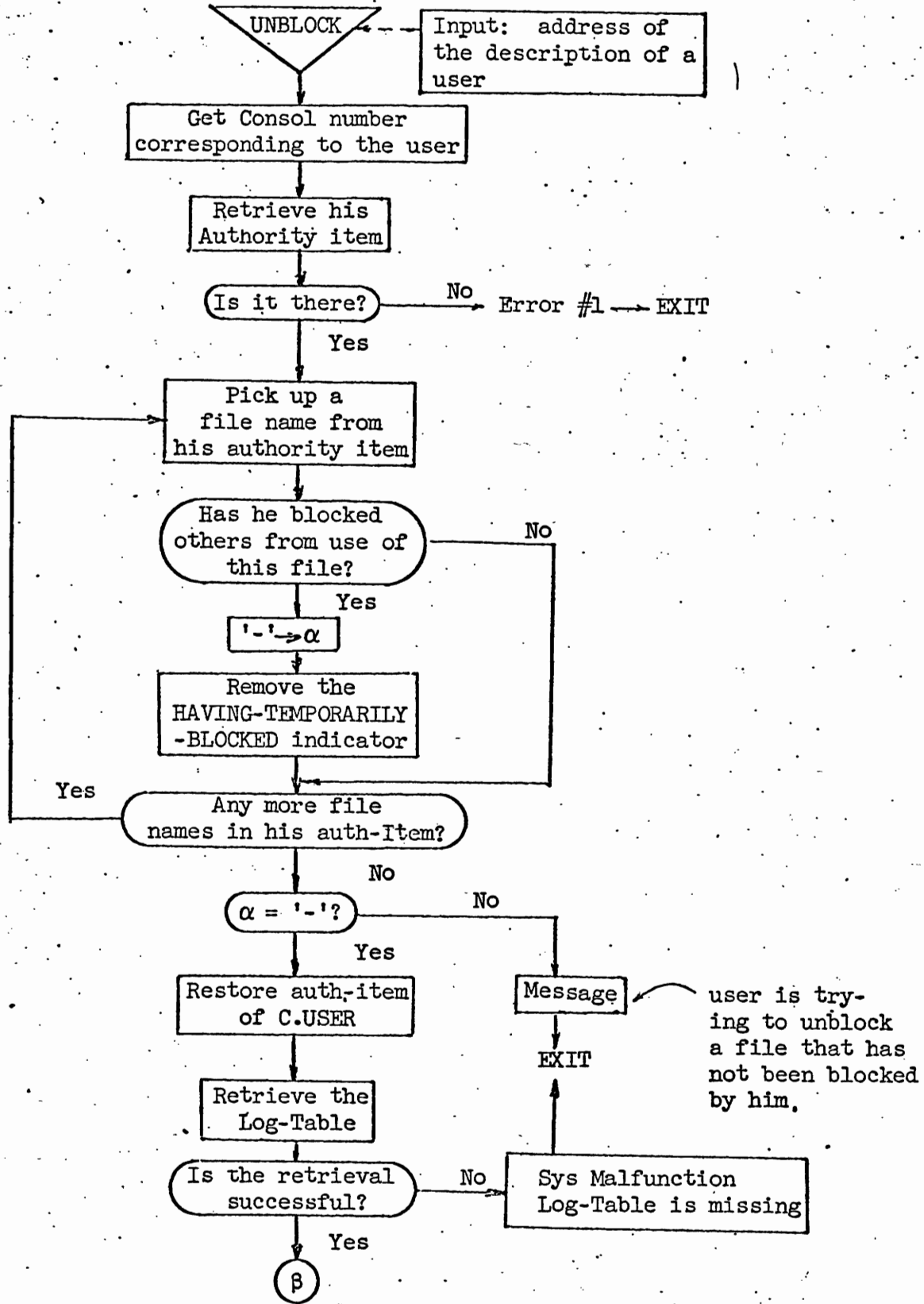


Figure A-8  
The UNBLOCK Program

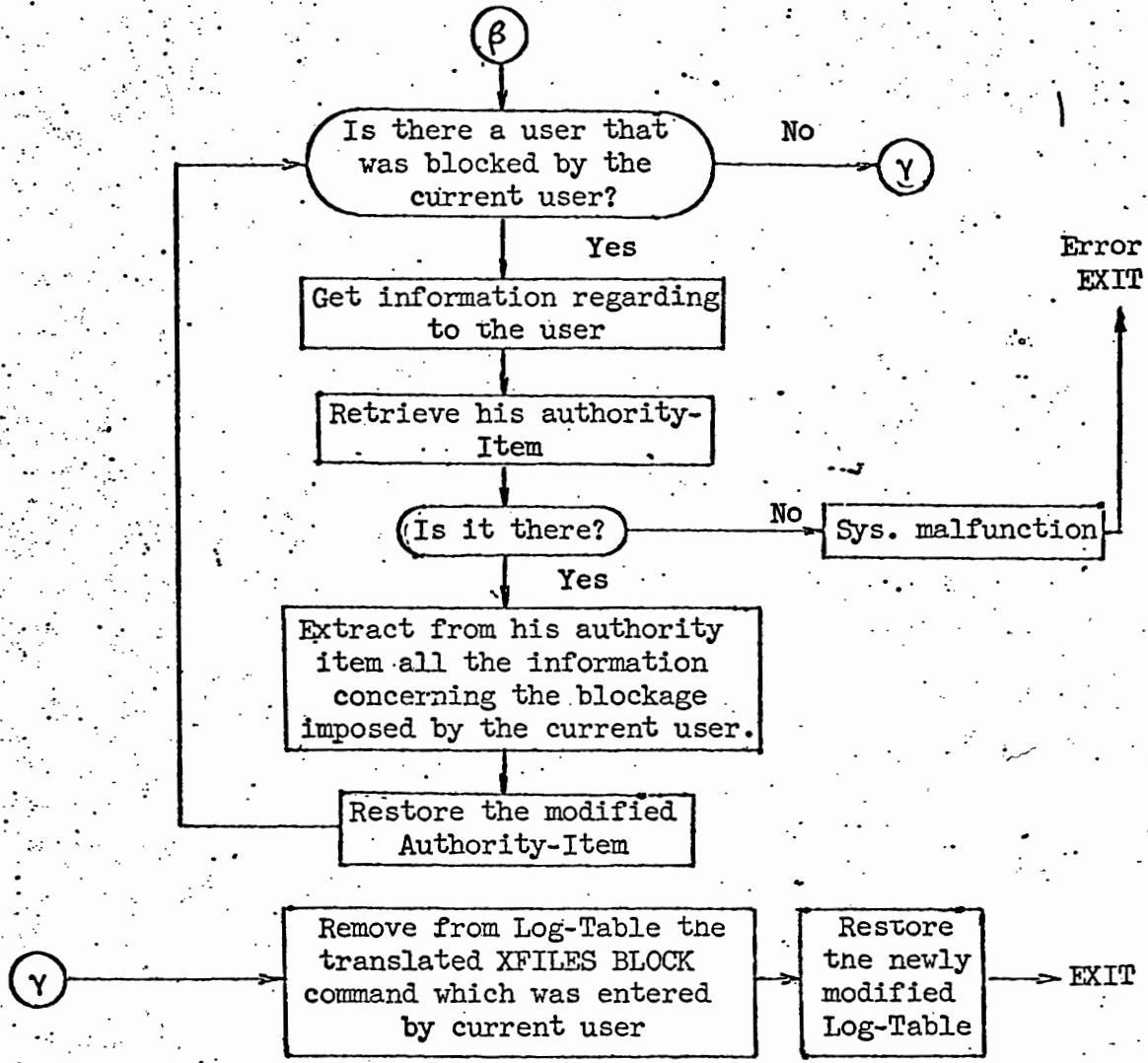


Figure A-8  
The UNBLOCK Program (continued)