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# The Impact of Nutrition during Early Childhood on Education among Guatemalan Adults

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## **Abstract**

Early childhood nutrition is thought to have important effects on education, broadly defined to include various forms of learning. We advance beyond previous literature on the effect of early childhood nutrition on education in developing countries by using unique longitudinal data begun during a nutritional experiment during early childhood with educational outcomes measured in adulthood. Estimating an intent-to-treat model capturing the effect of exposure to the intervention from birth to 36 months, our results indicate significantly positive, and fairly substantial, effects of the randomized nutrition intervention a quarter century after it ended: increased grade attainment by women (1.2 grades) via increased likelihood of completing primary school and some secondary school; speedier grade progression by women; a one-quarter SD increase in a test of reading comprehension with positive effects found for both women and men; and a one-quarter SD increase on nonverbal cognitive tests scores. There is little evidence of heterogeneous impacts with the exception being that exposure to the intervention had a larger effect on grade attainment and reading comprehension scores for females in wealthier households. The findings are robust to an array of alternative estimators of the standard errors and controls for sample attrition.

## **Keywords**

Adolescence, Adult, Age, Child, Cognition, Developing countries, Early adulthood, Early childhood, Early childhood nutrition, Economic development, Economics, Education, Educational attainment, Educational outcomes, Experimental, Experimental health, Fieldwork, Gender, Grade, Guatemala, Human capital, Intergenerational transfer, Interviews, Life course, Longitudinal data, Migrants, Nutrition, Nutritional intervention, Nutritional status, Preschool, PROGRESA, Raven's Progressive Matrices, Reading, Schooling, Sex, Supplements, Surveys

## **Disciplines**

Demography, Population, and Ecology | Social and Behavioral Sciences | Sociology

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# **The Impact of Nutrition during Early Childhood on Education among Guatemalan Adults\***

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## **Abstract**

Early childhood nutrition is thought to have important effects on education, broadly defined to include various forms of learning. We advance beyond previous literature on the effect of early childhood nutrition on education in developing countries by using unique longitudinal data begun during a nutritional experiment during early childhood with educational outcomes measured in adulthood. Estimating an intent-to-treat model capturing the effect of exposure to the intervention from birth to 36 months, our results indicate significantly positive, and fairly substantial, effects of the randomized nutrition intervention a quarter century after it ended: increased grade attainment by women (1.2 grades) via increased likelihood of completing primary school and some secondary school; speedier grade progression by women; a one-quarter SD increase in a test of reading comprehension with positive effects found for both women and men; and a one-quarter SD increase on nonverbal cognitive tests scores. There is little evidence of heterogeneous impacts with the exception being that exposure to the intervention had a larger effect on grade attainment and reading comprehension scores for females in wealthier households. The findings are robust to an array of alternative estimators of the standard errors and controls for sample attrition.

## 1. Introduction

Throughout the world, there are hundreds of publicly provided programs that seek to improve the well-being of preschool children. In developing countries, for example, programs designed to improve preschool nutrition are common. In addition to their immediate effects, including improved survival and better child growth and development, investments in such programs are often justified on the grounds that they provide longer-term benefits such as improved school readiness and educational attainments, as well as improved outcomes in adulthood including employment and health. Recent and well-known examples include conditional cash transfer programs such as the Mexican *PROGRESA/Oportunidades* program, which includes a preschool nutrition component designed to help break the intergenerational transmission of poverty (Behrman and Hoddinott 2005).

Only limited evidence, however, exists to support claims regarding long-term impacts. In the United States, a small number of experimental evaluations of interventions focusing on preschool children (based on relatively small samples)—such as the Perry Preschool Experiment, the Home Intervention Program for Preschool Youngsters, and the Milwaukee and Abecedarian projects—find that such programs generate higher grades of schooling attained, test scores, and incomes, and lower welfare participation rates, out-of-wedlock births, and crime rates (Baker, Piotrkowski, and Brooks-Gunn 1998; Schweinhart and Weikart 1998; Ramey, Campbell, and Blair 1998). A well-known, non-experimental evaluation (based on a much larger sample) is for the Head Start program. Estimates that control for mother- and child-specific unobservable characteristics indicate that the program had positive effects on test scores, immunization rates, and earnings in young adulthood, and lowered grade repetition, primarily among whites and Hispanics (Currie and Thomas 1995; Garces, Thomas, and Currie 2002).

In developing country contexts, a body of literature, some of it outside economics, has explored the relationship between preschool nutritional status and the education of school-age children and adolescents. Undernourished children score lower than do better-nourished children on tests of cognitive functioning, have poorer psychomotor development and fine motor skills, have lower activity levels, interact with others less frequently, fail to acquire skills at normal rates, have lower enrollment rates, and complete fewer grades of schooling (Alderman et al. 2001b; Alderman, Hoddinott, and Kinsey 2006; Behrman 1996; Behrman, Cheng, and Todd 2004; Glewwe, Jacoby, and King 2000; Glewwe and King 2001; Grantham-McGregor et al.

1997; Grantham-McGregor et al. 1999a, 1999b; Johnston et al. 1987; Lasky et al. 1981). It is believed that these effects reflect, in part, biological pathways by which undernutrition affects neurological development. Controlled experiments with animals suggest that undernutrition results in irreversible damage to brain development such as that associated with the insulation of neural fibers (Yaqub 2002). The adverse effect of undernutrition on fine motor control suggests that physical tasks associated with attending school, such as learning to hold a pencil, are more difficult for the undernourished child.

A number of considerations, however, make many of the studies that link preschool nutritional status and education, particularly the non-experimental ones, unconvincing. First is the standard set of concerns applicable to all program evaluations, including the comparability of treatment and control groups, the need for adequate sample sizes, and the importance of accounting for selective attrition. Second is the need to use a sufficiently long time horizon to determine whether benefits increase (as suggested in Pollitt et al. [1993]), persist, or “fade-out” over time (Garces, Thomas, and Currie 2002). Most of the studies that examine the impact of undernutrition on school-age populations, for example, can at best explore the longer-term consequences by assuming that shorter-run proxy effects related to schooling are closely associated with longer-run outcomes. Third, it is important to control for factors that are not related to the intervention but that may influence these outcomes after childhood. While studies based on schooling attainments of individuals observed in adulthood may be better placed to address the extent of fade-out, within them analysts often have only limited scope to account for other relevant factors that may have affected individuals after an intervention (or, more often, natural experiment), with possible consequences for the precision of their estimates. Fourth, only limited information is typically available on educational outcomes. For example, years or completed grades of schooling are often used as indicators of educational attainment, but these are only crude indicators of what individuals have learned in school, particularly in contexts where the quality of schooling varies.

In this paper, we provide new evidence of the effect of an early childhood nutritional intervention on adult outcomes using data and methods well suited to address the concerns outlined above. Specifically, we investigate the long-term impact of a randomized, community-level nutritional intervention in rural Guatemala, fielded from 1969–77. We link information collected in the 1970s on individuals (and their families) exposed to the intervention when they

were 0–15 years of age, with new data on these same individuals collected in 2002–04. We explore the effect of the intervention on several different education-related outcomes over the life cycle: grades of schooling attained; the rate at which individuals progressed through school; a reading comprehension test; and a test of nonverbal cognitive ability. For each measure, we estimate the effect of exposure to the randomized nutritional intervention during the period from birth to 36 months of age. Exploiting detailed historical studies undertaken in the survey areas, the estimates also control for relevant observed time-varying community factors that might otherwise adversely affect their precision. Lastly, the estimates control for family background characteristics—parental schooling, parental age, and a wealth index measured at the time of the intervention.

Our results indicate significantly positive, and fairly substantial, effects of the nutritional intervention *a quarter century later*. These include: increased grade attainment by women via increased likelihood of completion of primary school and some secondary school; speedier grade progression by women; higher scores on reading comprehension tests for both men and women; and higher scores on nonverbal cognitive tests for both men and women. Thus we provide solid evidence that at least one type of preschool intervention—improved nutrition—conveys long-term benefits that do not fade away over time in a developing country context. The results suggest that anti-poverty interventions that include improving the nutrition of preschool children may have more substantial and persistent effects than are commonly recognized. Further, the evidence that early nutrition has an effect on subsequent educational attainments underscores the value of a lifecycle approach to schooling that includes the preschool period (Cunha et al. 2005).

The paper is organized as follows. Section 2 describes the intervention and the areas in Guatemala where it occurred. Section 3 provides a conceptual framework for modeling the effect of nutrition on educational attainment. Section 4 describes in more detail the data that we use in this study. The effects of the early childhood nutritional intervention on adult educational attainment are presented in section 5. Section 6 presents the conclusions.

## **2. The 1969–77 experimental health and nutritional intervention**

In the mid-1960s, protein deficiency was seen as the most important nutritional problem facing the poor in the developing countries, and there was considerable concern that this

deficiency affected children's ability to learn. The Institute of Nutrition of Central America and Panama (INCAP), based in Guatemala, became the locus of a series of studies on this subject, that informed the development of a large scale supplementation trial that began there in 1969 (Habicht and Martorell 1992; Martorell, Habicht, and Rivera 1995; Read and Habicht 1992).

The principal hypothesis underlying the intervention was that improved preschool nutrition would accelerate mental development. An examination of the effects on physical growth also was included to verify that the nutritional intervention had biological potency, which was demonstrated (Martorell et al. 1995). To test the principal hypothesis, 300 communities were screened in an initial study to identify villages of appropriate size, compactness (so as to facilitate access to feeding centers, see below), ethnicity and language, diet, access to health care facilities, demographic characteristics, nutritional status, and degree of physical isolation. From this group, two sets of village pairs (one pair with about 500 residents each and the other, about 900 residents each) were selected that were similar in all these characteristics, though not necessarily in others. All four villages chosen are located relatively close to the Atlantic Highway, connecting Guatemala City to Guatemala's Caribbean coast. Santo Domingo is closest to Guatemala City, only 36 kilometers away; Espiritu Santo is furthest away, at 102 kilometers. Three villages—San Juan, Conacaste, and Santo Domingo—are located in mountainous areas with shallow soils, while Espiritu Santo is located in a river valley, with somewhat higher agricultural potential (Habicht and Martorell 1992; Martorell, Habicht, and Rivera 1995).

Two villages, one from each pair (one large, Conacaste, and one small, San Juan), were randomly assigned to receive a high protein-energy drink, *atole*, as a dietary supplement.<sup>1</sup> *Atole* contained Incaparina (a vegetable protein mixture developed by INCAP and widely accepted in Guatemala as a food for young children), dry skim milk, and sugar, and had 163 kcal and 11.5 grams of protein per 180 milliliter (ml) cup, reflecting the prevailing view that protein was the critically limiting nutrient. *Atole*, the Guatemalan name for porridge, was served hot; it was gray-green and slightly gritty, but with a sweet taste.

In designing the intervention, there was considerable concern that the social stimulation for children—associated with their social interactions while attending feeding centers, the

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<sup>1</sup> Randomization was done within each pair of villages matched on population size. The intervention began in the larger villages, Santo Domingo and Conacaste, in February 1969, and in the smaller villages, Espiritu Santo and San Juan, in May 1969. The health and nutritional components of the intervention ended in all four villages in February 1977, six months prior to the end of data collection (Martorell, Habicht, and Rivera 1995).



observation and measurement of their nutritional status, the monitoring of their intakes of *atole*, and so on—also might affect child nutritional and cognitive outcomes, thus confounding efforts to isolate the nutritional effects of the supplement. To address this concern, in the two remaining villages, Santo Domingo and Espiritu Santo, an alternative drink, *fresco*, was provided. *Fresco* was a clear, fruit flavored drink, served cool, and a much appreciated refreshment in these areas where average monthly temperatures range from 24 to 30 degrees Celsius. It contained no protein and only sufficient sugar and flavoring agents for palatability. It contained fewer calories per cup (59 kcal/180 ml) than *atole*. In October 1971, several micronutrients (iron, thiamin, riboflavin, niacin, ascorbic acid, and vitamin A) were added to both *atole* and *fresco*, in amounts that yielded equal concentrations per unit of volume (Habicht and Martorell 1992; Martorell, Habicht, Rivera 1995; Read and Habicht 1992).<sup>2</sup>

The data used in this study begin with the supplementation trial in these four villages in Eastern Guatemala (an area largely unaffected by the civil war in Guatemala). From February 1969 to February 1977, INCAP implemented the nutritional supplementation and the medical care programs, together with data collection on child growth and development. While the supplement was freely available to all village members, the observational data collection associated with the intervention focused on all village children aged seven years or less and all pregnant and lactating women. Cohorts of newborns were included from February 1969 until September 1977 (six months after supplementation ceased). Data collection for individual children ceased when they reached seven years of age or when the study ended, whichever came first. The children included in the 1969–77 longitudinal survey were thus born between 1962 and 1977. The length and timing of exposure to the intervention (described below) for particular children depended on village and year of birth. For example, only children born during or after 1969 and before February 1974 were potentially exposed to the intervention in their village for all of the time from birth to 36 months of age, shown to be a critical period for growth in the nutrition literature (Martorell et al. 1995).

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<sup>2</sup> “It was originally intended that the *fresco* would be devoid of nutritional value, in effect a placebo used as a control for the social stimulus and other factors associated with supplementation. The use of cyclamates for sweetening was considered, but concern about carcinogenicity led to sugar being used instead, which of course introduced energy. Finally, other nutrients were introduced ... in an attempt to narrow the contrast between the *atole* and *fresco* groups to differences in energy and, above all, in protein. Consequently, the *fresco* should not be viewed as a placebo control to the *atole*, because it contained some energy and important concentrations of micronutrients. Instead, both drinks are referred to as supplements ...” (Habicht and Martorell 1992, p. 177).

The nutritional supplements (i.e., *atole* or *fresco*) were distributed in supplementation centers and were available daily, on a voluntary basis, to all members of the village for 2–3 hours in the mid-morning and 2–3 hours in the mid-afternoon, times that were convenient to mothers and children, but that did not interfere with usual meal times. All residents of all villages were also offered curative medical care free of charge throughout the intervention. Preventative health services and medical care, such as immunization and deworming campaigns, were conducted simultaneously in all villages. To ensure that the results were not influenced systematically by the characteristics of the research and survey teams, staff working on the intervention were rotated through the four villages.

For this study, where we use children’s differential exposure to the availability of nutritional supplements to identify the effect of the *atole* relative to *fresco*, a critical question that arises is to what extent the experimental intervention resulted in differences in access to calories, protein, and other nutrients. To address this, we exploit the intensive nature of the original survey and observational work associated with the intervention, in which all supplement intake was measured for each participant and 24 hour recall home diet surveys implemented for children 6–72 months.

Approximately 70 percent of children 0–36 months consumed at least some *atole* with no difference in participation rates between males and females. Similar participation rates were observed in *fresco* villages. Averaging over all children in the *atole* villages (regardless of their levels of voluntary participation), children 0–12 months consumed approximately 50 kcal per day, children 12–24 months, 80 kcal daily, and children 24–36 months, 110 kcal per day of supplement. Children in the *fresco* villages, however, consumed only 20 kcal of *fresco* per day between the ages of 0–24 months with this figure rising to approximately 30 kcal daily by age 36 months (Schroeder, Kaplowitz, and Martorell [1992], Figure 4).<sup>3</sup>

To assess whether *total* caloric intake by these children increased, we estimate an OLS model where the dependent variable is the sum of calories consumed at home plus calories from supplement (not shown). In addition to controls for maternal and paternal characteristics (age and

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<sup>3</sup> Though children less than four months of age in *atole* villages did not consume a great deal of supplement, those who did were given a modified, age-appropriate mixture of skimmed milk and sugar. Children identified at the clinic or at home as showing any signs of marasmus or kwashiorkor in *any* of the villages were given the same beverage offered to those less than four months of age in the *atole* villages (Habicht and Martorell 1992). Though this was apparently a small group and likely had little effect, to the extent it does, including them in the analysis biases downward the estimated impact of the *atole* intervention relative to the *fresco* intervention.

completed years of schooling) and household characteristics (a wealth index and distance to the feeding center), we include a dummy variable equal to one if the child resided in one of the two villages where *atole* was provided, measuring the intent-to-treat effect of the intervention. For children aged 12–36 months, the coefficient on *atole* is positive and statistically significant, indicating that total calorie consumption for children exposed to *atole* increased by 18% and total protein intake by 45%. The intervention, therefore, increased energy and protein intakes in *atole* villages relative to *fresco* villages.<sup>4</sup> In addition, for children less than 36 months, the *volume* of *atole* consumed was more than the volume of *fresco*, so that intakes of micronutrients were also greater for those in *atole* villages. Thus, the experiment is about improving nutritional intakes in general, rather than about the specific effects of protein, as was envisioned in 1969.

Finally, for the interpretation and consideration of the external validity of our findings, it is important to underscore the nature of the intervention, which involved intensive contact between researchers and villagers, and quality medical care. If these aspects of the intervention affect equally the impact of the two supplements, then the contrasts we explore below are externally valid to situations without the survey and medical care components of the intervention. If not, the observed effects may have been diminished or potentiated by these other aspects of the intervention (Habicht and Martorell 1992).

### 3. Conceptual framework

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<sup>4</sup> A possible limitation in interpreting the results of our analysis as the impact of the nutritional intervention is that the nutritional supplements may have had strong income effects and thus do not represent the effects of the supplements alone. For several reasons, however, we do not feel this is likely. First, the behavior of villagers did not suggest that the supplements were of significant monetary value. Supplements were freely available every day to all inhabitants of the communities; yet, few men or school-age children frequented the supplementation centers, even on weekends when the opportunity costs of their time in terms of work or school presumably was lower. INCAP actively promoted the nutritional value of the supplements for pregnant and breastfeeding women and young children, helping to explain the high attendance of these groups. Second, the actual monetary value of the supplements was low. The main ingredient of the *atole* was Incaparina, a vegetable protein mixture developed by INCAP; the Incaparina was sweetened with sugar. *Fresco* was prepared with sugar and flavoring. The cost of one pound of Incaparina was US \$0.20 in 1970 and that of sugar was US\$ 0.13. We estimate the cost of the ingredients for one cup of *atole* and one cup of a *fresco* to have been US\$ 0.018 and 0.004, respectively. Mean household incomes were approximately US\$ 400 in 1975 (Bergeron 1992). Thus a year's worth of a daily cup of *atole* (US \$6.60) and of *fresco* (US \$ 1.50) were worth approximately 1.7% and 0.4% of annual household income. The literature on the impact of cash transfers on human capital suggests that these amounts are not large enough to impact significantly on child health and education (Haddad et al. 2003). It is likely that the medical care, however, had a greater income effect for households, but this effect was present in both *atole* and *fresco* villages. Lastly, there is biological evidence that the *atole* had nutritional effects. Biochemical analyses in blood showed that biomarkers of nutrient status were improved following ingestion of *atole* (Habicht et al 1973).

Our conceptual framework treats investments in nutrition, health, and education as part of a dynamic programming problem solved by the family of the individual, subject to the constraints imposed by parental family resources and options available in the community to the individual as he or she ages (see Cunha et al. [2005] for a formal statement). This dynamic programming problem can be solved to obtain a relation that we interpret as a reduced-form equation for the determinants of a vector of an individual’s education-related outcomes. Each education-related indicator for individual  $i$ , at age  $a$  ( $E_{ia}$ ), is posited to depend on a dummy variable cohort control ( $N_i$ ) indicating whether the individual was exposed to *either* intervention for the entire period from birth to 36 months, whether the individual was exposed to the *atole* nutritional intervention for the entire period from birth to 36 months of age, i.e., those for whom  $N_i$  is equal to one and they lived in one of the two *atole* villages ( $N^A_i$ ), fixed community characteristics ( $C^f_i$ ), a vector of varying community characteristics related to schooling availability and quality at different ages of the  $i$ th individual ( $C^v_{ia}$ ), a vector of individual characteristics such as sex and birth year ( $I_i$ ), a vector of fixed family background characteristics for the  $i$ th individual ( $F_i$ ), and a disturbance term that affects the educational outcome of interest ( $\varepsilon_{ia}$ ):

$$(1) E_{ia} = f(N_i, N^A_i, C^f_i, C^v_{ia}, I_i, F_i, \varepsilon_{ia})$$

While the framework is cross-sectional, for most of the analyses, outcome variables are measured in the latest round of survey work (in 2002–04) but explanatory variables were measured in the past (or in some cases are retrospective) and can be considered “lagged” values.

This reduced-form specification has a number of important features. First, the cohort control ( $N_i$ ) captures the effects common to both the *atole* and *fresco* interventions (e.g., improved health care services and increased social stimulation due to the intervention) as well as any secular effects or aggregate shocks specific to this cohort of Guatemalan children. The *atole* exposure term ( $N^A_i$ ) measures the differential effect of exposure to *atole* relative to exposure to *fresco*, for this cohort. Second, the fixed community characteristics ( $C^f_i$ ) control for factors such as the initial sizes of the villages and persistent cultural differences or differences in economic alternatives that might result in different educational investments across villages, even in the absence of the interventions. It is crucial to include these because of the small number of villages

in the experiment.<sup>5</sup> Third, the time varying community characteristics related to schooling are linked to the ages of the  $i$ th individual ( $C_{ia}^v$ ), such as the student/teacher ratio in the local schools when the individual was seven years old. They thus vary by village and by birth year of the individual. We condition on the same set of characteristics for all of the education-related dependent variables that we consider. Fourth, often in studies in this literature, linear approximations of the unknown functional form are imposed *a priori*. Our examination of the distributions of the dependent variables in Section 4 leads us generally to question the assumptions necessary for simple linear relations, and so we also consider the robustness of the results to various specifications, including exploring whether there are important interactions among specific variables, such as a wealth index. Fifth, the disturbance term,  $\epsilon_{ia}$ , includes all other unobserved or unmeasured variables. These may include some fixed individual and family variables that affect the education-related indicators of interest directly, and that are correlated with the included observed family background variables. For example, if innate ability affects education and is correlated across generations through genetic inheritances or household environments, such unobserved heterogeneity may bias the coefficient estimates on family background variables.<sup>6</sup> As a result, we do not emphasize these family background variables in the discussion, but rather regard them as controls for variation unrelated to the intervention which enable more precise estimation. Crucially, while such unobserved fixed individual or family heterogeneities might bias estimates of the effect of the family background variables, they do not bias the variable of central interest—exposure to the *atole* nutritional intervention early childhood—because of the experimental design and the inclusion of community fixed effects.

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<sup>5</sup> For linear models, the analytical model controlling for community fixed effects is identical to one that mimics more closely the design of the original experiment. As explained in the text, two matched-pair villages were first selected and then each pair was randomly assigned to receive *fresco* or *atole*. Given this, an alternative approach would be to include a dummy control for village size, one for the randomized treatment of *atole*, and an interaction of the two. This leads to three dummy variables spanning the same space as any three of the village dummy variables. This equivalence underscores that the dummy variables for either of these alternative specifications capture components of village fixed-effects.

<sup>6</sup> For example, Behrman and Rosenzweig (2002, 2005) find that the cross-sectional significant positive association between mothers' and children's schooling that is reported in many studies not only becomes smaller but becomes negative when it is controlled for directly. Using a sample of adult twins which permits controls for unobserved, intergenerationally correlated endowments and the effects of assortative mating, they argue that this occurs because more-schooled women, holding ability and motivation constant, spend more time in the labor force and less time raising their children. Behrman et al. (2006) provide evidence for the sample that we use in this study that the coefficient estimates of the effect of parental schooling on child education are biased if there are no controls for genetic endowments.

## 4. Data

### 4.1 The 2002–04 follow-up survey

Subsets of the original sample of 2392 children collected during 1969–77, have been re-surveyed periodically in the years since the original data collection ended, most importantly in 1988–89 (Martorell, Habicht, and Rivera 1995). Most of these surveys (and related studies) were aimed at measuring the effect of the original intervention on nutritional and health outcomes in early childhood, adolescence, and early-adulthood (see Martorell et al. 2005 for references). Between 2002 and 2004, a multidisciplinary team of investigators, a subset of which are the authors of this paper, re-surveyed individuals surveyed as children in 1969–77 (Grajeda et al. 2005; Martorell et al. 2005).

To locate and interview these individuals, the data collection team began in the four original villages. A census of all households in the villages was done between January and April 2002. Socio-demographic information was collected for entire village populations and records regarding the mortality and migratory status of the 2392 original sample members were updated. A list of “missing” sample members was created, and then reviewed and corrected with the assistance of sample members’ relatives, peers, (former) neighbors, and community leaders in each of the original villages. Original sample members who were alive but had moved away from their natal villages were classified as “migrants.” While updating the lists, the data collection team also sought information on the migrants’ current addresses and phone numbers, work addresses, or general whereabouts. Flyers soliciting this information and letters inviting migrants to participate in the survey were left with the relatives of migrants (Grajeda et al. 2005).

During the first year of fieldwork, data collection focused on residents of the original villages. While collecting these data, the survey team also attempted to contact and interview migrants who visited their natal villages, for example during village feast days or other holidays. In addition, between January 2003 and April 2004, a two-person team comprising a man and a woman traveled throughout Guatemala locating migrants. Migrants to nearby villages, Guatemala City, and other cities or towns in Guatemala were visited wherever they lived and invited to participate in the survey. This team used a snowball approach in which the entire list of still-missing sample members was reviewed with each new migrant located. From April 2003 to April 2004, data collection focused on sample members residing elsewhere, in nearby villages,

Guatemala City, and other towns and cities in Guatemala. The survey team traveled throughout Guatemala to interview those living outside the communities, except for interviews of those living in Guatemala City, which were conducted at INCAP headquarters (Grajeda et al. 2005).

Efforts to ensure high quality data collection were extensive. Manuals were prepared for each questionnaire or test. Each team of interviewers was trained and standardized in one or two study domains in the two weeks before the corresponding module was implemented. Interviewers performed cross interviews with residents from nearby similar villages, after which interviewers' field experiences were shared with the whole fieldwork team and lessons learned were summarized and incorporated. At least two standardization exercises were done while the module was being implemented. The interviewers reviewed all forms after the completion of the interview. Fieldworkers received direct supervision, and the field supervisor observed at least 10% of the interviews in each study domain. Repeated measurements or cross interviews were done by the supervisor or other interviewers in at least 5% of the interviews. Results of observation and repeated measurements were used to field-train interviewers and improve ongoing data collection. The field supervisor reviewed 40% of the forms, looking for non-permissible data, missing information, or inconsistencies between questions. Forms with inconsistencies or missing data were returned to the field to be corrected. After review, the forms were delivered to the data center established at the fieldwork headquarters. Double data entry was used, usually within several days of data collection (but occasionally up to four weeks afterward); values suspected to be incorrect were sent to the field for review, with the supervisor authorized to correct errors (Grajeda et al. 2005).

Figure 1 shows what happened to the 2392 individuals 0–15 years old in the original 1969–77 sample by the time of the 2002–04 follow-up survey: 1855 (78%) were determined to be alive and known to be living in Guatemala (11% had died—the majority due to infectious diseases in early childhood, 7% had migrated abroad, and 4% were not traceable). Of these 1855 individuals eligible for re-interview in 2002–04, 1113 lived in the original villages, 155 lived in nearby villages, 419 lived in or near Guatemala City, and 168 lived elsewhere in Guatemala. For the 1855 traceable sample members living in Guatemala, 1051 (57%) finished the complete battery of applicable interviews and measurements and 1571 (85%) completed at least one interview during the 2002–04 follow-up survey (when they were 25–42 years of age). While we learned that they were alive and living in Guatemala, for two-thirds of the 284 (15%) who

completed no interviews, we were unable to obtain current addresses and therefore could not make contact. The refusal rate for at least partial participation among those whom we were able to contact, however, was low—5% (Grajeda et al. 2005).

This paper includes 1471 (53% of whom are female) respondents who completed the questionnaire module pertaining to schooling.<sup>7</sup> They comprise 79% of the 1855 individuals who were known to be alive and living in Guatemala, 73% of those known to be alive, or 62% of the original sample of 2392. Measured from 1977 to 2002, the latter figure indicates an annual attrition rate of 2%, low when compared to shorter-term longitudinal surveys in developing countries (Alderman et al. 2001a) or to longer-term longitudinal surveys in the United States (Fitzgerald, Gottschalk and Moffitt 1998b).<sup>8</sup> Nevertheless, almost 40% is substantial attrition, so we carefully assess potential attrition biases in section 5.8.

In addition to the individual level data that were collected, a detailed historical study was undertaken (Estudio 1360 2002). This consisted of focus group and key informant interviews as well as archival work, and generated information on current and past education and health facilities, physical infrastructure, public services, and programs that operated in the area, as well as important events that might have affected human capital formation.

Compared with other Guatemalans, individuals re-interviewed in 2002–04 were relatively well off. This is unsurprising, however, since the study population is *ladino* (and speak Spanish as their first language) and poverty is concentrated (though not limited) among the large indigenous population in the country (World Bank 2003). On average, sample members had an expenditure-based poverty rate of 35% and an extreme poverty rate of only 3%, against national averages of 56% and 15%, respectively (Maluccio, Martorell, and Ramírez 2005). The vast majority of men were engaged in some income-generating activity, with 80% working in wage labor for at least part of the year. Over two-thirds of women also participated in income-generating activities, though only a third in wage labor (Hoddinott, Behrman, and Martorell 2005).

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<sup>7</sup> A small number (23) of those completing the schooling module did not complete the SIA or Raven's forms, so that those estimates are based on 1448 observations.

<sup>8</sup> Most measures of attrition refer to households or individuals who were past infancy and early childhood when the sample was taken, so they would not even include the effects of infant and early childhood mortality that account for over a quarter of the attrition in the data used for this study.



Table 1 provides basic descriptive statistics. In general, the outcome variables are taken from the 2002–04 follow-up survey while the right-side variables use individual and household level data collected from 1969 to 1977, supplemented by community information collected both during earlier studies and retrospectively in 2002. This approach has the advantage that it does not include as explanatory variables factors simultaneously determined with the outcome measures. An identical set of right-side covariates is used in all regression results that we report.

#### **4.2 Dependent variables: Educational outcomes**

We examine four aspects of education ( $E_{ia}$  in equation 1) across the life cycle: (1) attained (or completed) schooling; (2) schooling progression rates; (3) reading comprehension skills; and (4) nonverbal cognitive ability.

Attained schooling is measured as the number of grades completed. The formal educational system in Guatemala is divided into primary, secondary, and post-secondary education. Primary school comprises grades one to six, and children are expected to enroll in the year in which they turn seven years old. Secondary school consists of five to seven grades, divided into two parts. The first three years of lower secondary school are the so-called “basic” grades, and instruction is expected to provide academic and technical skills necessary to join the labor force. The fourth through seventh years of upper secondary school are the so-called “diversified” grades, and students can choose from among four specialized and career-oriented tracks: (1) general (academic) high school education, known as *bachillerato*; (2) primary school teaching; (3) technical education, such as a secretarial degree; and (4) commercial education, such as an accounting degree. Typically, students who plan to continue to university finish their general academic preparation (*bachillerato*) in two years at the secondary diversified level, thus completing 11 grades before going on to university. Vocational, or more specialized degrees, such as accounting, can take up to four years (World Bank 2003).

Over 95% of the respondents started school. Conditional on starting school, approximately 9% per year dropped out at the end of grades 1 through 5 and 30% stopped attending after completing the full six grades of primary education (Figure 2). As a result, less than 20% continued on to secondary school. Only a small number of individuals in this cohort (less than 3% of the sample) continued beyond secondary school to attend university or take advanced studies in technical fields. Apart from formal schooling, it is also possible to complete

(primary and secondary school) grades via informal schooling, such as adult literacy programs. Our overall measure of grades completed includes both types of schooling, though informal schooling is relatively uncommon for this population, with only 15% of the respondents reporting having ever participated in it. Other salient characteristics of schooling attainment in the sample are: (1) men attain an average of 0.9 more grades than women (Table 1); (2) schooling attainment has increased over time for both men and women; and (3) comparisons of unconditional means always show that grade attainments are higher in Espiritu Santo, one of the *fresco* villages, than in the other villages, particularly for men (Stein et al. 2005). This partly reflects the fact that Espiritu Santo has long benefited from its proximity to the municipal capital town of El Jícaro, where primary and secondary schools were present even before 1962, the birth year of the oldest individuals in the sample. Indeed, parental schooling is also higher in Espiritu Santo than in the other villages [Maluccio et al. 2005].

We consider two measures that capture how rapidly individuals progressed through schooling. The first is the number of grades attained in formal schooling (excluding informal adult education which is uncommon for those less than 13) by age 13. This measure combines age at school entry (over which there is little variation) and grade progression rates and is an integer from 0 through 8. A child who starts school at age 7 and successfully passes one grade each year should complete primary school (6<sup>th</sup> grade) by age 13. The second measure of progression is the total number of grades passed divided by the number of years between when the respondent entered and terminated school, up to and including 12<sup>th</sup> grade. This is a measure that incorporates grade repetitions and (rare) skips, as well as dropouts and re-entries. An individual who does not repeat or skip a grade, or drop out and re-enter, will have a grade progression rate of 1.00, regardless of the grade at which he or she leaves school. Due to the design of the questionnaire, grade progression rates cannot be calculated for those who never attended formal schooling, so those individuals are excluded from the analysis of this measure (leaving a sample of N=1338). For the approximately 40 individuals in the sample who attended university, only the first year of university (corresponding to the 12<sup>th</sup> grade) is included in the measure of grade progression rates. Figure 3 shows that just over one half of individuals who attended formal school attained one grade per year attended; the remainder repeated (or dropped out of) at least one grade at least once. Retarded progression was concentrated in the primary school years and was less of a phenomenon for those who reached secondary school.

The test of reading comprehension had two components. Respondents who reported having passed fewer than four years of schooling, or those who reported four to six years of schooling but could not read the headline of a local newspaper article correctly, first were given a preliteracy test. Individuals who passed the literacy screen, or who reported more than six years of schooling (and thus were presumed to be literate) then took the Inter-American Series test vocabulary and reading comprehension modules (*Serie Interamericana* or SIA, for its acronym in Spanish). The SIA was designed to assess reading abilities of Spanish-speaking children in Texas (Manuel 1967) and includes several “levels” of difficulty. Based on pilot testing, we used Level 3 for vocabulary and Level 2 for comprehension. These tests also have demonstrated adequate test-retest reliability (correlation coefficients of 0.87 and 0.85 for vocabulary Level 2 and reading comprehension Level 2, respectively), internal consistency and validity in this population in the 1988–89 follow-up survey (Pollitt et al. 1993). Higher scores denote better performance and, notably, the test becomes progressively harder. The vocabulary portion has 45 questions and the reading comprehension 40 questions, yielding a maximum possible score of 85 points on the SIA. Those who did not pass the pre-test literacy screen are given a zero on the test. Figure 4 shows the distribution of these test scores in the sample. Estimates of the determinants of these reading comprehension test scores need to allow both for left censoring at 0 (applicable to about 18% of the sample) and for the fact that higher scores become more and more difficult to attain.

All individuals were administered Raven’s Progressive Matrices, a nonverbal assessment of cognitive ability (Raven, Court, and Raven 1984). Raven’s Progressive Matrices are considered to be a measure of eductive ability—“the ability to make sense and meaning out of complex or confusing data; the ability to perceive new patterns and relationships, and to forge (largely nonverbal) constructs which make it easy to handle complexity” (Harcourt Assessment 2005). The test consists of a series of pattern-matching exercises with the respondent asked to supply a “missing piece” and with the patterns getting progressively more complex and hence harder to match correctly. We administered three of the five scales (A, B, and C with 12 questions each for a maximum possible score of 36), since pilot data suggested and subsequent survey data confirmed, that few respondents were able to progress beyond the third scale. These tests have demonstrated adequate test-retest reliability (correlation coefficient of 0.87), internal consistency, and validity in previous studies in this population (Pollitt et al. 1993). Respondents

could take as long as they needed, with a typical test completed in 40 minutes. Results are presented as the number of correct answers summed across the three scales, with higher numbers denoting better performance. As with SIA, the test becomes progressively harder. The mean score on Raven's test was 17.7 with a standard deviation (SD) of 6.1 (Table 1). Figure 5 shows that these scores are approximately normally distributed, though they fail to pass standard normality tests.

### 4.3 Right-side variables

Our right-side variables include a cohort control indicating whether the individual was exposed to either intervention for a certain period ( $N_i$ ), measures of exposure to the *atole* nutritional intervention for a certain period ( $N^A_i$ ), individual characteristics ( $I_i$ ), a vector of fixed family background characteristics for the  $i$ th individual ( $F_i$ ), as well as fixed and varying community characteristics ( $C^f_i$ ) and ( $C^{v_{ia}}$ ).

We construct two measures of exposure to the interventions based entirely on the age of the child, the dates of operation of the interventions, and where the child lived. The first is a dummy variable control for cohort effects and the second is the exposure to the *atole* treatment for that cohort. For each child, we determine whether he or she was exposed to *either* intervention for the entire period from birth to 36 months of age. This dummy variable indicator ( $N_i$ ) is calculated for all individuals and represents a cohort effect that captures all changes that affected all children in the villages in this age range, including the social stimulation and medical care present under either the *atole* or the *fresco* intervention that was available in the community in which they lived.<sup>9</sup> Those individuals not in this cohort were also exposed to the interventions, *but not entirely* from birth to 36 months of age. Some, born before the intervention began, may have been exposed for part of their first 36 months and then for a time after 36 months, while others, born after 1974, would have been exposed from birth until the end of the intervention in February 1977.<sup>10</sup>

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<sup>9</sup> It is not possible to identify the effect of the social stimulation or medical care separately from all other cohort effects.

<sup>10</sup> Thus  $N_i$  takes a value of one for children born from February 1969 to February 1974 in Santo Domingo or Conacaste and for children born from May 1969 to February 1974 in San Juan or Espiritu Santo, and zero otherwise (see footnote 1).

The *atole* intervention indicator is then calculated by multiplying the cohort measure by a dummy variable indicator of whether or not the child lived in one of the two *atole* villages. Thus this latter measure ( $N^A_i$ ), exposed to *atole* from 0–36 months, represents the differential effect in the two *atole* villages in comparison with children in the same cohort in the other two *fresco* villages. Because we use potential exposure, which is not conditional on actual participation or intakes, our estimates yield the intent-to-treat effect of exposure to the high-protein, high-energy supplement, *atole*, versus the no-protein, low-energy supplement, *fresco*.<sup>11</sup> Were we to include directly a dummy variable for treatment type (e.g., for *atole*), and there were no other covariates,  $N^A_i$  would yield the double difference estimator where the first difference would be the difference in outcomes for those exposed to *atole* during their entire first 36 months and those exposed to *fresco* during their entire first 36 months, and the second difference would be those exposed to *atole* during other periods of their life and those exposed to *fresco* during other periods. In practice, rather than include a dummy variable for *atole*, we include village fixed effects, which partition the same space in two. As such, the estimated coefficient on  $N^A_i$ , even in the absence of other covariates, is not strictly the double difference, though we refer to it as a double difference estimator given its similarity. Randomization of the villages into each of the interventions, then, underlies identification of the program effect, where program effect in this case is the effect of switching from *fresco* to *atole*. Our working maintained assumption is that exposure during other periods of life to the interventions has little impact on the outcomes of interest (though all that is strictly necessary is that the two interventions have the *same* effect on those children). To the extent *atole* has an effect on children not exposed entirely from birth to 36 months, our estimates are likely to underestimate the true program effect.

We choose the exposure period from 0–36 months based largely on earlier work with these data. A key finding of that work is that growth failure occurred primarily in utero and in the first three years of life, and was the cause of the short stature of adults. Differences between the Guatemalan sample and the international reference population increased until about three years of age and remained fairly constant thereafter (Martorell et al. 1995). Supplementation

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<sup>11</sup> Although INCAP collected extensive information on participation in the program during the 1969–77 period including measures of supplement intake by each child less than seven (as described in Section 2) so that estimates of the effect of the “treatment on the treated” might be possible, we do not use this more detailed information here to avoid introducing into the specification right-side choice-based factors that may be correlated with other factors that we do not observe.

with *atole*, in comparison to *fresco*, increased the heights of three-year-old children by about 2.5 centimeters and reduced the prevalence of severe stunting by half. Supplementation produced its biggest effects by two years and after three years of age did not influence child growth rates (Schroeder et al. 1995).<sup>12</sup> The period from birth to 36 months also is viewed as a window of opportunity for stimulating positive cognitive development through a variety of child development interventions, particularly in settings where ill health and undernutrition are common (Sternberg and Grigorenko 1997). As a specification check, in section 5.6 we describe results using alternative exposure periods.

Individual characteristics include sex and birth year. The latter is important as it captures secular trends common to all individuals that might affect schooling outcomes, beyond the cohort control. We have explored the robustness of the results by including birth-year dummy variables rather than a continuous representation of birth year. Doing so has little effect on the parameters we estimate for exposure to *atole*, but test statistics marginally decrease, in part because we use 15 rather than one degree of freedom to represent the time trend.

Our vector of household characteristics include mother's and father's age when the child was born, mother's and father's completed years of schooling, dummy variables denoting the distance from the household to the feeding center where the supplements were distributed, and an index of household wealth at the time of the intervention. As part of the survey work accompanying the intervention in 1969–77, all households in these villages—including those with children participating in the supplementation trial—participated in censuses in 1967–68 and 1975 that ascertained ownership of a set of household durables as well as housing characteristics. Using principal components, these assets and characteristics were combined into an index we interpret as a “wealth” index. For those born before January 1<sup>st</sup> 1971 we use the 1967 index score

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<sup>12</sup> The nutritional literature emphasizes that undernutrition is most common and severe during periods of greatest vulnerability (Martorell 1997; UNICEF 1998). One such period is the first 2–3 years of life. Young children have high nutritional requirements, in part because they are growing so fast. The diets commonly offered to young children in developing countries to complement breast milk are of low quality (they are monotonous and have low energy and nutrient density), and as a result, multiple nutrient deficiencies are common. Young children are also very susceptible to infections because their immune systems (which are both developmentally immature and compromised by poor nutrition) fail to protect them adequately. Foods and liquids are often contaminated with feces and are thus key sources of frequent infections. Infections both reduce appetites and increase metabolic demands. Furthermore, in many societies, suboptimal traditional remedies for childhood infections, including withholding of foods and breast milk, are common. Thus infection and undernutrition reinforce each other.

and for those born after that date the 1975 index score.<sup>13</sup> Though this index surely misses some dimensions of wealth such as financial and productive assets, at the time in the late 1960s and early 1970s in these villages, such assets were likely to be highly correlated with the assets that were measured (Maluccio, Murphy, and Yount 2005).

We include dummy variables for three of the four villages, capturing fixed characteristics of these localities that might affect education-related outcomes. One of these, for example, is the short distance (about one kilometer) between Espiritu Santo and the town of El Jícaro, which facilitated more schooling in Espiritu Santo than in the other three villages. In addition, we exploit detailed qualitative and archival data found in Pivaral (1972) and Bergeron (1992), as well as a specially commissioned retrospective study undertaken in 2002 (Estudio 1360 2002), to control for community characteristics that have changed over time. Specifically, we construct community-level covariates that relate as closely as possible to the timing of key education-related decisions in a child's development. In the estimates reported below, we include four such controls: the availability of a permanent (cement-block) structure for the primary school when the respondent was 7 and 13 years old and primary school student-teacher ratios when the respondent was 7 and 13 years old. We chose age 7 since that is when most children are starting primary school and age 13 since that is the point where children would begin secondary school if they entered at age 7 and progressed one grade each year through primary school. While these variables reflect community characteristics, they vary by individual (or, to be precise, by single-year age cohorts within each village). This is an improvement over the more typical approach of including indicators about such factors at a given time for a population with different ages at that point in time, since it more closely relates the availability of the school to the period in the child's life when critical decisions about schooling were being made. Finally, we include dummy variable indicators of proximity to the feeding center in each village, in an effort to account for differences in accessibility which have been shown to affect intakes (Schroeder, Kaplowitz, and Martorell 1992), although, by design, few villagers lived more than one kilometer from the centrally located supplementation center.<sup>14</sup>

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<sup>13</sup> For the reasons outlined in footnote 4, we argue that these measures are unaffected by the intervention, or at least equally affected across the two intervention types.

<sup>14</sup> In preliminary estimates, we also considered a variety of other community characteristics as controls, including exogenous income shocks to these communities (booms and busts in the prices of key agricultural commodities; the

## 5. The effect of nutrition on adult educational outcomes

### 5.1 Grades completed

Table 2 presents the base specification we use to explore the effect of the early childhood experimental nutritional intervention a quarter century later on highest grade achieved (school attainment) for adults interviewed in 2002–04 when they were between the ages of 25 and 42. We use an OLS estimator and calculate standard errors that allow for clustering at the mother level (StataCorp 2005). Estimates for women and men combined are shown in the first column, and for women and men separately in the second and third columns. Reflecting the discussion above, conditional on the other variables in the specification, individuals born in Espiritu Santo have significantly higher attained schooling. Reflecting the trends and patterns in Guatemalan society as a whole, younger individuals have completed more schooling than older individuals (with an average increase of 0.1 grades per year), and men have completed more schooling than women. While parental age (at the time of the child’s birth) is not associated with highest grade attained, completed grades of the mother and father, as well as the wealth index, are all positively associated with completed grades for the child. Because of the concerns raised earlier about the intergenerational transfer of ability, however, we do not interpret these associations as causal. Formal primary education has been available in the villages since the early 1960s, but the quality of that schooling has improved since then at different rates across villages (Maluccio et al. 2005). We capture some of these differences using indicators of school quality measured when children were at two critical ages in schooling progression: 7 and 13. The presence of a permanent schooling structure does not seem to have had an effect on grades completed in the sample. The student-teacher ratio, however, does. This effect is apparent at younger ages and appears to be more important for women than for men.

For the full sample, we find no significant intent-to-treat effect of the *atole* intervention (relative to the *fresco* intervention) for children exposed from 0–36 months of age. A Chow test weakly indicates that the models are best estimated together rather than separately by sex ( $p=0.13$ ); however, one of the two individually significantly different coefficients for women versus men in a fully interacted (with sex) model is on the *atole* exposure variable. When split by

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availability of new sources of wage employment), changes in infrastructure (roads, water, and electricity), and other dimensions of school quality (e.g., damage suffered by schools as a result of the 1976 earthquake). As their inclusion did not significantly alter the results reported here, they were not included in the final specifications.



sex, there is a large and significant effect of *atole* for women—more than one full grade, or a third of a standard deviation in the sample. This is the first evidence to date that a nutritional intervention had an effect on adult schooling in this population. Pollitt et al. (1993) found no effect on grades completed for this population in 1988–89, when the subjects were between the ages of 11 and 25. It would appear that the earlier conclusion, based on a younger population with censored educational outcomes, was premature. It is also possible that the earlier conclusions were the result of not separating the sample by sex (though sex was controlled for with a single additive dummy variable).<sup>15</sup>

To better understand what underlies these results, we explore at which point in the schooling cycle the intervention had an effect. In Figure 1, we saw that the distribution of schooling outcomes has various mass points, in particular at grades 0, 3, and 6. We therefore estimate a series of probits that consider in turn six outcomes: never attended school; completed grade 3 (or more) of primary school; completed grade 6 (or more) of primary school (i.e., complete primary school); completed grade 7 (or more), thus entering secondary school; completed grade 9 (or more), thus completing lower secondary school, and completed grade 11 (or more), thus completing high school for those following the *bachillerato* course. In Table 3, we report the marginal effect of exposure to the *atole* supplement and its corresponding z-statistic, calculated using standard errors that allow for clustering at the mother level (StataCorp 2005) for this selection of key grades. These represent the discrete change in the proportion of individuals that complete the grades included in each category, when we change the *atole* dummy variable from 0 to 1, and because the *atole* variable is an interaction we compute them using the methodology suggested by Ai and Norton (2003).

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<sup>15</sup> There have been some studies using earlier rounds of data collected on this population, but they could only examine adolescents and young adults, or used select subpopulations of adults, and all employed a different methodological approach, in particular conditioning on schooling and analyzing combined samples of women and men with only a dummy variable control for sex. Pollitt et al. (1993) find positive effects of the intervention on tests of general knowledge, numeracy, reading, and vocabulary given in 1988–89, conditional on schooling. They also find that effects of the nutrient supplement varied with socioeconomic status (SES) and schooling: effects were higher for children of low SES and among those children with more years of schooling. Li et al. (2003) and Li et al. (2004) assess the associations of the supplement, schooling, and physical growth, with a wide array of measures of educational attainment among a selected subpopulation of adult women in the study villages who bore a child between 1996 and 1999. They find that exposure to the intervention resulted in higher performance on a scale that combined literacy, numeracy, and cultural competency, conditional on completion of sixth grade. Because these earlier results are conditional on schooling, without econometric controls for its behavioral determinants (apart from also including directly other control variables referred to in the biomedical literature as confounding variables), causal interpretation is problematic. To the extent that the nutrition intervention improved schooling, however, it is possible that these analyses underestimated the full impact of the intervention on measures such as reading scores.

For the combined female and male sample, there is again no evidence that *atole* affected the number of grades attained. However, women exposed to the *atole* supplement are more likely to have completed primary school, entered secondary school ( $p=0.11$ ), and completed lower secondary school. For men, there are no significant patterns.

## 5.2 Progression through schooling

With the finding that the intervention had an effect on grades attained by women, we next explore at which point(s) in the schooling process this effect occurred. There was little variation in age at starting school in the sample and there are no effects of the intervention on that indicator for either women or men (not shown).<sup>16</sup> Nor do we find effects on the number of grades completed by age 13 or the number of grades passed divided by the number of years attended school for the combined sample (Table 4). When we separate women and men, however, there is a significant (at the 10% level) program effect for both of these outcomes for women, but none for men. Point estimates for men are substantially smaller in magnitude, so that it does not appear the insignificance is an artifact of the smaller sample size for men. Women who were exposed to *atole* during the first three years of life negotiated the educational system more effectively, passing grades more quickly than their counterparts who were exposed to *fresco* during the same period. This higher grade progression rate resulted from a combination of being less likely repeat a grade or to drop out and re-enter, though it is not possible for us to distinguish between the two.

## 5.3 Reading comprehension

Table 5 presents the effect of the intervention on Inter-American Series (SIA) test scores, which measure reading comprehension and vocabulary skills strongly influenced by schooling. They show for the full sample that exposure to the *atole* intervention during childhood increases reading comprehension scores as an adult. Treating the point estimate on the linear pooled specification as an indicator of the magnitude of the effect, we see that the intervention led to an improvement of approximately 17% in average scores (36.0 with SD 22.3), over one quarter of a SD (Table 5). These effects are nearly twice as large for women; the estimated effects for men do

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<sup>16</sup> One possible reason for this is that the starting age information is based on recall and possibly measured with more error than other outcomes we consider.

not appear to be significant (and the Chow test suggests separate estimation is appropriate, with  $p < 0.01$ ).

These OLS estimates, however, neglect both the censoring of these reading comprehension test scores at zero (and the fact that we assigned zero to those who did not pass the literacy screen) as well as the fact that questions on these tests become increasingly difficult. For these reasons, we provide the results of three additional specifications, reconfiguring the dependent variable by dividing the sample into quartiles based on the test score. Marginal effects for probit results for those scoring in the second quartile or above, third quartile or above, and fourth (and highest) quartile also are shown in Table 5. For the combined sample, exposure to *atole* led to increased probability of scoring in a higher quartile for all three specifications. The effects appear to be larger for women (and more precisely estimated) but even men who were exposed were 18 percentage points more likely to be in the top half of the distribution.<sup>17</sup> The lack of evidence for an effect for men in the first row of Table 5 may in part reflect the inappropriate use of OLS or the relatively smaller sample size. Even though the intervention appears not to have increased grades completed for men, it did increase the probability that they scored above the median on reading comprehension test scores. This may be the result of greater learning during the same number of grades, though it could also reflect learning during post-schooling experiences, such as in the labor market (Behrman et al. 2006).

#### 5.4 Nonverbal skills

Table 6 presents results of the intervention on the Raven's test scores of nonverbal skills. We find that for the combined sample, exposing preschoolers to the *atole* supplement had a significant effect on cognition into adulthood. This finding holds when we consider different formulations of the dependent variable that take into account that the test gets progressively more difficult (i.e., the probits on whether the score was higher quartiles as done for reading comprehension). The effects for women and men, considered separately, are similar in magnitude, and tests suggest that in this case pooling the sample is preferred (the p-value on the Chow test is 0.38). Significant effects for women and men separately, are seen in the increased probability of scoring in the top quartile (of more than 15 percentage points).<sup>18</sup>

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<sup>17</sup> Results are similar when we estimate a linear probability model.

<sup>18</sup> Results are similar when we estimate a linear probability model.

Not only are the effects on the combined sample significant, but they are also substantial. Average Raven's test scores in the sample are 17.7 (6.1). The intent-to-treat effect of the *atole* intervention, 1.5 points, represents an 8% improvement over the average score, nearly one quarter of a SD, the same magnitude as the program effect on SIA test scores. These results raise questions about the often made interpretation of Raven's scores as reflecting innate abilities that are not altered by household and community allocations of resources (Alderman et al. 1996; Boissiere, Knight, and Sabot 1985). Parental schooling and resources as measured by the wealth index also have positive significant associations with the outcome (not shown), though these may be biased upward if the Raven's test score partly reflects intergenerationally correlated "ability" endowments.

### **5.5 Heterogeneous effects**

Interventions such as the food supplementation program examined here are likely to have differential effects for different portions of the population, depending on take-up as well as on the potential to benefit. For example, they may prove more beneficial for households with relatively fewer resources. Alternatively, those with greater resources, or those with better educated parents, may better appreciate the potential benefits or be better able to take advantage of them. We have explored such heterogeneous effects with respect to three variables likely to influence program effectiveness: maternal schooling, paternal schooling, and household wealth at the time of the intervention. We do this by interacting dummy variables indicating high education or wealth (above the median) with both the cohort control ( $N_i$ ) and the *atole* interaction term, leaving all other aspects of the estimation the same. We find limited evidence of heterogeneous effects. Only the household wealth index significantly alters the program effect—households with higher wealth had significantly larger program effects for highest grade attained (1.6 grades,  $p < 0.01$ ) and reading comprehension scores (7.2 points,  $p = 0.05$ ), with these effects strongest for women. The implication is that the program had little or no effect for those with lower wealth levels. There was no significant differential effect for Raven's test scores, however (0.91 points,  $p = 0.38$ ).

## 5.6 Consideration of alternative exposure periods

In principle, these data not only allow exploration of the effect of exposure to *atole* from birth to 36 months, but also enable an exploration of whether different, shorter, or longer periods of exposure are more critical. While the nutrition literature points to the first three years of life as being crucial for linear growth, it is plausible that the relevant period (or periods) for the educational outcomes we consider here are different. We explore this possibility by varying  $N_i$  and  $N_i^A$  across all possible intervals of six months and multiples of six months up to 36 months (not shown). In general, we find that the OLS results reported in Tables 2, 5, and 6 are little changed (both in terms of magnitude of the coefficients and their significance levels) when we alter slightly the exposure periods, for example considering birth to 30 months, 6 months to 36 months, or 6 months to 42 months. This is not surprising, however, given the high correlation among these different exposure variables—due to the fact that many of the same children fall into adjacent categories, dummy variables for adjacent categories differing by only 6 months tend to be correlated at 0.9 and above, those differing by 12 months at 0.8 and above. As a result, it is empirically intractable to separate cleanly the effects from different exposure periods for differences of these magnitudes. When we change the exposure period more, however, for example considering those exposed from age 36 months to 72 months, results for the main outcomes have smaller estimated coefficients and are generally insignificant. From this evidence, then, we can conclude that it is clearly the earlier years which are more important, though we are unable to provide strong evidence that the first two years are more important than the third, for example.

## 5.7 Alternative approaches to calculating standard errors

The original intervention occurred in only four villages. Duration of exposure to the intervention was dependent on date and village of birth. Failure to account for these features would make our estimates of the impact of exposure to the *atole* supplement vulnerable to omitted variable bias. For this reason, all regression results have included both village dummies and a covariate capturing cohort effects. Inclusion of these variables is also important because they capture all fixed components of possible intra-village and intra-cohort correlations. Moulton (1990, p. 334), however, has noted that “[i]t is reasonable to expect that units sharing an observable characteristic, such as industry or location, also share unobservable characteristics

that would lead the regression disturbances to be correlated.” These correlations, if positive (and in our case varying over time), may cause the estimated standard errors to be biased downward. In the statistics literature, this issue is referred to as the design effect (see Kish [1965] and Deaton [1997]).

As noted above, standard errors are calculated allowing for clustering at the mother level (StataCorp 2005). We now consider alternative ways of addressing the implications of possible time varying correlation among the errors within villages on the estimation of the standard errors for a selection of the key results described above: grade attainment by female respondents (highest grade attained and grades passed per year attended), SIA and Raven’s test scores for women and men combined. We use this subset given that we found positive and seemingly statistically significant impacts of exposure to the *atole* supplement between 0–36 months for all these outcomes.

Table 7 reports the associated t-statistics for the exposure to *atole* from the earlier estimates that allow for clustering at the mother level and compares them to an alternative approach of accounting for correlations by village and year of birth. The latter has 64 clusters (4 villages x 16 different birth years). This alternative approach does not, in general, reduce the magnitudes of the t-statistics as one would expect if indeed there was substantial positive correlation of the error terms within clusters. Indeed, the results of Table 7 indicate that this standard correction for clustering leads to smaller standard errors and larger t statistics for two out of the four outcomes. This also holds true for a number of covariates for which one might expect the clustering problem to be most severe, such as the dummy variables controlling for village of birth and the characteristics of the local primary schools (not shown). Work by Angrist and Lavy (2002) and Wooldridge (2003), however, suggests that standard corrections for clustering are valid only when the number of groups or clusters is large.<sup>19</sup> In light of this, following Bertrand, Duflo, and Mullainathan (2004), we also block bootstrap the standard errors. Specifically, we construct a bootstrap sample by drawing with replacement 64 matrices consisting of outcomes and their regressors, one observation from each birth-year village cohort. We run the regressions on this sample, obtain the standard error, and then replicate this exercise 10,000 times. Table 7 also reports the results of these bootstrapped test statistics. They are all smaller than the statistics allowing for clustering at the village-birth year level (third column) but

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<sup>19</sup> This is the reason we do not cluster at the village level with number of clusters equal to four.

both smaller and larger than those that allow for clustering at the mother level. We continue to find a statistically significant impact of exposure to the supplement on all of these outcomes, except for the grades passed divided by years attended school for women, where the p-value rises to 0.12 with block bootstrapped standard errors.

Our final approach to assessing the robustness of our results to various methods of calculating the standard errors is to aggregate all covariates up to their group means and carry out estimation on the average data (Hoddinott 1996; Wooldridge 2003). The cost of this approach is a considerable loss in degrees of freedom as the sample size drops from approximately 1450 to 64 observations. Mindful of this, Table 8 reports the results of estimating the determinants of the selection of the key outcomes reported in Table 7 corrected for general heteroscedasticity using the method outlined by Huber (1967) and White (1980). The parameter estimates are similar to those derived from individual-level data. Not surprisingly, given the large number of regressors and the much reduced sample size, three of the four coefficients in the first column are no longer statistically significant, though for the Raven's the p-value is 0.11. Several of the control variables, however, are also no longer significant. If we exclude average maternal and paternal age and schooling, and distance to the supplementation centers (which are neither significant individually or jointly in these regressions)—the restricted regressions reported in the second column of Table 8—we get similar parameter estimates and increased t-statistics such that all four effects are now significant. This is consistent with a view that the apparent loss of statistical significance is driven largely by the loss of degrees of freedom associated with moving to group level means. We conclude that the major findings presented in Sections 5.1–5.4 are robust to using alternative methods of calculating standard errors.

### **5.8 Robustness to attrition**

The estimates presented in this paper are based on a sample of 1471 individuals, 62% of the original 2392 subjects.<sup>20</sup> Despite the considerable effort and success in tracing and re-

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<sup>20</sup> Another related problem is that of mortality selection (Pitt and Rosenzweig 1989 Pitt 1997). Indirect evidence that mortality selection exists in the sample is that higher risk of death is associated with younger ages (those born later) in the complete sample of 2392. The older sample members represent the survivors of their respective birth cohorts, and hence they experienced a lower mortality rate (most of which is driven by infant mortality) compared with the later birth cohorts in the study who were followed from birth. Because the fieldwork began in 1969 and included all children less than seven years of age, it naturally excluded all children from the villages born between 1962 and 1969 who died before the start of the survey. Last, the intervention decreased mortality rates among the younger cohort (Rose, Martorell, and Rivera 1992). To the extent the variables included in our models are associated with

interviewing participants from the original sample, an attrition of 38% is substantial and raises concern about the validity of the estimates reported above. Moreover, as shown in Grajeda et al. (2005), the overall attrition in the sample is associated with a number of initial conditions with effects differing by the reason for attrition. What is of ultimate concern in this analysis is not the level of attrition, but whether, and to what extent, the attrition invalidates the inferences we make using these data. For example, does excluding international migrants who may have different characteristics lead to systematic bias of the estimates presented here?

We address sample attrition bias in three ways. First, in the specifications already shown, we included a large number of covariates, many of which, in addition to playing a role in educational outcomes, are themselves associated with attrition (Grajeda et al. 2005). Conditional on the maintained assumptions about the functional form, attrition selection on right-side variables does not lead to attrition bias (Fitzgerald, Gottschalk, and Moffitt 1998b).

Second, we implement the correction procedure for attrition outlined in Fitzgerald, Gottschalk, and Moffitt (1998a, 1998b). We first estimate an attrition probit conditioning on all the exogenous variables considered in the main models, as well as an additional set of endogenous variables potentially associated with attrition. We include a number of variables that reflect family structure in previous years, since these are likely to be associated with migration status. They include indicators of whether the parents were alive when each sample member was seven years old and whether the sample members lived with both their parents in 1975 and in 1987. During the fieldwork, locating sample members was typically facilitated by having access to other family members from whom the field team could gather information. Therefore, we also include a number of variables that capture this feature of the success of data collection. They include whether the parents were alive in 2002, whether they lived in the original village, whether a sibling of the sample member had been interviewed in the 2002–04 follow-up survey, and the logarithm of the number of siblings in the sample in each family. We emphasize that this is *not* a selection correction approach in which we must justify that these factors can be excluded from the main equations, but rather we purposively exclude them from those regressions since our purpose is to explore the determinants of educational outcomes outlined in equation (1) and not whether educational outcomes are associated with the family structure and interview-related

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this form of selection, our estimates partly control for mortality selection, though we do not implement any special methodology to do so. Our identification strategy using a cohort control guards against the first type of selection and the second form leads to, if anything, downward biased estimates of the effect of *atole*.



factors included in the “first-stage” attrition regression (Fitzgerald, Gottschalk, and Moffitt 1998a). While we do not formally have adjustments to correct for selection on unobservable characteristics, by including the large number of endogenous observables indicated above, which are likely to be correlated with unobservables, we expect that we are reducing the scope for attrition bias due to unobservables, as well.

The factors described above are highly significant in predicting attrition, above and beyond the conditioning variables already included in the models (Appendix Table 1). They lead to weights between 0.27 and 2.34 for those individuals in the 2002–04 sample. Table 9 shows that application of these weights attenuates only slightly the results that do not correct for attrition, and all of the central results remain significant. We interpret these findings to mean that, as found in other contexts with high attrition (Fitzgerald, Gottschalk and Moffitt 1998b; Alderman et al. 2001a) our results do not appear to be driven by attrition biases.

Our final assessment of the effects of attrition takes advantage of the existence of other sources of data on these individuals, specifically: 1) village censuses carried out in 1987, 1996, and 2002 (Maluccio et al. 2005); and 2) the 1988–89 follow-up survey (Martorell, Habicht, and Rivera 1995). The former collected completed grades for all villagers. This means that for individuals exposed to the intervention and measured in the 1987 or 1996 census, we have an observation on their completed education at that time, even if we did not manage to interview them in 2002. While this measure is potentially censored, for those above 18 (all of them, in 1996), it is likely to be a good approximation of completed grades. The second source of information also has completed grades (in 1987) and, in addition, SIA and Raven’s test scores from 1988–89, when sample members were between 11 and 25 years of age.<sup>21</sup> As with the 2002–04 sample, those interviewed in 1988–89 represent a select sample but, crucially for this section, a different one. In particular, nearly 100 subjects interviewed in the 1988–89 follow-up had emigrated internationally by 2002. To the extent that results are similar across these different samples, then, it is evidence that attrition bias is not driving the results.

The correspondence between the schooling measure taken from the census and that taken in 2002, for those measured in both data sets, is very high, with a correlation of 0.95 and only 8% of the observations differing by more than one year of schooling. Combining information

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<sup>21</sup> In 1988–89, Level 2 SIA was used for both the reading comprehension and vocabulary tests were implemented (whereas in 2002, Level 3 vocabulary was used), making it easier to score higher in 1988 (for a given level of preparation).

from the 1987, 1996, and 2002 censuses, however, yields 2154 observations, 90% of the original sample. The estimated effects of *atole* on grades attained are remarkably similar to those presented in Table 2. For women and men combined the estimated effect is 0.370 ( $p=0.24$ ), for women only, 1.334 ( $p<0.01$ ), and for men only, -0.421 ( $p=0.34$ ).

Turning to the data collected in the 1988–89 follow-up survey, Table 10 presents the coefficients on the *atole* interaction term for grades completed and SIA and Raven’s test scores, as well as their means. With the exception of grades completed, the results are again similar to those reported above. While coefficient point estimates are smaller for both SIA and Raven’s, average test scores were also lower in 1988, so that in terms of standard deviations, the effect for the combined sample on each of the SIA and Raven’s was approximately 20%, only about 5% smaller than the effect in 2002. In 1988–89, there is no evidence of a program effect on highest grade attained, perhaps because this was measured at a relatively young age for many of the respondents when they might not have completed their schooling, and consistent with findings of Pollitt et al. (1993).

The weighted estimates correcting for attrition and the estimates based on alternative samples with different selection processes point to the same conclusion: the results described above are not driven by attrition bias, i.e., the *atole* nutritional intervention in early childhood had a significant impact on educational outcomes a quarter century later.

## 6. Conclusions

This paper estimates the impact of a community-level randomized nutritional intervention in rural Guatemala on several different measures related to education over the life cycle. For each measure we estimate the effects of exposure to the intervention between 0 to 36 months of age, exploiting the randomization for identification. We advance beyond the previous literature by using unique longitudinal data from a nutritional experiment carried out in rural Guatemala in the 1970s that enable us to consider educational measures not only for school-age years but also up through prime adult years.

The results of our intent-to-treat estimates show significantly positive, and fairly substantial, effects of the nutritional intervention a full 25 years after it ended. These include increased grade attainment by women (1.2 years) via increased likelihood of completion of

primary school and some secondary school; speedier grade progression by women; higher scores on reading comprehension tests for both women and men (one-quarter of a SD); and higher scores on nonverbal cognitive tests for both women and men (one quarter of a SD). The findings are robust to variations in how we define the exposure period (provided it captures the first 2 to 4 years of life), the manner in which we calculate the standard errors, and to sample attrition. The results provide the first evidence of its kind from a prospective survey of the important role played by preschool nutrition in subsequent educational attainments and thus underscore the value of a lifecycle approach to education that includes the preschool period. They suggest that programs that include nutritional supplements to very young children, or in other ways improve their nutritional intakes, may have substantial, long-term educational consequences. But our results also raise additional questions. What are the physical, social, and economic pathways by which these effects come about? What are their consequences for health, earnings, and other dimensions of well-being? These are the topics of ongoing research using these data.

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**Table 1—Summary statistics, by sex**

Variable	Full sample	Women	Men
Number of observations	1471	786	685
(1) if ever went to school	0.955	0.950	0.959
Age when started school (if ever attended) <sup>a</sup>	6.799 (1.09)	6.782 (1.00)	6.815 (1.186)
(1) if completed first grade	0.861	0.854	0.869
(1) if completed sixth grade	0.460	0.386	0.546
Number of grades passed / number of years in school <sup>b</sup>	0.835 (0.26)	0.836 (0.27)	0.835 (0.245)
Number of formal grades completed by age 13	3.573 (2.18)	3.393 (2.14)	3.780 (2.200)
Number of completed grades (highest grade attained)	4.698 (3.45)	4.303 (3.31)	5.150 (3.555)
Inter-American Reading Series Test score (SIA) <sup>c</sup>	36.051 (22.31)	34.407 (21.85)	37.945 (22.709)
Raven's Progressive Matrix score <sup>c</sup>	17.703 (6.14)	16.263 (5.40)	19.361 (6.512)
(1) if exposed to intervention			
From birth to 36 months	0.394	0.372	0.419
From birth to 36 months × <i>atole</i>	0.211	0.206	0.218
(1) if lived in Santo Domingo (large <i>fresco</i> )	0.258	0.253	0.264
(1) if lived in San Juan (small <i>fresco</i> )	0.228	0.237	0.218
(1) if lived in Conacaste (large <i>atole</i> )	0.304	0.298	0.311
(1) if lived in Espiritu Santo (small <i>fresco</i> )	0.210	0.213	0.207
Birth year	1970.1 (4.21)	1969.9 (4.30)	1970.2 (4.11)
(1) if male	0.466	-	-
Mother's age when child was born	27.487 (7.07)	27.646 (7.18)	27.305 (6.94)
Father's age when child was born	35.856 (8.25)	32.963 (8.44)	32.733 (8.04)
Mother's grades of schooling completed	1.349 (1.67)	1.243 (1.59)	1.472 (1.75)
Father's grades of schooling completed	1.679 (2.09)	1.663 (1.99)	1.700 (2.20)
Household wealth index score in 1967 or 1975	-3.094 (0.89)	-3.106 (0.88)	-3.080 (0.91)
(1) if village school permanent structure when child was 7	0.477	0.464	0.491
(1) if village school permanent structure when child was 13	0.825	0.809	0.842
Village student–teacher ratio when child was 7	39.935 (8.96)	40.277 (9.35)	39.543 (8.48)
Village student–teacher ratio when child was 13	36.307 (5.26)	36.271 (5.34)	36.347 (5.17)

Notes: Sample consists of all individuals who were exposed to the INCAP supplementation intervention between 1969 and 1977 and who were subsequently re-interviewed in 2002–04.

<sup>a</sup> Calculations based on 1365 observations (725 women and 640 men) with non-missing information.

<sup>b</sup> Calculations based on 1338 observations (715 women and 623 men) with non-missing information.

<sup>c</sup> Calculations based on 1448 observations assigning zero for SIA to those who did not pass the literacy screen (775 women and 673 men).

**Table 2—Ordinary least squares estimates of determinants of highest grade attained, by sex**

Covariates	Full sample	Women	Men
Exposure to intervention			
From birth to 36 months	-0.009 (0.04)	-0.214 (0.59)	0.182 (0.35)
From birth to 36 months × <i>atole</i>	0.349 (0.96)	1.168** (2.13)	-0.432 (0.81)
Controls			
Lived in San Juan	0.137 (0.31)	-0.259 (0.49)	0.374 (0.58)
Lived in Conacaste	-0.439 (1.18)	-0.617 (1.40)	-0.346 (0.62)
Lived in Espíritu Santo	1.731** (4.53)	1.413** (2.94)	1.976** (3.95)
Birth year	0.011 (0.30)	-0.001 (0.03)	0.041 (0.75)
Male	0.710** (4.14)		
Mother's age when child was born	-0.014 (0.72)	-0.018 (0.80)	-0.011 (0.40)
Father's age when child was born	0.014 (0.87)	0.003 (0.18)	0.027 (1.30)
Mother's grades of schooling completed	0.392** (6.24)	0.407** (4.28)	0.391** (4.73)
Father's grades of schooling completed	0.281** (4.57)	0.216** (2.64)	0.335** (4.92)
Household wealth index score in 1967 or 1975	0.852** (5.78)	0.717** (3.51)	0.970** (5.97)
Village school permanent structure when child was 7	0.070 (0.24)	0.081 (0.21)	0.079 (0.20)
Village school permanent structure when child was 13	0.043 (0.14)	0.010 (0.03)	-0.080 (0.15)
Village student-teacher ratio when child was 7	-0.025** (2.19)	-0.036** (2.37)	-0.009 (0.46)
Village student-teacher ratio when child was 13	-0.006 (0.29)	-0.010 (0.37)	-0.001 (0.04)
Constant	-14.376 (72.90)	11.82 (88.39)	-73.80 (107.7)
Model F statistic	13.92**	6.59**	9.82**
R <sup>2</sup>	0.24	0.22	0.28
N	1471	786	685

Notes: Sample consists of all individuals who were exposed to the INCAP supplementation intervention between 1969 and 1977 and who were subsequently re-interviewed in 2002–04. Additional covariates included but not reported are dummy variables for distance to feeding centers and for observations with missing data on each of the following: maternal age, paternal age, maternal schooling, paternal schooling, household wealth index, and distance to feeding center. Standard errors are calculated allowing for clustering at the mother level (StataCorp 2005). Numbers in parentheses are absolute values of *t*-statistics. \* Significant at the 10% level; \*\* significant at the 5% level.

**Table 3—Probit estimates of impact of exposure to *atole* from birth to 36 months on grades attained, by selected grades and sex**

Outcome	Marginal effects of <i>atole</i> exposure (relative to <i>fresco</i> ) from birth to 36 months		
	Full sample	Women	Men
Never attended school	-0.037 (0.1.18)	-0.034 (0.69)	-0.021 (0.45)
Completed grade 3 (or more)	0.027 (0.55)	0.101 (1.38)	-0.041 (0.52)
Completed grades 6 (primary) or more	0.019 (0.35)	0.146* (1.78)	-0.117 (1.48)
Completed grade 7	0.073 (1.62)	0.115 (1.64)	0.025 (0.39)
Completed grade 9 or more	0.080* (1.86)	0.144* (1.87)	0.014 (0.29)
Completed grades 11 or above	0.041 (1.15)	0.096 (1.41)	-0.012 (0.26)
N	1471	786	685

Notes: Sample consists of all individuals who were exposed to the INCAP supplementation intervention between 1969 and 1977 and who were subsequently re-interviewed in 2002–04. Additional covariates included but not reported are dummy variables for village (San Juan, Conacaste, Espiritu Santo), birth year, sex, maternal and paternal ages and schooling, household wealth, distance from feeding centers, permanent school structure at ages 7 and 13, student teacher ratios at ages 7 and 13, and dummy variables for observations with missing data on each of the following: maternal age, paternal age, maternal schooling, paternal schooling, household wealth index, and distance to feeding center (see Table 2). Standard errors are calculated allowing for clustering at the mother level (StataCorp 2005). We present the average marginal effect (and z-score) calculated using the methodology proposed by Norton, Wang, and Ai (2004). Numbers in parentheses are absolute values of z-statistics. \* Significant at the 10% level; \*\* significant at the 5% level.

**Table 4—OLS estimates of impact of exposure to *atole* (relative to *fresco*) from birth to 36 months on schooling progression: Grades completed by age 13 and grades passed per year of school attended by sex**

Outcome	Full sample	Women	Men
Grades completed by age 13	0.087 (0.39) [N = 1471]	0.580* (1.79) [N = 786]	-0.368 (1.07) [N = 685]
Grades passed / years attended school	0.032 (0.91) [N = 1338]	0.087* (1.79) [N = 715]	-0.020 (0.41) [N = 623]

Notes: Sample consists of all individuals who were exposed to the INCAP supplementation intervention between 1969 and 1977 and who were subsequently re-interviewed in 2002–04. Additional covariates included but not reported are dummy variables for village (San Juan, Conacaste, Espiritu Santo), birth year, sex, maternal and paternal ages and schooling, household wealth, distance from feeding centers, permanent school structure at ages 7 and 13, student teacher ratios at ages 7 and 13, and dummy variables for observations with missing data on each of the following: maternal age, paternal age, maternal schooling, paternal schooling, household wealth index, and distance to feeding center (see Table 2). Standard errors are calculated allowing for clustering at the mother level (StataCorp 2005). Numbers in parentheses are absolute values of t-statistics. \* Significant at the 10% level; \*\* significant at the 5% level.

**Table 5—Estimates of impact of exposure to *atole* (relative to *fresco*) from birth to 36 months on Reading Comprehension (SIA), by sex**

	Full sample	Women	Men
<b>OLS:</b>			
Score on the Inter-American Reading test	6.191** (2.52)	8.797** (2.49)	4.736 (1.30)
<b>Probit (marginal effect):</b>			
(1) if second quartile or higher	0.096* (1.87)	0.142* (1.91)	0.068 (0.93)
(1) if third quartile (above median) or higher	0.140** (2.47)	0.130 (1.63)	0.184** (2.17)
(1) if top quartile	0.115** (2.19)	0.190** (2.31)	0.053 (0.72)
N	1448	775	673

Notes: See Table 3. For probits, we present the average marginal effect (and z-score) calculated using methodology proposed by Norton, Wang, and Ai (2004).

**Table 6—Estimates of impact of exposure to *atole* (relative to *fresco*) from birth to 36 months on Nonverbal Cognitive Skills (Raven’s Progressive Matrices), by sex**

	Full sample	Women	Men
<b>OLS:</b>			
Score on Raven’s test	1.459** (2.01)	1.290 (1.35)	1.666 (1.53)
<b>Probit (marginal effect):</b>			
(1) if second quartile or higher	0.039 (0.82)	0.032 (0.45)	0.047 (0.73)
(1) if third quartile (above median) or higher	0.100* (1.94)	0.128* (1.87)	0.078 (0.97)
(1) if top quartile	0.173** (3.25)	0.153** (2.09)	0.183** (2.45)
N	1448	775	673

Notes: See Table 5.

**Table 7—Selected results with alternative calculations of standard errors**

Outcome	Coefficient	T statistics for coefficient on exposure to <i>atole</i> (relative to <i>fresco</i> ) from birth to 36 months		
		Accounting for clustering (household)	Accounting for clustering (village × birth year)	Block bootstrapped (10000 repetitions) drawn from clusters (village × birth year)
Highest grade attained, women	1.168	2.13**	2.41**	2.14**
Grades passed / years attended school, women	0.087	1.79*	1.76*	1.57
Reading Comprehension (SIA)	6.191	2.52**	2.41**	2.19**
Nonverbal Cognitive Skills (Raven’s Progressive Matrices)	1.647	2.01**	2.36**	2.13**

Notes: See Table 3. Results in the first two columns for highest grade are from Table 2, for grades passed/years attended from Table 4, for SIA from Table 5, and for Raven’s from Table 6. Standard errors are calculated in three different ways: allowing for clustering at the mother level (second column); allowing for clustering at the village × birth year level (third column); and by block bootstrapping (fourth column). Numbers in parentheses are absolute values of t-statistics. \* significant at the 10% level; \*\* significant at the 5% level.

**Table 8—Select group level (village × birth year) results**

Outcome	Coefficient on exposure: Birth to 36 months × <i>atole</i>	Coefficient on exposure: Birth to 36 months × <i>atole</i> Restricted specification
Highest grade attained, women	0.859 (1.23)	1.22** (2.13)
Grades passed / years attended school, women	0.068 (1.24)	0.101* (1.72)
Reading Comprehension (SIA)	6.708* (1.78)	5.853* (1.89)
Nonverbal Cognitive Skills (Raven’s Progressive Matrices)	1.751 (1.63)	1.425* (1.81)
N	64	64

Notes: Data used to estimate these results are group (village × birth year) means of all individuals (all women in the first two rows) who were exposed to the INCAP supplementation intervention between 1969 and 1977 and who were subsequently re-interviewed in 2002–04. Models are estimated as OLS. Additional covariates included but not reported are group averages for dummy variables for village (San Juan, Conacaste, Espíritu Santo), birth year, sex, maternal and paternal ages and schooling, household wealth, distance from feeding centers, permanent school structure at ages 7 and 13, student teacher ratios at ages 7 and 13, and dummy variables for observations with missing data on each of the following: maternal age, paternal age, maternal schooling, paternal schooling, household wealth index, and distance to feeding center (see Table 2). The restricted specification drops birth year, parental age and schooling (and their missing value dummies), and the distance variables. Standard errors are calculated using the Huber-White correction. Numbers in parentheses are absolute values of t-statistics. \* Significant at the 10% level; \*\* significant at the 5% level.

**Table 9—Select results accounting for attrition bias**

<b>Outcome</b>	<b>Coefficient on exposure: Birth to 36 months x <i>atole</i></b>	<b>Coefficient on exposure: Birth to 36 months x <i>atole</i> accounting for attrition</b>
Highest grade attained, women	1.168** (2.13)	1.214** (2.23)
Grades passed / years attended school, women	0.087* (1.79)	0.085* (1.70)
Reading Comprehension (SIA)	6.191** (2.52)	5.921** (2.39)
Nonverbal Cognitive Skills (Raven's Progressive Matrices)	1.647** (2.01)	1.446** (2.01)

Notes: See Table 3 for description of sample and other covariates that are included but not reported. Standard errors are calculated allowing for clustering at the mother level (StataCorp 2005). Results that account for attrition are based on applying sampling weights based on the characteristics of individuals who remained in the sample (see Section 5.7 and Appendix Table 1). Numbers in parentheses are absolute values of *t*-statistics. \* Significant at the 10% level; \*\* significant at the 5% level.

**Table 10—Estimates of impact of exposure to *atole* (relative to *fresco*) from birth to 36 months on 1988–89 highest grade attained, SIA, and Raven’s Progressive Matrices, by sex**

	Full sample	Women	Men
<b>Means:</b>			
Highest grade attained	3.884	3.689	4.075
Standard deviation	(1.91)	(1.86)	(1.93)
N	1323	652	671
Reading Comprehension (SIA)	32.065	31.618	32.511
Standard deviation	(21.52)	(21.46)	(21.58)
N	1368	683	685
Nonverbal Cognitive Skills (Raven’s Progressive Matrices)	11.197	10.340	12.051
Standard deviation	(4.591)	(4.191)	(4.812)
N	1368	683	685
<b>Effect of <i>atole</i> (relative to <i>fresco</i>):</b>			
Highest grade attained	-0.013	0.454	-0.417
	(0.07)	(1.52)	(1.46)
	4.304**	7.114**	1.883
Reading Comprehension (SIA)			
	(1.99)	(2.25)	(0.59)
Nonverbal Cognitive Skills (Raven’s Progressive Matrices)	0.862*	1.184	0.632
	(1.68)	(1.61)	(0.89)

Notes: Sample consists of all individuals who were exposed to the INCAP supplementation intervention between 1969 and 1977 and who were subsequently re-interviewed in 1988–89. Additional covariates included but not reported are dummy variables for village (San Juan, Conacaste, Espíritu Santo), birth year, sex, maternal and paternal ages and schooling, household wealth, distance from feeding centers, permanent school structure at ages 7 and 13, student teacher ratios at ages 7 and 13, and dummy variables for observations with missing data on each of the following: maternal age, paternal age, maternal schooling, paternal schooling, household wealth index, and distance to feeding center (see Table 2). Standard errors are calculated allowing for clustering at the mother level (StataCorp 2005). Numbers in parentheses are absolute values of t-statistics. \* Significant at the 10% level; \*\* significant at the 5% level.

**Appendix Table 1—Attrition probits to construct weights used in Table 9**

	Model 1	Model 2
Covariates	(1) if in sample	(1) if in sample
Exposure to intervention		
From birth to 36 months	0.0199 (0.59)	0.0358 (0.98)
From birth to 36 months × <i>atole</i>	-0.0149 (0.36)	-0.0190 (0.47)
Lived in San Juan	0.0351 (0.66)	0.0194 (0.35)
Lived in Conacaste	-0.0455 (1.07)	-0.0494 (1.14)
Lived in Espíritu Santo	0.0802* (1.86)	0.0667 (1.53)
Birth year	-0.0059 (1.30)	-0.0109** (2.13)
Male	-0.1171** (5.35)	-0.1274** (5.72)
Mother's age when child was born	-0.0017 (0.81)	-0.0014 (0.64)
Father's age when child was born	-0.0009 (0.47)	0.0009 (0.44)
Mother's grades of schooling completed	0.0093 (1.13)	0.0104 (1.20)
Father's grades of schooling completed	-0.0055 (0.83)	-0.0094 (1.37)
Household wealth index score in 1967 or 1975	-0.0142 (0.94)	-0.0088 (0.58)
Village school permanent structure when child was 7	-0.0825** (2.15)	-0.0906** (2.30)
Village school permanent structure when child was 13	0.0074 (0.17)	0.0132 (0.30)
Village student-teacher ratio when child was 7	-0.0032* (1.86)	-0.0031* (1.74)
Village student-teacher ratio when child was 13	0.0017 (0.62)	0.0020 (0.74)
Mother alive when child age 7	-	-0.2338** (1.97)
Father alive when child age 7	-	-0.1171 (1.30)
Child lived with both mother and father in 1975	-	-0.0432 (1.25)
Child lived with both mother and father in 1987	-	0.0689** (2.20)
Mother alive in 2002	-	0.1438** (3.30)
Father alive in 2002	-	0.1183** (3.38)
Mother living in original village in 2002	-	0.0532 (1.28)
Father living in original village in 2002	-	0.0042 (0.11)
Logarithm of number of siblings in survey	-	-0.1991** (5.84)
Whether any sibling re-interviewed in 2002–04	-	0.4128** (8.07)
Chi-square statistic on variables in model 2 only	-	116.4** [<0.01]
Model Chi-square statistic	246.7** [< 0.01]	376.2** [< 0.01]
Pseudo-R <sup>2</sup>	0.12	0.17
Sample size	2392	2392

Notes: Sample consists of all individuals who were exposed to the INCAP supplementation intervention between 1969 and 1977. Additional covariates included but not reported are dummy variables for observations with missing data on each of the following: maternal age, paternal age, maternal schooling, paternal schooling, household wealth index, and distance to feeding center. Standard errors are calculated allowing for clustering at the mother level (StataCorp 2005). Derivatives evaluated at the mean ( $dP/dx$ ) presented with the absolute value of corresponding  $z$ -statistics in parentheses. Interaction effect on *atole* calculated using methodology proposed by Norton, Wang, and Ai (2004). \* Significant at the 10% level; \*\* significant at the 5% level.  $P$ -values are in brackets.



Figure 1. Relation between original 1969–77 sample and the 2002–04 sample (updated from Grajeda et al. 2005, Figure 1)

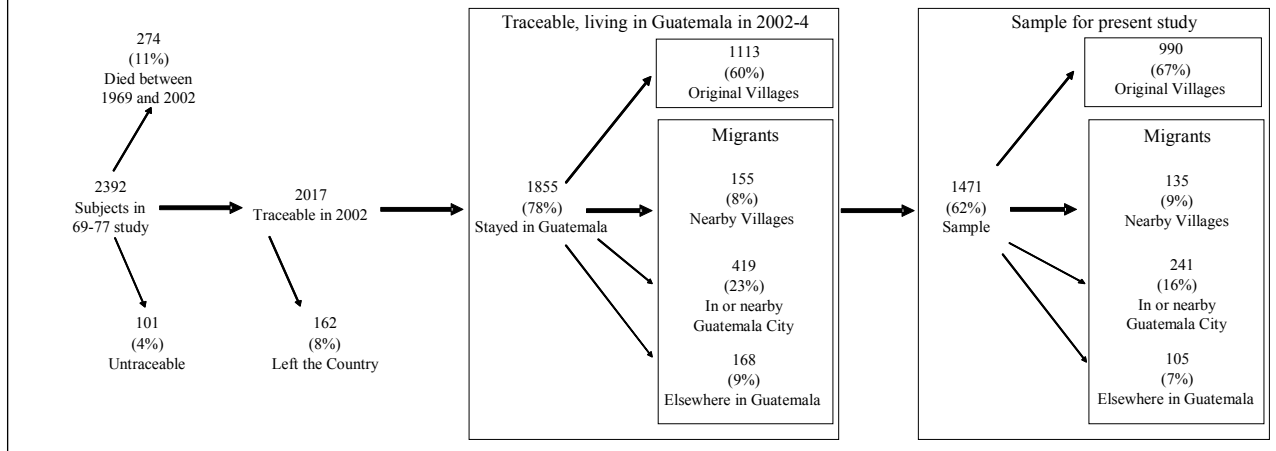


Figure 2—Distribution of highest grade attained

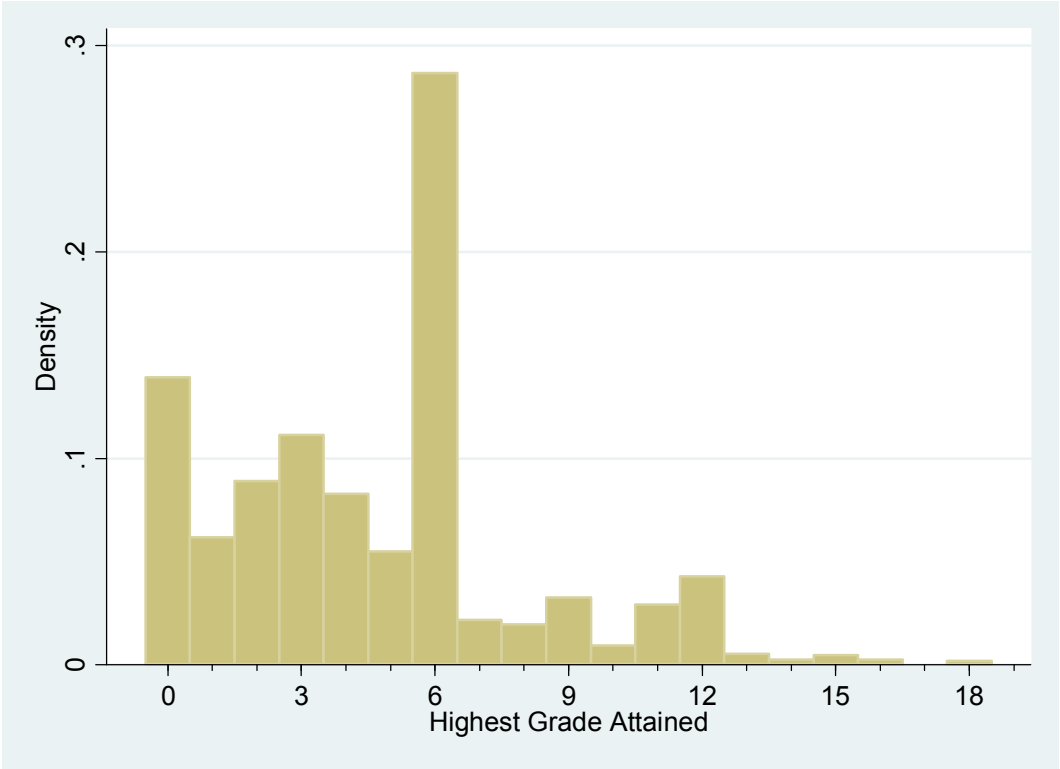


Figure 3—Distribution of ratio of grades attained to years attended

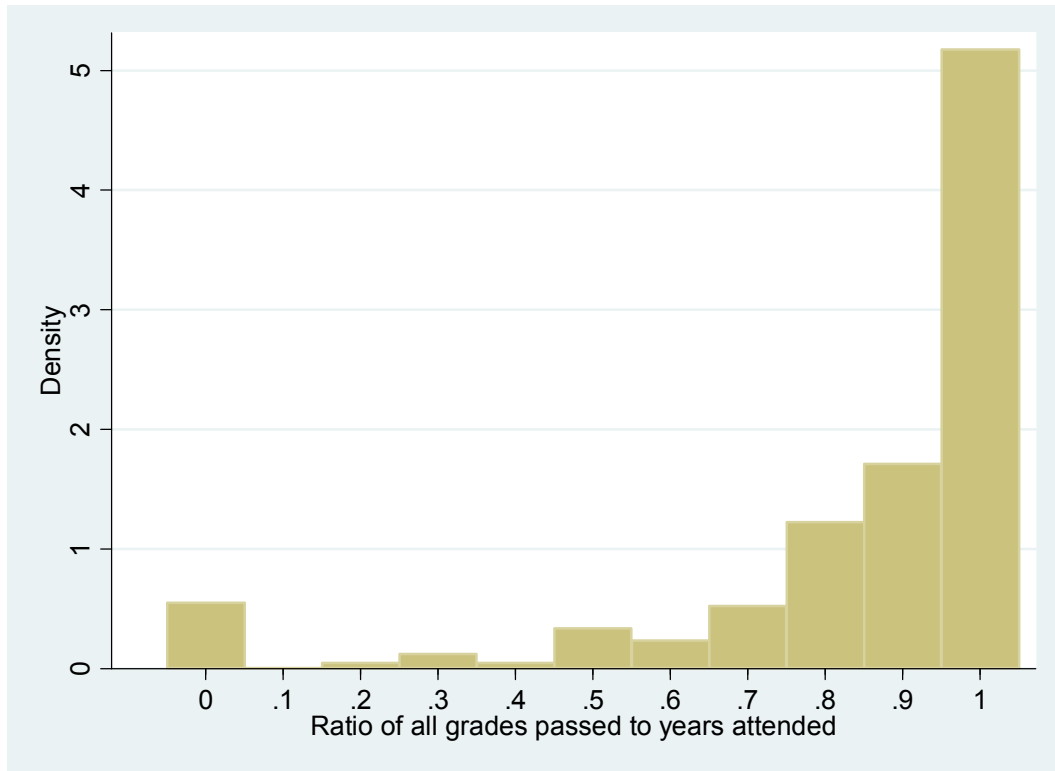


Figure 4—Distribution of Reading Comprehension (SIA) Scores

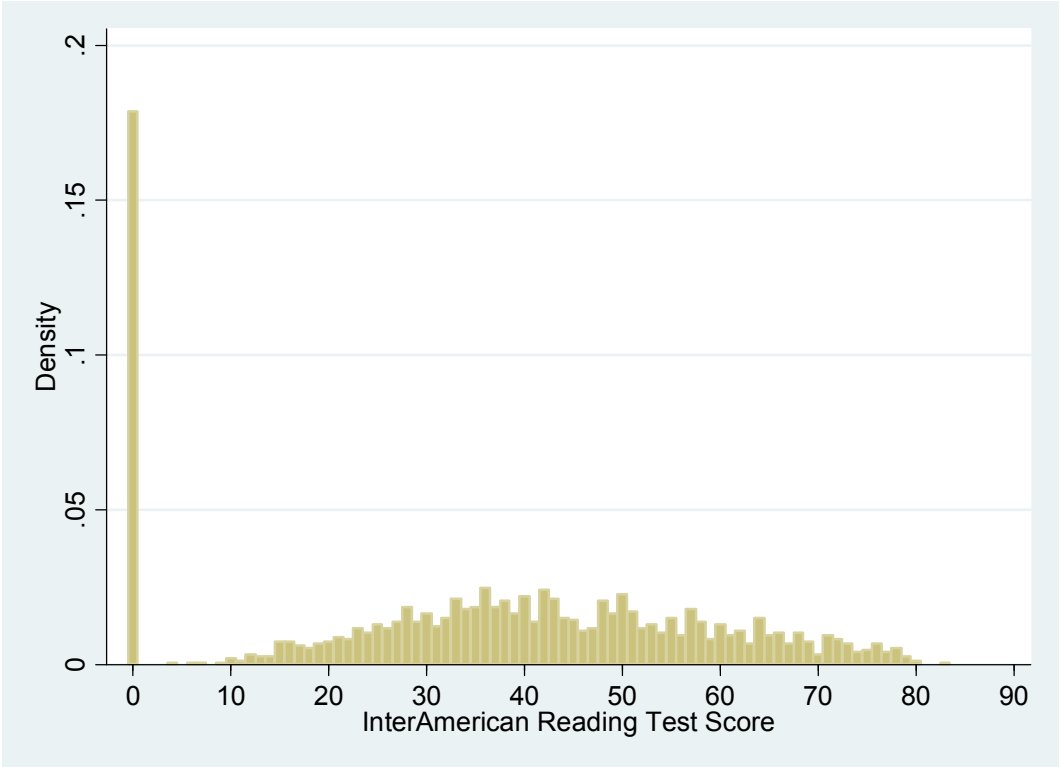


Figure 5—Distribution of Nonverbal Cognitive test (Raven’s Progressive Matrices) scores

