

Feasible Formations of Multi-Agent Systems¹

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Abstract

Formations of multi-agent systems, such as satellites and aircraft, require that individual agents satisfy their kinematic equations while constantly maintaining inter-agent constraints. In this paper, we develop a systematic framework for studying formations of multi-agent systems. In particular, we consider *undirected formations* for centralized formations and *directed formations* for decentralized formations. In each case, we determine differential geometric conditions that guarantee formation feasibility given the individual agent kinematics. Our framework also enables us to extract a smaller control system that describes the formation kinematics while maintaining all formation constraints.

1 Introduction

Advances in communication and computation have enabled the distributed control of multi-agent systems. This philosophy has resulted in next generation automated highway systems [9], coordination of aircraft in future air traffic management systems [8], as well as formation flying aircraft, satellites, and multiple mobile robots [2, 3, 7, 4].

The control of multiple homogeneous or heterogeneous agents raises fundamental questions regarding the *formation control* of a group of agents. Multi-agent formations require individual agents to satisfy their kinematics while constantly satisfying inter-agent constraints. In typical leader-follower formations, the leader has the responsibility of guiding the group, while the followers have the responsibility of maintaining the inter-agent formation. Distributing the group control tasks to individual agents must be compatible with the control and sensing capabilities of the individual agents. As

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the inter-agent dependencies get more complicated, a systematic framework for controlling formations is vital.

In this paper, we propose a framework for formation control of multi-agent systems. Formations are modeled using *formation graphs* which are graphs whose nodes capture the individual agent kinematics, and whose edges represent inter-agent constraints that must be satisfied. A similar approach has been proposed in [4]. We assume kinematic models for each agent described by drift free control systems. This class of systems is rich enough to capture holonomic, nonholonomic, or underactuated agents. Two distinct types of formations are considered : *undirected formations* and *directed formations*.

In undirected formations each agent is equally responsible for maintaining the formation. For each edge constraining two agents of the formation graph, both agents cooperate in order to satisfy the constraint. Undirected formations therefore present a more centralized approach to the formation control problem as communication between agents is, in general, necessary. In directed formations, for each edge constraining two agents, only one of the agents (the follower) is responsible for maintaining the constraint. Directed formations, therefore, represent a more decentralized solution to the formation control problem.

In this paper, we focus on the *feasibility* problem: *Given the kinematics of several agents along with the inter-agent constraints, determine whether there exist agent trajectories that maintain the constraints.* For both directed and undirected formations we obtain differential-geometric conditions that determine formation feasibility. When such conditions are verified the *formation control abstraction* problem is then considered: *Given a feasible formation, extract a smaller control system that maintains formations along its trajectories.* The extracted control system allows to control the formation as a single entity, therefore being well suited for higher levels of control.

The structure of this paper is as follows: In Section 2 we

define the notion of a formation graph. In Section 3 we consider the feasibility problem for undirected formations, whereas in Section 4 we consider it for directed formations. Finally, Section 5 describes many interesting directions of further research.

2 Formation Graphs

We assume the reader is familiar with various differential geometric concepts at the level of [1]. Consider n heterogeneous agents with states $x_i(t) \in M_i$, $i = 1, \dots, n$ whose kinematics are defined by drift free controlled distributions on manifolds M_i as:

$$\begin{aligned} \Delta_i &: M_i \times U_i \rightarrow TM_i \\ \Delta_i &= \sum_j X_j u_j \end{aligned} \quad (1)$$

where U_i is the control space, and the vector fields X_j form a basis for the distribution. The controlled distributions are general enough to model nonholonomy and underactuation. A distribution Δ_i can be equivalently defined by its annihilating codistribution ω_{K_i} defined as [5]:

$$\omega_{K_i} = \{\alpha \in T^*M_i \mid \alpha(\Delta) = 0\} \quad (2)$$

The formation of a set of agents is defined by the *formation graph* which completely describes individual agent kinematics and global inter-agent constraints.

Definition 2.1 (Formation Graph) A formation graph $F = (V, E, C)$ consists of:

- A finite set V of vertices whose cardinality is equal to the number of agents. Each vertex $v_i : M_i \times U_i \rightarrow TM_i$ is a distribution Δ_i modeling the kinematics of each individual agent as described in (1).
- A binary relation $E \subset V \times V$ representing a link between agents.
- A family of constraints C indexed by the set E , $C = \{c_e\}_{e \in E}$. For each edge $e = (v_i, v_j)$, c_e is a possibly time varying function $c_e(x_i, x_j, t) = 0$ describing the $\phi(e)$ independent constraints between vertices v_i and v_j . For a generic edge $e = (v_i, v_j)$, c_e is mathematically defined as $c_e : M_i \times M_j \times \mathbb{R} \rightarrow \mathbb{R}^{\phi(e)}$, $\phi(e) \in \mathbb{N} \ \forall e \in E$.

Two different types of formation graphs will be considered: undirected formations where (V, E) will be an undirected graph and directed formations where (V, E) will be a directed graph. In undirected formations,

for each edge $e = (v_i, v_j)$ both agents are equally responsible for maintaining the associated constraint c_e , whereas for directed formations the constraint c_e must be maintained by agent i . At this point no further structure is assumed on the set E , additional structure will be explicitly mentioned when needed throughout the paper.

In this paper, we focus on the formation feasibility problem, more precisely:

Problem 2.2 Given a formation graph $F = (V, E, C)$ determine whether there are solutions $x_i(t)$ of all agent kinematics (1) that maintain the constraints c_e for all $e \in E$.

We will solve Problem 2.2 for both undirected and directed formations. In case the formation is feasible, a new problem immediately emerges, the extraction of a formation control abstraction which characterizes the solution space of Problem 2.2 :

Problem 2.3 Given a feasible formation graph $F = (V, E, C)$, extract a smaller control system that maintains formation for all values of its control inputs.

Problem 2.3 will also be solved for both the undirected and the directed cases.

3 Undirected Formations

3.1 Feasibility

In undirected formations each agent is equally responsible for maintaining constraints. Because of this property it will be useful to collect all agent kinematics and constraints on a single manifold:

$$M = \prod_{i=1}^n M_i \quad (3)$$

Given an element x of M the canonical projection on the i th agent:

$$\pi_i : M \rightarrow M_i \quad (4)$$

allow us to denote the state of the individual agents by $x_i = \pi_i(x)$. The formation kinematics is obtained by appending individual kinematics through direct sum, that is:

$$\begin{aligned} \Delta &: M \times U \rightarrow TM \\ \Delta &= \oplus_{i=1}^n \Delta_i \end{aligned} \quad (5)$$

where U is taken to be $U = \prod_{i=1}^n U_i$. To lift the individual constraints c_e from $M_i \times M_j \times \mathbb{R}$, $i, j \in \{1, 2, \dots, n\}$

to the group manifold M we define C_e by:

$$\begin{aligned} C_e &: M \times \mathbb{R} \rightarrow \mathbb{R}^{\phi(e)} \\ C_e(x, t) &= c_e(\pi_i(x), \pi_j(x), t) \end{aligned} \quad (6)$$

Formation feasibility requires that the constraints are satisfied along the formation trajectories, more precisely:

$$\frac{d}{dt}C_e = \mathcal{L}_X C_e + \frac{\partial C_e}{\partial t} = 0 \quad \forall e \in E \quad (7)$$

When C_e is vector valued we consider that the Lie derivate of C_e along X will be given by $\mathcal{L}_X C_e = [\mathcal{L}_X C_e^1 \mathcal{L}_X C_e^2 \dots \mathcal{L}_X C_e^{\phi(e)}]^T$. To develop a single mathematical object that will allow us to check for feasibility we will adopt a differential forms approach instead of working directly with the vector fields. By defining the exterior derivative of C_e as $dC_e = [dC_e^1 dC_e^2 \dots dC_e^{\phi(e)}]^T$ equation (7) can be written as $dC_e|_t(X) = -\frac{\partial C_e}{\partial t}|_t$, where we have denoted by $dC_e|_t$ the exterior derivative of C_e for fixed t . If we now consider an enumeration $\{1, 2, \dots, m\}$ of the edges set E and define the following vector valued forms¹:

$$\omega_F = \begin{bmatrix} dC_1|_t \\ dC_2|_t \\ \vdots \\ dC_m|_t \end{bmatrix} \quad T_F = - \begin{bmatrix} \frac{\partial C_1}{\partial t} \\ \frac{\partial C_2}{\partial t} \\ \vdots \\ \frac{\partial C_m}{\partial t} \end{bmatrix} \quad (8)$$

we can express equation (7) as:

$$\omega_F(X) = T_F \quad (9)$$

The kinematics can also be modeled as differential forms by using the annihilating codistributions. This lead us to define a single codistribution ω_K modeling the kinematics of all formation agents as:

$$\omega_K = [\omega_{K_1} \omega_{K_2} \dots \omega_{K_n}]^T \quad (10)$$

Solutions of equation (9) represent vector fields that maintain formation while solutions of equation (10) satisfy the kinematics. Therefore by merging both objects into:

$$\Omega = \begin{bmatrix} \omega_F \\ \omega_K \end{bmatrix} \quad T = \begin{bmatrix} T_F \\ \mathbf{0} \end{bmatrix} \quad (11)$$

we can check for formation feasibility in a single equation:

$$\Omega(X) = T \quad (12)$$

The previous discussion leads to the following solution of Problem 2.2:

¹This definition is independent of the chosen enumeration as can be easily verified.

Proposition 3.1 *An undirected formation is feasible iff equation (12) has solutions, equivalently iff T belongs to the range of Ω .*

Corollary 3.2 (Time-Invariant Case) *If the formation constraints C are time-invariant then the undirected formation is feasible iff Ω (thought as a pointwise linear map between vector spaces) is not of full rank.*

A solution of equation $\Omega(X) = T$ specifies the motion of each individual agent. When more than one independent solution exists, a change in the direction of a single agent may require that all other agents also change their actions to maintain formation. This shows that, in general, solutions for undirected formations are centralized and require inter-agent communication for their implementation.

3.2 Group Abstraction

Whenever more then one independent solutions exist, the solution space of equation $\Omega(X) = T$ can be used to extract a smaller control system that will preserve the formation along its trajectories. This new control system is an abstraction that hides away low-level control necessary to maintain the formation and can be used in higher levels of control. Since the solution space is in general an affine space the new control system will also be affine in the control. Let K_p be a particular solution of equation (12), Problem 2.3 is therefore solved by the new control system:

$$\Delta_G = K_p + \text{Ker}(\Omega) \quad (13)$$

If we now denote by $\{K_1, K_2, \dots, K_k\}$ a basis for the kernel of Ω we can rewrite (13) in a more usual form as:

$$\Delta_G = K_p + \sum_{j=1}^k K_j u_j \quad (14)$$

In the time-independent case we recover linearity of the abstracted control system since we can chose $K_p = 0$. The centralized nature of the problem is also reflected on the control abstraction. When one or more of the control inputs u_i are used, inter-agent cooperation is necessary to implement the new direction of motion since each vector K_j specifies the motion for all formation agents.

In addition to using the above abstracted system to control the formation, one can also guide the formation by appending a *virtual* vertex v_0 defining the reference trajectory and several edges specifying how the reference should be followed by the formation. In particular consider a feasible formation graph $F = (V, E, C)$ and let V' be a singleton containing the vertex v_0 : $\mathbb{R} \rightarrow TM_0$, $v_0 = \frac{d}{dt}x_0(t)$. This vertex is connected

to the remaining formation by the additional edge set $E' = \cup_{i \in I} \{(v_0, v_i)\}$, where $I \subseteq V$ is a subset of all the vertices indices. Associated with each vertex we have the constraints $C' = \{c'_e\}_{e' \in E'}$ and we can define a new formation graph given by $F' = (V' \cup V, E' \cup E, C' \cup C)$. Once again it is necessary to ensure that the feasible formation is capable of maintaining the reference constraints by applying Proposition 3.1 to formation graph F' .

Note that this construction is general enough to encompass traditional formations such as: leader-follower by superimposing the virtual vertex onto an existing one or placing references on the formation centroid [4, 7]. It also allows some other interesting possibilities such as connecting a disconnected feasible formation graph by the reference constraints, that is several independent formations following a single reference.

Example: Consider two planar robots evolving on $M_i = \mathbb{R}^2 \times \mathbb{S}^1$ $i = 1, 2$, parameterized by (x_i, y_i, θ_i) , $\theta_i \in [0, 2\pi]$, $x_i, y_i \in \mathbb{R}$. Robot 1 is nonholonomic, therefore only motions along the direction where it is pointed to are allowed while robot 2 is holonomic being able to move in any direction. The two robots are described by the following controlled distributions:

$$\begin{aligned}\Delta_1 &= X_1^1 u_1 + X_2^1 u_2 \\ \Delta_2 &= X_1^2 u_1 + X_2^2 u_2 + X_3^2 u_3\end{aligned}\quad (15)$$

where the vectors X_1 , X_2 and X_3 are defined as:

$$X_1^i = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \quad X_2^i = \begin{bmatrix} \cos \theta_i \\ \sin \theta_i \\ 0 \end{bmatrix} \quad X_3^i = \begin{bmatrix} -\sin \theta_i \\ \cos \theta_i \\ 0 \end{bmatrix} \quad (16)$$

Equivalently the kinematics of robot 1 and 2 can be collectively modeled by the following form:

$$\omega_K = \begin{bmatrix} -\sin \theta_1 dx_1 + \cos \theta_1 dy_1 \\ 0 dx_2 + 0 dy_2 \end{bmatrix} \quad (17)$$

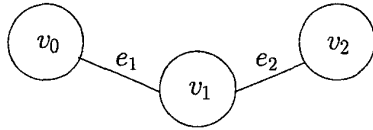


Figure 1: Graph used to specify the undirected formation.

The desired formation is presented on Figure 1. Vertex v_0 is a virtual node associated with the reference trajectory given by $(\dot{a}(t), \dot{b}(t))$. The constraints associated with edge e_1 are given by $c_{e_1} = [x_0 - x_1 \quad y_0 - y_1]^T$, therefore the position of vertex v_0 will be the same as the position of vertex v_1 , but no constraints exist on the orientation. The constraints associated with edge e_2 are $c_{e_2} = [x_1 - x_2 - k_x \quad y_1 - y_2 - k_y \quad \theta_1 - \theta_2]^T$ for

some positive offsets k_x and k_y . These constraints require that both agents perform equal trajectories translated by the offsets k_x and k_y . From the constraints we compute the form ω_F and the vector T_F :

$$\omega_F = \begin{bmatrix} -dx_1 \\ -dy_1 \\ dx_1 - dx_2 \\ dy_1 - dy_2 \\ d\theta_1 - d\theta_2 \end{bmatrix} \quad T_F = \begin{bmatrix} -\dot{a}(t) \\ -\dot{b}(t) \\ 0 \\ 0 \\ 0 \end{bmatrix} \quad (18)$$

Constructing the form Ω and the vector T from the above forms we easily see that T belongs to the range of Ω , as long as $\dot{b}(t) \cos \theta_1 - \dot{a}(t) \sin \theta_1 = 0$ (meaning that robot 1 must be aligned with the reference velocity) therefore the formation is feasible. If we swap the location of the robots, the new form ω_K will be given by:

$$\omega_K = \begin{bmatrix} 0 dx_1 + 0 dy_1 \\ -\sin \theta_2 dx_2 + \cos \theta_2 dy_2 \end{bmatrix} \quad (19)$$

and the equation $\Omega(X) = T$ has solutions as long as robot 1 is aligned with the reference velocity and since both robots must share the same orientation, robot 2 must also be aligned with the reference velocity. Both undirected formations are feasible but this is not the case when dealing with directed formations as we shall see next.

4 Directed Formations

Another important class of formations can be modeled by directed graphs. A directed graph assigns responsibilities to the formation members in an asymmetric way. For each edge $e = (v_i, v_j)$ agent i is responsible for maintaining the constraints c_e , while agent j is not affected by the constraint of the edge.

We will assume through the remaining section that a directed formation graph is a directed acyclic graph. As a consequence all directed formations will have at least one leader. This assumption will allow the recursive procedures to start on the leaders and to terminate since there are no cycles. Cyclic formation graphs, although important, will be discussed separately [6]. We will also consider that the formation constraints are time independent for simplicity of presentation although the results can easily be extended to time-varying constraints.

Although in the undirected case we were able to lift the constraints and individual agents kinematics to a larger manifold M , the same approach will not be possible for the directed case since only one agent is responsible for satisfying the constraints associated with an edge. More precisely, given an edge $e = (v_i, v_j)$ the

time derivative of its associated constraints c_e can be decomposed as:

$$\frac{dc_e}{dt} = \mathcal{L}_{X_i} c_e + \mathcal{L}_{X_j} c_e \quad (20)$$

Feasibility requires that $\frac{dc_e}{dt} = 0$, however only X_i can be chosen to ensure feasibility. In view of this we will follow a similar approach to the undirected case, but in a recursive formulation. This requires the following operators:

Definition 4.1 (Post and Pre) Let $F = (V, E, C)$ be a directed formation graph. The Post operator is defined by

$$\begin{aligned} \text{Post} : V &\rightarrow 2^V \\ v_i &\mapsto \{v_j \in V : (v_i, v_j) \in E\} \end{aligned} \quad (21)$$

Similarly, the Pre operator is defined as:

$$\begin{aligned} \text{Pre} : V &\rightarrow 2^V \\ v_i &\mapsto \{v_j \in V : (v_j, v_i) \in E\} \end{aligned} \quad (22)$$

Intuitively, $\text{Post}(v_i)$ will return the agents that are leading agent i , while $\text{Pre}(v_i)$ will return all the agents that are following agent i . Post and Pre extend to sets of vertices in the natural way, $\text{Post}(P) = \cup_{p \in P} \text{Post}(p)$ and $\text{Pre}(P) = \cup_{p \in P} \text{Pre}(p)$.

Definition 4.2 (Leaders) A vertex v_i is called a leader iff $\text{Post}(v_i) = \emptyset$.

We shall abuse notation and represent the distribution Δ_i defining the kinematics of agent v_i by $\Delta(v_i)$ and for the set of agents $\text{Post}(v_i)$, $\Delta(\text{Post}(v_i)) = \oplus_{p \in \text{Post}(v_i)} \Delta(p)$ defined over $\Pi_{p \in \text{Post}(v_i)} M_p$. Similarly to the undirected case we define the following objects for each agent i :

$$\omega_F^i = \begin{bmatrix} dc_1|_{x_j} \\ dc_2|_{x_j} \\ \vdots \\ dc_m|_{x_j} \end{bmatrix} \quad \omega_F^j = - \begin{bmatrix} dc_1|_{x_i} \\ dc_2|_{x_i} \\ \vdots \\ dc_1|_{x_i} \end{bmatrix} \quad (23)$$

where $\{1, 2, \dots, m\}$ is an enumeration of the edges set between agent i and its leaders ($\text{Post}(v_i)$). These vector valued differential forms allow us to write equation (20) as:

$$\omega_F^i(X_i) = \omega_F^j(X_j) \quad (24)$$

which is to be considered only for $X_i \in \Delta(v_i)$ and $X_j \in \Delta(\text{Post}(v_i))$. Instead of restricting the X_i 's to $\Delta(v_i)$ we can incorporate the kinematic restrictions directly into equation (24) by defining:

$$\Omega^i = \begin{bmatrix} \omega_F^i \\ \omega_K^i \end{bmatrix} \quad \Omega^j = \begin{bmatrix} \omega_F^j \\ 0 \end{bmatrix} \quad (25)$$

where ω_K^i is the vector valued form annihilating agent i kinematic distribution $\Delta(v_i)$. Equation (20) can now be further modified to the following form.

$$\Omega^i(X_i) = \Omega^j(X_j) \quad \forall X_j \in \Delta(\text{Post}(v_i)) \quad (26)$$

This motivates the following result analogous to the undirected case:

Proposition 4.3 A directed formation is feasible iff equation (26) has solutions for each agent i in the formation. Equivalently iff the range of $\Omega^j|_{\Delta(\text{Post}(v_i))}$ is contained in the range of Ω^i for each agent i .

Since Proposition 4.3 must be true for each agent, an algorithm can be constructed to determine feasibility. Let $L \subset V$ be a set of leaders and denote by $(\Omega^i)^{-1}(X)$ the set of preimages of X under Ω^i and by $\mathcal{R}(S)$ the range of operator S .

Algorithm 1 (Directed Feasibility)

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initialization:  $V := L$ 
while  $\text{Pre}(V) \neq \emptyset$  do
   $V := \text{Pre}(V)$ 
  for all  $v_i \in V$  do
     $\Delta(v_i) := 0$ 
    if  $\mathcal{R}(\Omega^j|_{\Delta(\text{Post}(v_i))}) \not\subseteq \mathcal{R}(\Omega^i)$ 
      return UNFEASIBLE
    STOP
    else
       $\Delta(v_i) := \Delta(v_i) + (\Omega^i)^{-1}(\mathcal{R}(\Omega^j|_{\Delta(\text{Post}(v_i))}))$ 
    end if
  end
end

```

All the computations in the algorithm can be performed using basis vector fields for the distributions and since there are no cycles in the algorithm we have the following result:

Theorem 4.4 (Directed Formation Feasibility)

Let $F = (V, E, C)$ be an acyclic, directed formation graph. Algorithm 1 terminates in a finite number of steps and returns:

- Unfeasible if the formation is not feasible.
- A distribution per agent specifying the available directions to maintain formation if the formation is feasible.

Example: Consider the formation graphically displayed in Figure 2, where agent 1 and agent 2 are as in the previous example. Similarly we associate the constraint $c_e = [x_1 - x_2 - k_x \quad y_1 - y_2 - k_y \quad \theta_1 - \theta_2]^T$

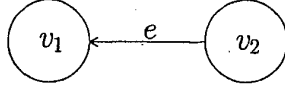


Figure 2: Graph used to specify the directed formation.

to edge $e = (v_2, v_1)$. To determine feasibility of this directed formation one has to compute:

$$\omega_F^2 = \begin{bmatrix} -dx_2 \\ -dy_2 \\ -d\theta_2 \end{bmatrix} \quad \omega_F^1 = \begin{bmatrix} -dx_1 \\ -dy_1 \\ -d\theta_1 \end{bmatrix} \quad (27)$$

and also:

$$\Omega^2 = \begin{bmatrix} -dx_2 \\ -dy_2 \\ -d\theta_2 \\ 0 \end{bmatrix} \quad \Omega^1 = \begin{bmatrix} -dx_1 \\ -dy_1 \\ -d\theta_1 \\ -\sin \theta_1 dx_1 + \cos \theta_1 dy_1 \end{bmatrix} \quad (28)$$

Feasibility now requires that $\mathcal{R}(\Omega^1|_{\Delta(Post(v_2))}) \subseteq \mathcal{R}(\Omega^2)$, but since $Post(v_2) = v_1$ and agent v_1 has no kinematic constraints, we get $\mathcal{R}(\Omega^1|_{\Delta(Post(v_2))}) = \mathcal{R}(\Omega^1)$. From this we see clearly that the conditions of Theorem 4.4 are not fulfilled and the directed formation is not feasible. Maintaining the formation requires a cooperative effort from agent v_1 to cope with agent v_2 nonholonomic restrictions. However if we change the position of the robots in the formation we render the formation feasible. In this situation the new forms are given by:

$$\Omega^2 = \begin{bmatrix} dx_2 \\ dy_2 \\ d\theta_2 \\ \sin \theta_2 dx_2 + \cos \theta_2 dy_2 \end{bmatrix} \quad \Omega^1 = \begin{bmatrix} dx_1 \\ dy_1 \\ d\theta_1 \\ 0 \end{bmatrix} \quad (29)$$

and the inclusion $\mathcal{R}(\Omega^1|_{\Delta(Post(v_2))}) \subseteq \mathcal{R}(\Omega^2)$ is satisfied, meaning that formation feasibility is achieved. This shows, in particular, that one can break the global undirected solution into local ones, for e.g. agent v_1 does not need to know that it is being followed. From an implementation point of view this means that agent v_1 control laws are independent from agent v_2 state.

When a directed formation is feasible the formation control abstraction is trivially taken as the control systems of the leaders. Contrary to the undirected case this abstraction does not allow direct control over each individual agent. Control is exerted on the leaders that indirectly control the formation through the inter-agents links.

5 Conclusions

In this paper we have proposed a general framework for determining feasibility of formations. Two differ-

ent types of formations were considered: undirected formations where inter-agent cooperation is required to maintain formation and directed formations where control responsibilities are distributed between the agents. Conditions were developed to determine formation feasibility for the two type of formations considered and a control abstraction for the group was also extracted to model the formation as single object in higher control layers.

When a directed formation is not feasible it may still be possible to extract a feasible formation by reducing the degrees of freedom that cannot be handled by the followers. This direction of research will be addressed in forthcoming publications as well as considering directed formation graphs with possible cycles.

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