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NOTE: At the time of publication, author Daniel Koditschek was affiliated with the University of Michigan. Currently, he is a faculty member in the Department of Electrical and Systems Engineering at the University of Pennsylvania.
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Rigid Body Visual Servoing Using Navigation Functions*

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Abstract

Visual servo controllers in the literature rarely achieve provably large domains of attraction, and seldom address two important sensor limitations: (i) susceptibility to self-occclusions and (ii) finite field of view (FOV). In this paper, we tackle the problem of global, occlusion-free visual servoing of a fully actuated rigid body by recourse to navigation functions on a compact manifold which encode these restrictions as control obstacles. For occlusion free rigid body servoing, the manifold of interest is the “visible” set of rigid body configurations, that is, those for which the feature points are within the field of view and unoccluded by the body. For a set of coplanar feature points on one face of a convex polyhedron, we show that a slightly conservative subset of the visible set has a simple topology amenable to analytical construction of a navigation function. We construct the controller via a closed form coordinate transformation from our problem domain into the topological model space and conclude with simulation results.

1 Introduction

Increasingly, roboticists and control engineers use computer vision systems as sensors. As is standard, this paper assumes that the vision processing system provides the image plane coordinates of features of a rigid body being observed in the scene. That is, we have a virtual sensor, \( c \) : \( \mathcal{Q} \to \mathcal{Y} \), that measures the perspective projection of features of a rigid body moving in the configuration space \( \mathcal{Q} \). Each output

\[
y = c(q)
\]

is the location of \( N \) point or edge features on the image plane, whose correspondence to rigid body features is known.

By ignoring the substantial challenges of early vision, vision-based control reduces to registering the projection of feature points of the current view of an object with those from a image taken when the object was at a goal location. The wisdom of this approach is that the sensor can determine if a positioning task has been achieved, even in the presence of calibration errors. For example, the perspective projection of four or more rigidly constrained points in general position on a body uniquely determines its pose (see for example [10]) and, hence, given a projection of those points onto a (possibly uncalibrated) camera, there exists a unique pose which registers with the projection. Many algorithms have been proposed and implemented that achieve asymptotic tracking even in the presence of large errors in sensor and robot calibration. For an introduction to this approach, broadly referred to as visual servoing, see [3].

Typically (e.g. [2]), visual servoing algorithms assume a simple, fully actuated, kinematic plant model:

\[
\dot{q} = u.
\]

To compute the input \( u \) in coordinates, one simply computes a desired velocity of image feature coordinates, \( u_d \), which is then “pulled back” through the pseudo-inverse of the Jacobian matrix so that

\[
u = -(J(q)^T J(q))^{-1} J(q)^T (y - y^*)
\]

where

\[
J(q) := D_q c(q)
\]

and \( y^* \) is desired location of image-plane features. The main advantage to this approach, many argue, is that

![Figure 1: The “admissible cone”, a topological cone denoted \( \mathcal{W}_b \), is the set of body translations which guarantee that, regardless of body rotation, all feature points will be within the FOV.](image)

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convergence is robust with respect to the model parameters of the camera and rigid body being servoed. Despite its advantages, there are many weaknesses to this approach. First, it is quasi-static, ignoring the mechanical system dynamics which impose restrictions on the speed of operation. As vision systems and processing get faster, such restrictions become undesirable. Second, there usually exist spurious critical points introduced by the alignment of $y - y^*$ with the null space of $J(q)^T$, and the absence of such spurious critical points is, to our knowledge, only guaranteed for a few cases [1, 4, 13]. Finally, there are many geometric problems associated with visual servoing such as losing sight of features due to (i) self occlusions or (ii) leaving the camera finite field-of-view (FOV). Usually these problems are ignored in analysis, and when encountered in practice simply cause the system to move into an emergency stop state, necessitating human intervention.

**Relation to Existing Literature:** Recently, Malis and Chaumette [8, 9] used the homography between corresponding images – the current view and the desired view of a body – to partially reconstruct the pose up to a scaling in depth, for which they coined the term “2½D Visual Servoing” since it is neither fully image based nor fully reconstructive. Taylor and Ostrowski [12] present a similar quasi-global, quasi-static approach which uses the epipolar geometry to do a partial pose reconstruction, though their approach normalizes the translational component to unit length. Both of these works analytically demonstrate the robustness to camera parametric uncertainty, and Malis et. al. further validate the idea with an implementation for a 6DOF servoing system. These are two of the most novel approaches to date for 6DOF rigid body visual servoing and deserve careful attention. However, both of these approaches presuppose the quasi-static limitations of the classical image-based approach, and neither deals analytically with obstacle (i), though Malis and Chaumette anecdotally claim that self-occlusions never occur with coplanar feature sets, and they introduce an “adaptive” controller to keep one point of the body within the FOV, as detailed in [7].

Along different lines, Zhang and Ostrowski presented a dynamical visual servoing algorithm for a blimp for which they found a local diffeomorphism to the image plane to control 3DOF of the blimp's motion [13], namely the radius and centroid $(\rho, x, y)$ of an ellipse from a projected sphere.

**Contributions and Organization:** The notion of an navigation function (NF) first articulated in [6], and briefly reviewed here in the appendix, proved fruitful for planar (3DOF) visual servoing [1], and this paper presents our recent efforts to design NF’s for spatial (6DOF) rigid body visual servoing.

Following that general approach, we first examine a topological model space for “occlusion-free” servoing, and then design a navigation function for that topology (Section 2). Of course the topological model is not enough; we need a coordinate system in which to do control, so in Section 2.3 we present a Cartesian coordinate system for our navigation function. Our simulations in Section 3 show the advantages of our algorithm over the traditional approach to visual servoing. We conclude with a brief account of the prospects for a family of image-based navigation functions.

2 NFs for Occlusion Free Servoing

To use NF’s, we begin with a topological characterization of “occlusion-free” servoing (2.1), construct a NF for this model space (2.2), and then show how to “deform” it back to the problem at hand (2.3).
2.1 The Topology of Occlusion Free Servoing
We must understand the topology of "occlusion-free servoing" in order to design a navigation function for it. Hence, we introduce the visible set of configurations, \( \mathcal{V} \), which render the feature points of the body completely in view of the camera – a simple and intuitive idea. In general, this set depends on the features chosen, the body geometry, the camera and the body's configuration space.

We will consider 2 cases: planar \((n = 2)\) and spatial \((n = 3)\) visual servoing. In both cases, we consider a world frame, \( \mathbf{F} \), whose origin is coincident with the camera pinhole and whose \( n\)th axis is orthogonal to the image plane. Also, we have a body fixed frame, \( \mathbf{F}_B \). The body pose, \( H \), is a homogeneous transformation matrix

\[
H = \left[ \begin{array}{cc} R & \mathbf{T} \\ 0^n & 1 \end{array} \right] \quad | R \in \text{SO}(n), \mathbf{T} \in \mathbb{R}^n
\]

and any point with homogeneous coordinates \( p \in \mathbb{A}^n \), expressed with respect to \( \mathbf{F}_B \), has coordinates \( Hp \) in \( \mathbf{F} \). We will assume that there is a goal pose \( H^* \in \mathcal{V} \).

2.1.1 Planar Case, \( \mathcal{V} \subset \text{SE}(2) \): Suppose we have a convex polygonal rigid body in a plane, with \( N \) feature points on one edge, and let \( H \in \text{SE}(2) \) denote the pose of the body. For convenience we attach the \( x \)-axis of \( \mathbf{F}_B \) to the edge of the body as depicted in Figure 3. Denote the columns of \( R = [r_1, r_2] \) and let

\[
\mathcal{F} = \{ H \in \text{SE}(2) \mid T^TR > 0 \}
\]

denote the set of configurations which are "facing" the camera pinhole. Similarly, let

\[
\mathcal{W} = \{ H \in \text{SE}(2) \mid Hp_i \in \mathcal{W}_C, i = 1, \ldots, N \}
\]

denote the "workspace" of the camera, where

\[
\mathcal{W}_C = \{ p \in \mathcal{A}^2 \mid p = o + a_0v_0 + a_1v_1, a_1, a_2 > 0 \}
\]
is the cone defined by the FOV, where \( o \) is the origin of \( \mathbf{F} \), and \( v_i \in \mathbb{R}^2 \) are the vectors coincident with the FOV boundary and have a positive projection onto the \( y \)-axis of \( \mathbf{F} \). Clearly,

\[
\mathcal{V} = \mathcal{F} \cap \mathcal{W} \in \text{SE}(2)
\]
denotes all configurations for which the three features are projected to the image plane within the FOV and without self-occlusions. See Figure 3. We showed in [1] that for \( N = 3 \), \( \mathcal{V} \) is equivalent to an open ball in \( \mathbb{R}^2 \), which led to an image-based navigation function that rendered all of \( \mathcal{V} \) as the domain of attraction for a goal \( H^* \).

2.1.2 Spatial Case, \( \mathcal{V} \subset \text{SE}(3) \): We now consider a 6DOF rigid body with a set of \( N \) coplanar features. Just as in the planar case, \( H \in \text{SE}(3) \) represents the homogeneous transformation from \( \mathbf{F}_B \) to \( \mathbf{F} \). We conveniently attach the body frame such that the \((x,y)\)-plane contains the features, and the \( z \)-axis points toward the interior of the body. Letting \( R = [r_1, r_2, r_3] \), we have

\[
\mathcal{F} = \{ H \in \text{SE}(3) \mid T^TR > 0 \}
\]

\[
\mathcal{W} = \{ H \in \text{SE}(3) \mid Hp_i \in \mathcal{W}_C, i = 1, \ldots, N \}
\]

where

\[
\mathcal{W}_C = \{ p \in \mathcal{A}^3 \mid p = o + \sum_{i=0}^{i=3} \alpha_i v_i, \alpha_i > 0, \forall i \}
\]
is a set of points on the interior of the FOV cone, defined by the four vectors \( v_i \), \( i = 1, \ldots, 4 \). Finally, we have

\[
\mathcal{V} = \mathcal{F} \cap \mathcal{W} \in \text{SE}(3).
\]

Unfortunately, we have not yet characterized the topology of \( \mathcal{V} \), so we consider a subset \( \mathcal{V}' \subset \mathcal{V} \) which is slightly conservative with respect to the FOV.

Assume all of the features are contained inside a circular disk of radius \( g \), as depicted in Figure 1. We adopt the conservative requirement that center of the disk remain fully in the "admissible cone,"

\[
\mathcal{W}_a = \{ p \in \mathcal{A}^3 \mid p = o + \sum_{i=0}^{i=3} \alpha_i v_i, \alpha_i > 0, \forall i \}
\]

The admissible cone is simply the set of locations in which all possible rotations of the body keep the features in the field of view. Hence

\[
\mathcal{V}' = \{ H \in \text{SE}(3) \mid T \in \mathcal{W}_a \}
\]

and

\[
\mathcal{V}' = \mathcal{W}' \cap \mathcal{F} \quad (1)
\]

**Proposition 1** The set \( \mathcal{V}' \subset \text{SE}(3) \) is diffeomorphic to \( D^5 \times S^1 \).

**Proof.** The centroid is confined to move in a solid cylinder, i.e. \( \mathbb{R}^+ \times \mathbb{D}^2 \). Recall that the body coordinate frame, \( \mathbf{F}_B \) is attached such that the \( z \)-axis is orthogonal to the face (facing into the body) and the \((x,y)\)-plane contains the feature points. Consider the fact that \( \text{SO}(3) \) is an \( \text{SO}(2) \) bundle over \( S^2 \), and identify the orientation of the \( z \)-axis with the basepoint in \( S^2 \). The requirement that the body faces the camera is a constraint on the \( z \)-axis, namely that it always has a positive projection of onto the line-of-site. This yields a hemisphere; i.e. a diffeomorphic copy of \( \mathbb{D}^2 \). An \( \text{SO}(2) \) bundle over \( \mathbb{D}^2 \) is diffeomorphic to \( \text{SO}(2) \times \mathbb{D}^2 \). Therefore

\[
\mathcal{V}' \approx \mathbb{R}^+ \times \mathbb{D}^2 \times \text{SO}(2) \times \mathbb{D}^2 \approx D^5 \times S^1.
\]

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2.2 Navigation Function for $D^5 \times S^1$

Let $(x, \theta) \in D^5 \times S^1$, where\footnote{Note that the open disk is diffeomorphic to the cross product of open intervals.}

$$D^5 = \{ x \in \mathbb{R}^5 \mid \|x\|_{\infty} < 1 \}$$

Define

$$\varphi(x, \theta) = \frac{\|x\|^2 + 1 - \cos(\theta)}{\prod_{i=1}^{\|x\|} (1 - x_i^2)} \quad (2)$$

Proposition 2

$$\varphi = \frac{\varphi}{1 + \varphi} \quad (3)$$

is a navigation function on $D^5 \times S^1$ with a minimum at $(0, 0)$ and a saddle at $(0, \pi)$, and achieves a maximum value of 1 on the entire boundary $\|x\|_{\infty} = 1$.

Proof. By design, $\varphi$ achieves a maximum value of 1 on the entire boundary $\|x\|_{\infty} = 1$. Furthermore, it is routine to verify that the two zeros gradient of $\varphi$ are $(0, 0)$ and $(0, \pi)$, and that the Hessian at the critical points is nonsingular. Furthermore, the Hessian at $(0, 0)$ is positive definite, hence it is a minimum, and it is indefinite at $(0, \pi)$, hence it is a saddle.

2.3 Change of Coordinates

Successful application of the NF presented above requires a change of coordinates from $V'$ to $D^5 \times S^1$. We will find it convenient to introduce the specific set of coordinates, $q \in Q$, for $SE(3)$ shown in Appendix B, and we will write matrices parameterized this way as $h(q)$.

Table 1: Coordinate bounds for $h^{-1}(V') \subseteq \mathbb{R}^5 \times S^1$. The parameters $\alpha$ and $\beta$ are the horizontal and vertical FOV angles, respectively, i.e. $\cos \alpha \cos \beta = [0, 0, 1]v_i/\|v_i\|$. The coordinates provide an intuitive handle on the topology of $V'$. Note that if we restrict the coordinates as in Table 1, we obtain a parameterization of $V'$. It is routine (though somewhat tedious) to find a closed form inverse, $h^{-1}$, for all points in $V'$.

To deform $V'$ into the simple model space in Section 2.2, we first map into local coordinates through $h^{-1}$. Then, we map the coordinate range in Table 1 through

$$b_1(q) = \left( \begin{array}{c} q_1 - 1 \\ q_2 \\ q_3 \\ q_4 \\ q_5 \\ q_6 \end{array} \right)$$

so that $b_1 \circ h^{-1} : V' \rightarrow D^5 \times S^1$. Finally, we “warp” the result to put the goal at the origin via $b_2 : D^5 \times S^1 \rightarrow D^5 \times S^1$

$$b_2(a) = \left( \begin{array}{c} a_1 - a_1^* \\ \vdots \\ a_{\delta} - a_{\delta}^* \end{array} \right)$$

$$a^* = b_1 \circ h^{-1}(H^*) \quad (6)$$
The result is a map $b = b_2 \circ b_1 \circ h^{-1} : \mathcal{V'} \approx \mathbb{D}^2 \times S^1$ and hence

$$\bar{\varphi} = \varphi \circ b$$  \hspace{1cm} (7)

is an NF on $\mathcal{V'}$, with a unique global minimum $H^*$. A kinematic version of our controller is given in local coordinates by

$$\dot{q} = -D_\phi(\bar{\varphi} \circ h)(q).$$  \hspace{1cm} (8)

3 Simulated Implementation

Given the correspondence of image points, there are many algorithms for computing the pose of the body, $H$, and the goal, $H^*$. So, we define $c : \mathcal{V} \to \mathcal{Y} \subset \mathbb{R}^2 \times \mathbb{N}$ as the perspective projection of points $HP$. The feature points, $P = [p_1, \ldots, p_N]$, are expressed in body coordinates. Furthermore, there is always a unique inverse $H = c^{-1}(y)$ for every point $y \in c(\mathcal{V})$.

The following simulations illustrate situations where naive approaches based on a simple measure of the distance of the image feature points to the goal fail, and how our algorithm overcomes the failures. It is assumed that there are four feature points ($N = 4$) on one face. Let $\hat{\varphi}$ be a continuous potential function defined on $\mathbb{R}^{2 \times 4}$ by

$$\hat{\varphi}(y) := \sum_{i=1}^{4} \|y_i - y_i^*\|^2$$  \hspace{1cm} (9)

where

$$y^* = c(H^*).$$

Figure 2 compares the performance of the potential function defined in (7) with that of (9). The left column represents visual illustrations of the body at various "snapshots" throughout its motion. The right column shows the trajectories of the four feature points. The black dots represent the initial feature locations and the white dots represent their goal locations. As expected, Figure 2(a) shows that (7) converges to the goal while all feature points remain visible. However, the simulation of (9) in Figure 2(b) encounters a self-occlusion before convergences is obtained. A naive improvement accounting for obstacles, given by

$$\varphi'(y) := \frac{\sum_{i=1}^{4} \|y_i - y_i^*\|^2}{\prod_{(i,j,k) \in S} \|y_i - y_j\| \|y_j - y_k\|^{1/2}},$$  \hspace{1cm} (10)

where $S = \{(1,2,3), (1,2,4), (1,3,4), (2,3,4)\}$, was used for the simulations in Figure 2(c). In this case, there are no occlusions but the potential function stops on a local minimum.

Figures 4 and 5, illustrate a situation where the body is very close to the image plane, but fully inside the (conservative) FOV, $\mathcal{V}'$. Figure 5 reveals all the weaknesses of $\hat{\varphi}$. The trajectory of one of the feature points clearly goes out of the FOV (of course, we are able to allow the simulation to continue but an implementation would fail). Also, self-occlusion occurs, and the simulation stops on a local minimum. Figure 4, on the other hand, which has the same initial condition and goal, uses (7) and correctly executes the desired task as expected.

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Parametric Uncertainty: Of course \( c^{-1} \) depends on the intrinsic camera parameters (focal length, pixel size, etc.) and the body parameters, \( P \), neither of which will be exactly known. To guarantee global convergence under (at least small) parametric uncertainty, we compute the goal pose, the current pose and the FOV cone all with respect to the same set of parameter estimates. We believe that modest perturbations of the parameters will not affect the convergence properties, though we have not calculated explicit bounds on the camera or body parameters which guarantee global convergence. However, our exploratory simulations suggest robustness to fairly large parametric uncertainty, and we are currently working on formal perturbation bounds to verify the robustness of our technique.

4 Conclusions

In this paper, we presented the first dynamical spatial rigid body visual servoing algorithm guaranteed to converge to a goal while avoiding self-occlusions and maintaining all feature points within in the field-of-view. This is achieved by a Navigation Function on a slightly conservative subset of the configurations in which all feature points are in view.

Our approach requires reconstructing the current pose and the goal pose. It would be more desirable to avoid that reconstruction, or in the worst case, only partially reconstruct the pose. By designing an NF directly in image coordinates, this may be feasible.

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A Review of Navigation Functions

A review of NF’s follows.\(^1\) An NF, \( \varphi \), is a \( C^2 \) artificial potential function on a compact manifold, \( M \), such that \( \varphi : M \to [0,1] \). It must encode a goal set, \( G \) as the unique global minimum, \( \varphi(G) = 0 \), and achieve a maximum of 1 on the entire boundary of \( M \), i.e. \( \varphi(\partial M) = 1 \). Once constructed, one can obtain a second order controller which is guaranteed to converge to \( G \) for all points in \( M \) except a zero measure set, by simply following the negative gradient of the NF, and adding a suitable damping term [5]. As a simple example, consider a system with configuration space \( M = (-1,1) \) whose dynamics are given by

\[
  m\ddot{x} = u
\]

and apply the NF \( \varphi(x) = x^2 \), and damping \( b \). Letting

\[
  u = -\frac{k}{2} D_x \varphi(x) - bx
\]

\[
  \implies m\ddot{x} + bx + kx = 0
\]

\(^1\)For a precise definition of Navigation Functions and their applications to robotics, see [11].

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which renders 0 globally asymptotically stable, and is guaranteed to never hit the boundary set \( \partial M = \{\pm 1\} \) for all initial positions on \( M \).\(^5\)

For the purposes of this paper, one may simply consider the first order gradient system given by

\[
\dot{x} = -D_x \phi (x)
\]

when considering the convergence properties of the controller.

**B Local Coordinates**

We will make frequent use of local coordinates for computation. Here we define a local chart \( h: \mathcal{Q} \to \mathbb{SE}(3) \), where \( \mathcal{Q} \subset \mathbb{R}^5 \times S^1 \) as follows. Let

\[
h = h_1 h_2 h_3; \quad (11)
\]

where \( h_1 \) is a simple offset of the origin along the \( z \)-axis and \( h_2 \) and \( h_3 \) are parametrized by spherical coordinates and XYZ Euler-angles respectively as follows. Let

\[
h_i(q) = \begin{bmatrix}
R_i(q) & T_i(q) \\
0^T & 1
\end{bmatrix}, \quad i = 1, 2, 3. \quad (12)
\]

For the translational component, we have

\[
T_1 = [0, 0, q]^T \quad R_1 = I_{3 \times 3}
\]

For the spherical coordinate component we have

\[
T_2(q) = \begin{bmatrix}
\sin q_2 \cos q_3 \\
\sin q_3 \\
\cos q_2 \cos q_3
\end{bmatrix}
\]

and

\[
R_2(q) = \begin{bmatrix}
\cos q_2 & -\sin q_2 \sin q_3 & \sin q_2 \cos q_3 \\
0 & \cos q_3 & \sin q_3 \\
-\sin q_2 & -\cos q_2 \sin q_3 & \cos q_2 \cos q_3
\end{bmatrix}
\]

Finally, for the Euler angles, we have \( T_3 = 0 \) and

\[
R_3(q) = \begin{bmatrix}
1 & 0 & 0 \\
0 & \cos q_4 & \sin q_4 \\
0 & -\sin q_4 & \cos q_4
\end{bmatrix}
\]

\[
\begin{bmatrix}
\cos q_5 & 0 & -\sin q_5 \\
0 & 1 & 0 \\
\sin q_5 & 0 & \cos q_5
\end{bmatrix}
\]

\[
\begin{bmatrix}
\cos q_6 & \sin q_6 & 0 \\
-\sin q_6 & \cos q_6 & 0 \\
0 & 0 & 1
\end{bmatrix}
\]

The coordinates are restricted as in Table 1.

\(^5\)To be precise, one must put bounds on the initial velocities to compute the domain of attraction on \( TM \) as a function of damping \([5]\).