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## Hybrid Zero Dynamics of Planar Biped Walkers

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NOTE: At the time of publication, author Daniel Koditschek was affiliated with the University of Michigan. Currently (August 2005), he is a faculty member in the Department of Electrical and Systems Engineering at the University of Pennsylvania.

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### Abstract

Planar, underactuated, biped walkers form an important domain of applications for hybrid dynamical systems. This paper presents the design of exponentially stable walking controllers for general planar bipedal systems that have one degree-of-freedom greater than the number of available actuators. The within-step control action creates an attracting invariant set—a two-dimensional zero dynamics submanifold of the full hybrid model—whose restriction dynamics admits a scalar linear time-invariant return map. Exponentially stable periodic orbits of the zero dynamics correspond to exponentially stabilizable orbits of the full model. A convenient parameterization of the hybrid zero dynamics is imposed through the choice of a class of output functions. Parameter optimization is used to tune the hybrid zero dynamics in order to achieve closed-loop, exponentially stable walking with low energy consumption, while meeting natural kinematic and dynamic constraints. The general theory developed in the paper is illustrated on a five link walker, consisting of a torso and two legs with knees.

### Keywords

Bipeds, hybrid systems, Poincaré sections, underactuated system, zero dynamics

### Comments

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# Hybrid Zero Dynamics of Planar Biped Walkers

E. R. Westervelt, *Student Member, IEEE*, J. W. Grizzle, *Fellow, IEEE*, and D. E. Koditschek, *Senior Member, IEEE*

**Abstract**—Planar, underactuated, biped walkers form an important domain of applications for hybrid dynamical systems. This paper presents the design of exponentially stable walking controllers for general planar bipedal systems that have one degree-of-freedom greater than the number of available actuators. The within-step control action creates an attracting invariant set—a two-dimensional zero dynamics submanifold of the full hybrid model—whose restriction dynamics admits a scalar linear time-invariant return map. Exponentially stable periodic orbits of the zero dynamics correspond to exponentially stabilizable orbits of the full model. A convenient parameterization of the hybrid zero dynamics is imposed through the choice of a class of output functions. Parameter optimization is used to tune the hybrid zero dynamics in order to achieve closed-loop, exponentially stable walking with low energy consumption, while meeting natural kinematic and dynamic constraints. The general theory developed in the paper is illustrated on a five link walker, consisting of a torso and two legs with knees.

**Index Terms**—Bipeds, hybrid systems, Poincaré sections, underactuated system, zero dynamics.

## I. INTRODUCTION

A PLANAR biped walker is a robot that locomotes via alternation of two legs in the sagittal plane (see Fig. 1). The models for such robots are necessarily hybrid, consisting of ordinary differential equations to describe the motion of the robot when only one leg is in contact with the ground (single support or swing phase of the walking motion), and a discrete map to model the impact when the second leg touches the ground [30] (double support phase). The complexity of controlling such a system is a function of the number of degrees of freedom of the model as well as the degree of actuation or, more precisely, *underactuation* of the system.

For planar, biped walkers with a torso and *one degree of underactuation*, it was shown for the first time in [24] for a three-link model, and in [45] for a 5-link model, that these systems admit control designs with provable stability properties. The control designs involved the judicious choice of a set of holonomic constraints that were asymptotically imposed on the robot via feedback control. This was accomplished by inter-

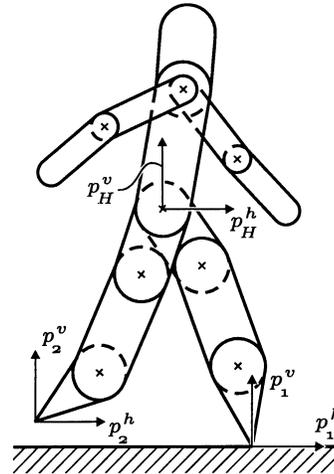


Fig. 1. Higher DOF robot model. Cartesian coordinates are indicated at the hips and the leg ends.

preting the constraints as output functions, and then combining ideas from computed torque and finite-time stabilization. The latter property was used to reduce the stability analysis of the resulting walking motions to the computation and analysis of a one dimensional Poincaré map. In these control designs, it was observed that various parameters appearing in the holonomic constraints would affect the walking speed, the torques required to achieve walking, etc., but no systematic method for adjusting the parameters was presented.

This paper introduces an important improvement on the previous design methodology by affording a common framework for stability analysis and performance enhancement. The framework provides systematic design of feedback controllers that achieve exponentially stable walking motions in  $N$ -link, one degree of underactuation, planar biped models, while affording adjustment of additional figures of merit, for example, energy consumption, as well. Specifically, a within-step controller is devised whose closed loop incorporates a two-dimensional submanifold—the zero set of an appropriately parameterized output map—that is an attracting invariant set with respect to the full hybrid model. The selection of this zero dynamics through the choice of output map parameters affords the choice of practicable kinematic, torque, and power ranges, all while respecting the guarantee of an exponentially stable walking gait.

In the broader spectrum of dynamically dexterous machines, this work builds on the ideas of Koditschek *et al.* [11], [37], [41], [49] where the goal is not to prescribe the dynamics of systems via reference trajectories, as is often done in the control of legged locomotion (see [15], [29], [34], [35], and [48], for example) but rather to encode the dynamic task via a lower dimensional target, itself represented by a set of differential equations. Given the demonstrated appearance of internal dynamical

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models in the animal nervous system [36], and the emerging evidence that these models incorporate a state-event based (as opposed to explicit time-based) representations of the plant [18], it seems plausible to hypothesize that task encoding via internal target dynamics may also play a significant role in animal motor control [21]. Previous work on legged locomotion has touched on this concept without clearly articulating or exploiting its full potential. Sano and Furusho [56] regulated angular momentum as a means of inducing locomotion; Goswami *et al.* [22] regulated total energy; and Kajita and Tani [34] approximated the robot as an inverted pendulum, regulating its center of mass. Ono *et al.* [43] slave the control to one of the states of the system, instead of time (see also [44] where this idea is applied to the acrobot). Pratt *et al.* [46], [47] achieved a reduction in complexity through a proposed set of walking principles, such as maintaining the torso at a constant angle and the hips at a constant height above the ground while moving one foot in front of the other. Although in that work, the “target” is first order (gradient) dynamics, the leg transitions are imposed by event driven logic, and it is unclear over what range of initial conditions and perturbations the physical second order hybrid closed-loop system may ultimately succeed in maintaining a stable gait. In all such approaches, mechanisms comparable to those developed here impose kinematic or dynamic constraints, enforcing, over the Lagrangian (i.e., away from impact conditions) portion of the state space, low dimensional attracting submanifolds. Here, in contrast, as in [11], [41], and [49], the attracting submanifold is also designed to be an invariant set of the Lagrangian portion of the closed-loop system whose restriction dynamics (the zero dynamics in this paper) emerges from the robot’s motion itself. However, unlike any previous work, in this paper, the full hybrid zero dynamics (i.e., the entire reduced order motion of the mechanism including both the Lagrangian and the impact portions) is rendered invariant. In this sense, our present results combine the analytical machinery developed in [24] and [45] with the notion of a dynamically targeted postural prescription [41], [57] to provide the first rigorous methodology for a lower dimensional hybrid target dynamics. Note that [60] can be interpreted as providing a similar result for fully actuated systems and a target dynamics having the same dimension as the system being controlled.

The notion of hybrid zero dynamics is an extension of the notion of zero dynamics for systems described by ordinary differential equations. While the zero dynamics for a system modeled by ordinary differential equations is a well-known [31] and increasingly used concept, [5], [33], [51], [59], the hybrid zero dynamics is a novel notion developed in this paper to deal with the impact map that is common in legged locomotion models. The hybrid zero dynamics may be defined analogously to the zero dynamics: the largest internal dynamics compatible with the output being identically zero. The central concern of the paper is to establish a constructive approach to the definition of *hybrid* zero dynamics resulting in useful controllers for robotic walking. The zero dynamics of the swing phase portion of the model have been previously studied in [39] in the context of trajectory planning and tracking for an underactuated biped.

The paper is structured as follows. Section II delineates the class of robot models treated here, in particular, subsuming

those introduced in [24] and [45]. Section III first develops the zero dynamics of the swing phase of the model [24], [39] using general results in [31]. This establishes a foundation for defining the zero dynamics of a hybrid dynamical system along with a general statement of existence conditions.

In Section IV it is demonstrated that the Poincaré map associated with the hybrid zero dynamics is diffeomorphic to a scalar, linear time-invariant (LTI) system. This renders the stability properties of the zero dynamics transparent. A means for computing the exact upper and lower bounds of the domain of definition of the Poincaré map is also given. The section is closed with a summary of the feedback methodology of [24] which is shown to take exponentially stable orbits of the hybrid zero dynamics to exponentially stable orbits of the full model.

Section V addresses issues associated with computing the hybrid zero dynamics in closed form so that they may be effectively exploited for design via parameter optimization. This is accomplished by specializing to a class of outputs defined by a linear function of the state plus a nonlinear function of a scalar variable. The nonlinear function is further specialized to Bézier polynomials [6], which provide a very convenient parameterization for imposing a variety of constraints associated with the existence of the hybrid zero dynamics and the periodicity of desired walking motions, among others.

In Section VI, a framework for the creation of exponentially stable fixed points through optimization is given. Optimization allows the shaping of the hybrid zero dynamics while satisfying natural kinematic and dynamic constraints. The result of the optimization process is not an optimal trajectory but rather a provably stable, closed-loop system with satisfied design constraints.

Section VII illustrates the presented framework for stability analysis and performance enhancement on a 5-link biped model which is under construction by the French project *Commande de Robots à Pattes* of the CNRS—GdR Automatique [1].

## II. ROBOT MODEL AND MODELING ASSUMPTIONS

This section introduces the class of biped walking models, the central concern of the paper. The model considered is a planar open kinematic chain connected at a single joint called the “hip,” comprising two identical open chains called the “legs,” and a third called the “torso.” As depicted in Fig. 1, intentionally suggestive of a human figure, conditions that guarantee the torso remains free in the air, while the legs alternate in ground contact will be imposed. All motions will be assumed to take place in the sagittal plane and consist of successive phases of *single support* (meaning the stance leg is touching the walking surface and the swing leg is not) and *double support* (the swing leg and the stance leg are both in contact with the walking surface).

The two phases of the walking cycle naturally lead to a mathematical model of the biped consisting of two parts: the differential equations describing the dynamics during the single support phase, and a model of the dynamics of the double support phase. In order to avoid the “stiffness” associated with including a second differential equation to model the rapid evolution of the robot’s state at the impact time [10], [38], [54], it will be assumed that the transition from one leg to another takes place in

an infinitesimal length of time [20], [58]; this assumption entails the use of a rigid contact model to describe the impulsive nature of the impact of the swing leg with the ground. The rigid contact model effectively collapses the double support phase to an instant in time, and allows a discontinuity in the velocity component of the state, with the configuration remaining continuous. The biped model is, thus, *hybrid* in nature, consisting of a continuous dynamics and a reinitialization rule at the contact event.

An important source of complexity in a biped system is the degree of actuation of the system, or more precisely, the degree of *underactuation* of the system. It will be assumed that there is no actuation at the end of the stance leg. Thus the system is underactuated during walking, as opposed to fully actuated (a control at each joint and the contact point with the ground).

A complete list of hypotheses assumed for the robot model and the desired walking gaits is now enumerated.

*Robot Hypotheses:* The robot is assumed to be:

- RH1) comprised of  $N$  rigid links with mass, connected by revolute joints with no closed kinematic chains;
- RH2) planar, with motion constrained to the sagittal plane;
- RH3) bipedal, with identical legs connected at a common point called the hips;
- RH4) actuated at each joint;
- RH5) unactuated at the point of contact between the stance leg and ground.

*Gait Hypotheses:* Conditions on the controller will be imposed and shown to insure that the robot's consequent motion satisfies the following properties consistent with the intuitive notion of a simple walking gait.

- GH1) There are alternating phases of single support and double support.
- GH2) During the single support phase, the stance leg acts as a pivot joint, that is, throughout the contact, it can be guaranteed that the vertical component of the ground reaction force is positive and that the ratio of the horizontal component to the vertical component does not exceed the coefficient of static friction.
- GH3) The double support phase is instantaneous and can be modeled as a rigid contact [30].
- GH4) At impact, the swing leg neither slips nor rebounds.
- GH5) In steady state, successive phases of single support are symmetric with respect to the two legs.
- GH6) Walking is from left to right, so that the swing leg starts from behind the stance leg and is placed strictly in front of the stance leg at impact.

RH1) and RH2) imply the robot has  $(N + 2)$ -degrees-of-freedom (DOFs) ( $N$  joint angles plus the Cartesian coordinates of the hips, for example). RH4), RH5), and GH2) imply that when walking the robot has one degree of underactuation, i.e., one less control than DOF. It is worth noting that even if there were actuation between the stance leg end and ground, it would be worthwhile to first design a controller under hypothesis RH5) and then add an outer control loop to exploit the torque available at the ankle in order to improve the convergence rate of walking to a desired average forward walking rate or to enlarge the region of attraction of the inner controller.

### A. Swing Phase Model

Under GH2) the dynamic model of the robot during the swing phase has  $N$ -DOF. Let  $q := (q_1, \dots, q_N)'$  be a set of angular coordinates describing the configuration of the robot with world reference frame  $W$ . Since only symmetric gaits are of interest here, the same model can be used irrespective of which leg is the stance leg if the coordinates are relabeled after each phase of double support. Using the method of Lagrange, the model is written in the form

$$D(q)\ddot{q} + C(q, \dot{q})\dot{q} + G(q) = Bu. \quad (1)$$

In accordance with RH4) and RH5), torques  $u_i$ ,  $i = 1$  to  $N - 1$  are applied between each connection of two links, *but not between the stance leg and ground*. The model is written in state-space form by defining

$$\begin{aligned} \dot{x} &= \begin{bmatrix} D^{-1}(q) [-C(q, \dot{q})\dot{q} - G(q) + Bu] \\ \dot{q} \end{bmatrix} \\ &=: f(x) + g(x)u \end{aligned} \quad (2)$$

where  $x := (q', \dot{q}')'$ . The state space of the model is taken as  $TQ := \{x := (q', \dot{q}')' | q \in \mathcal{Q}, \dot{q} \in \mathbb{R}^N\}$ , where  $\mathcal{Q}$  is a simply connected, open subset of  $[0, 2\pi)^N$  corresponding to physically reasonable configurations of the robot (for example, with the exception of the end of the stance leg, all points of the robot being above the walking surface; one could also impose that the knees are not bent backward, etc.). An alternate approach, not used here, would be to define the admissible states through *viability* constraints [3], [10].

### B. Impact Model

An impact occurs when the swing leg touches the walking surface, also called the ground. The impact between the swing leg and the ground is modeled as a contact between two rigid bodies. In addition to modeling the change in state of the robot, the impact model accounts for the relabeling of the robot's coordinates that occurs after each phase of double support. The development of the impact model requires the full  $(N + 2)$ -DOF of the robot. By adding Cartesian coordinates  $(p_H^h, p_H^v)$  to the hips (see Fig. 1), the following extended model is easily obtained through the method of Lagrange:

$$D_e(q_e)\ddot{q}_e + C_e(q_e, \dot{q}_e)\dot{q}_e + G_e(q_e) = B_e u + \delta F_{\text{ext}} \quad (4)$$

with  $q_e := (q_1, q_2, \dots, q_N, p_H^h, p_H^v)'$  and where  $\delta F_{\text{ext}}$  represents the vector of external forces acting on the robot at the contact point. If the stance leg end is in contact with the ground and not slipping, the extended coordinates  $q_e$  and their velocities  $\dot{q}_e$  are related to  $q$  and  $\dot{q}$  by

$$q_e = \Upsilon(q) \text{ and } \dot{q}_e = \frac{\partial \Upsilon(q)}{\partial q} \dot{q} \quad (5)$$

where  $\Upsilon(q) := (q', p_H^h(q), p_H^v(q))'$ , and  $p_H^h(q)$  and  $p_H^v(q)$  are the horizontal and vertical positions of the hip, respectively.

*Impact Model Hypotheses:* The impact model of [30] is used under the following assumptions.

- IH1) The contact of the swing leg with the ground results in no rebound and no slipping of the swing leg.

- IH2) At the moment of impact, the stance leg lifts from the ground without interaction.
- IH3) The impact is instantaneous.
- IH4) The external forces during the impact can be represented by impulses.
- IH5) The impulsive forces may result in an instantaneous change in the velocities, but there is no instantaneous change in the configuration.
- IH6) The actuators cannot generate impulses and, hence, can be ignored during impact.

IH1)–IH6) imply total angular momentum is conserved [30]. Following an identical development as in [24], the expression relating the velocity of the robot just before impact,  $\dot{q}_e^-$ , to the velocity just after (without relabeling),  $\dot{q}_e^+$ , may be written as

$$\Pi^{-1}(q_e^-) \begin{bmatrix} \dot{q}_e^+ \\ F_2^T \\ F_2^N \end{bmatrix} = \begin{bmatrix} D_e(q_e^-) \dot{q}_e^- \\ 0 \end{bmatrix} \quad (6)$$

where

$$\Pi(q_e) := \begin{bmatrix} D_e(q_e) & -\left(\frac{\partial E(q_e)}{\partial q_e}\right)' \\ \frac{\partial E(q_e)}{\partial q_e} & 0 \end{bmatrix}^{-1} \quad (7)$$

$E(q_e) = (p_2^h(q_e), p_2^v(q_e))'$  are the Cartesian coordinates of the end of the swing leg (see Fig. 1), and  $F_2^T$  and  $F_2^N$  are the integrals of the tangential and normal contact impulsive forces. The existence of the matrix inverse indicated in (6) and (7) is easily verified. Solving (6) yields

$$\begin{bmatrix} \dot{q}_e^+ \\ F_2^T \\ F_2^N \end{bmatrix} = \Pi(q_e^-) \begin{bmatrix} D_e(q_e^-) \dot{q}_e^- \\ 0 \end{bmatrix}. \quad (8)$$

The map from  $\dot{q}_e^-$  to  $\dot{q}_e^+$ , that is, the map from velocities just prior to impact to just after impact (without relabeling), is obtained by partitioning  $\Pi(q_e^-)$  as

$$\dot{q}_e^+ = \Pi_{11}(q_e^-) D_e(q_e^-) \dot{q}_e^- \quad (9)$$

$$\begin{bmatrix} F_2^T \\ F_2^N \end{bmatrix} = \Pi_{21}(q_e^-) D_e(q_e^-) \dot{q}_e^-. \quad (10)$$

Combining (5) with (9) and (10) results in an expression for the velocities of the robot just after impact and the integral of the forces experienced by the end of the swing leg at impact. At impact, it is assumed that the swing leg becomes the new stance leg, so the coordinates must be relabeled. Express the relabeling of the states as a linear, invertible transformation matrix,  $R$ . The result of the impact and relabeling of the states is then an expression

$$x^+ = \Delta(x^-) \quad (11)$$

where  $x^+ := (q^+, \dot{q}^+)$  (respectively,  $x^- := (q^-, \dot{q}^-)$ ) is state value just after (respectively, just before) impact and

$$\Delta(x^-) := \begin{bmatrix} \Delta_q q^- \\ \Delta_{\dot{q}}(q^-) \dot{q}^- \end{bmatrix} \quad (12)$$

where  $\Delta_q := R$  and  $\Delta_{\dot{q}}(q^-) := [R \ 0] \Pi_{11}(\Upsilon(q^-)) D_e(\Upsilon(q^-)) (\partial \Upsilon(q) / \partial q)|_{q=q^-}$ .

### C. Plant Model: A Hybrid Nonlinear Underactuated Control System

The overall biped robot model can be expressed as a nonlinear system with impulse effects [64]

$$\begin{aligned} \dot{x} &= f(x) + g(x)u, & x^- \notin S \\ x^+ &= \Delta(x^-), & x^- \in S \end{aligned} \quad (13)$$

where

$$S := \{(q, \dot{q}) \in TQ \mid p_2^v(q) = 0, p_2^h(q) > 0\} \quad (14)$$

and  $x^-(t) := \lim_{\tau \nearrow t} x(\tau)$ . The value of  $p_2^h(q)$  is taken to be positive so that for  $x \in S$  the swing leg end is in front of the stance leg as per GH6). Solutions are taken to be right continuous and must have finite left and right limits at each impact event (see [23] for details).

Informally, a *step* of the robot is a solution of (13) that starts with the robot in double support, ends in double support with the configurations of the legs swapped, and contains only one impact event. This is more precisely defined as follows. Let  $\varphi(t, x_0)$  be a maximal solution of the swing phase dynamics (3) with initial condition  $x_0$  at time  $t_0 = 0$ , and define the *time to impact* function,  $T_I : TQ \rightarrow \mathbb{R} \cup \{\infty\}$ , by

$$T_I(x_0) := \begin{cases} \inf\{t \geq 0 \mid \varphi(t, x_0) \in S\} & \text{if } \exists t \text{ s.t.} \\ \infty & \varphi(t, x_0) \in S \\ & \text{otherwise.} \end{cases} \quad (15)$$

Let  $x_0 \in S$  be such that  $T_I \circ \Delta(x_0) < \infty$ . A *step* of the robot is the solution of (13) defined on the half-open interval  $[0, T_I \circ \Delta(x_0))$  with initial point  $x_0$ . Any point  $x_0 \in S$  such that  $T_I \circ \Delta(x_0) < \infty$  is said to result in the robot taking a step.

## III. ZERO DYNAMICS OF WALKING

The method of computed torque or inverse dynamics is ubiquitous in the field of robotics [19], [40], [61]. It consists of defining a set of outputs, equal in number to the inputs, and then designing a feedback controller that asymptotically drives the outputs to zero. The task that the robot is to achieve is encoded into the set of outputs in a such a way that the nulling of the outputs is (asymptotically) equivalent to achieving the task, whether the task be asymptotic convergence to an equilibrium point, a surface, or a time trajectory. For a system modeled by ordinary differential equations (in particular, no impact dynamics), the *maximal internal dynamics* of the system that are *compatible with the output being identically zero* is called the *zero dynamics* [31], [32], [42]. Hence, the method of computed torque, which is asymptotically driving a set of outputs to zero, is indirectly designing a set of zero dynamics for the robot. Since, in general, the dimension of the zero dynamics is considerably less than the dimension of the model itself, the task to be achieved by the robot has been *implicitly* encoded into a lower dimensional system.

One of the main points of this paper is that this process can be *explicitly* exploited in the design of feedback controllers for walking mechanisms, *even in the presence of impacts*. Section III-A will introduce a class of outputs for which the swing phase zero dynamics can be readily identified and

analyzed. Section III-B will derive natural conditions under which the swing phase zero dynamics become compatible with the impact model, thereby leading to the notion of a hybrid zero dynamics for the complete model of the biped.

### A. Swing-Phase Zero Dynamics

This section identifies the swing-phase zero dynamics for a particular class of outputs that have proven useful in constructing feedback controllers for bipedal walkers [24], [45]. Since no impact dynamics are involved, the work here is simply a specialization of the general results in [31] to the model (3). The results summarized here will form the basis for defining a zero dynamics of the complete hybrid model of the planar biped walker, which is the desired object for study.

Note that if an output  $y = h(q)$  depends only on the configuration variables, then, due to the second order nature of the robot model, the derivative of the output along solutions of (3) does not depend directly on the inputs. Hence, its relative degree is at least two. Differentiating the output once again computes the accelerations, resulting in

$$\frac{d^2 y}{dt^2} =: L_f^2 h(q, \dot{q}) + L_g L_f h(q) u. \quad (16)$$

The matrix  $L_g L_f h(q)$  is called the decoupling matrix and depends only on the configuration variables. A consequence of the general results in [31] is that the invertibility of this matrix at a given point assures the existence and uniqueness of the zero dynamics in a neighborhood of that point. With a few extra hypotheses, these properties can be assured on a given open set.

*Lemma 1: (Swing-Phase Zero Dynamics):* Suppose that a smooth function  $h$  is selected so that

- HH1)  $h$  is a function of only the configuration coordinates;
- HH2) there exists an open set  $\tilde{Q} \subset Q$  such that for each point  $q \in \tilde{Q}$ , the decoupling matrix  $L_g L_f h(q)$  is square and invertible (i.e., the dimension of  $u$  equals the dimension of  $y$ , and  $h$  has vector relative degree  $(2, \dots, 2)'$ );
- HH3) there exists a smooth real valued function  $\theta(q)$  such that  $[h(q)', \theta(q)]' : \tilde{Q} \rightarrow \mathbb{R}^N$  is a diffeomorphism onto its image (see Fig. 2 for an example  $\theta(q)$ );
- HH4) there exists at least one point in  $\tilde{Q}$  where  $h$  vanishes.

Then

- 1)  $Z := \{x \in T\tilde{Q} \mid h(x) = 0, L_f h(x) = 0\}$  is a smooth two-dimensional submanifold of  $TQ$ ;
- 2) the feedback control

$$u^*(x) = -(L_g L_f h(x))^{-1} L_f^2 h(x) \quad (17)$$

renders  $Z$  invariant under the swing dynamics; that is, for every  $z \in Z$ ,  $f_{\text{zero}}(z) := f(z) + g(z)u^*(z) \in T_z Z$ .

$Z$  is called the *zero dynamics manifold* and  $\dot{z} = f_{\text{zero}}(z)$  is called the *zero dynamics*.  $\square$

Lemma 1 follows immediately from general results in [31]; a few of the details are outlined here for later use. From hypotheses HH1) and HH3),  $\Phi(q) := [h', \theta(q)]'$  is a valid coordinate transformation on  $\tilde{Q}$  and, thus

$$\begin{aligned} \eta_1 &= h(q) & \eta_2 &= L_f h(q, \dot{q}) \\ \xi_1 &= \theta(q) & \xi_2 &= L_f \theta(q, \dot{q}) \end{aligned} \quad (18)$$

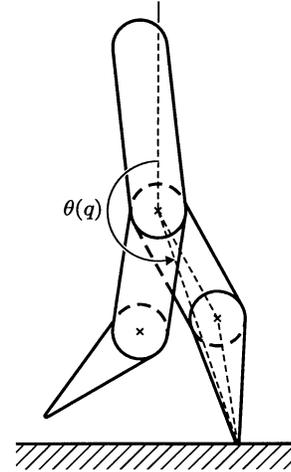


Fig. 2. Schematic of the five-link robot considered with measurement conventions.

is a coordinate transformation on  $T\tilde{Q}$ . In these coordinates, the system takes the form

$$\begin{aligned} \dot{\eta}_1 &= \eta_2 & \dot{\eta}_2 &= L_f^2 h + L_g L_f h u \\ \dot{\xi}_1 &= \xi_2 & \dot{\xi}_2 &= L_f^2 \theta + L_g L_f \theta u \\ y &= \eta_1 \end{aligned} \quad (19)$$

where  $(q, \dot{q})$  is evaluated at

$$q = \Phi^{-1}(\eta_1, \xi_1) \quad (20)$$

$$\dot{q} = \left( \frac{\partial \Phi}{\partial q} \right)^{-1} \begin{bmatrix} \eta_2 \\ \xi_2 \end{bmatrix}. \quad (21)$$

Enforcing  $y \equiv 0$  results in  $(\eta_1 = h = 0, \eta_2 = L_f h = 0)$ ,  $u^*$  as in (17), and the zero dynamics becoming

$$\begin{aligned} \dot{\xi}_1 &= \xi_2 \\ \dot{\xi}_2 &= L_f^2 \theta + L_g L_f \theta u^*. \end{aligned} \quad (22)$$

While it is useful to know that the zero dynamics can be expressed as a second order system, this form of the equations is very difficult to compute directly due to the need to invert the decoupling matrix. However, this can be avoided. Indeed, since the columns of  $g$  in (3) are involutive, by [31, p. 222], in a neighborhood of any point where the decoupling matrix is invertible, there exists a smooth scalar function  $\gamma$  such that

$$\begin{aligned} \eta_1 &= h(q) & \eta_2 &= L_f h(q, \dot{q}) \\ \xi_1 &= \theta(q) & \xi_2 &= \gamma(q, \dot{q}) \end{aligned} \quad (23)$$

is a valid coordinate transformation and

$$L_g \gamma = 0. \quad (24)$$

Moreover, by applying the constructive proof of the Frobenius theorem of [31, p. 23] in a set of coordinates for the robot such that

- RH6) the model is expressed in  $N - 1$  relative angular coordinates,  $(q_1, \dots, q_{N-1})$ , plus one absolute angular coordinate,  $q_N$

one obtains that  $\gamma$  can be explicitly computed to be the last entry of  $D(q)\dot{q}$ , and hence it can be assumed that  $\gamma(q, \dot{q})$  has the form  $\gamma_0(q)\dot{q}$ ; it follows that (23) is a valid coordinate change on all of  $T\mathcal{Q}$ .

In the coordinates (23), the zero dynamics become

$$\begin{aligned}\dot{\xi}_1 &= L_f \theta \\ \dot{\xi}_2 &= L_f \gamma\end{aligned}\quad (25)$$

where there right-hand side is evaluated at

$$q = \Phi^{-1}(0, \xi_1) \quad (26)$$

$$\dot{q} = \begin{bmatrix} \frac{\partial h}{\partial q} \\ \gamma_0 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ \xi_2 \end{bmatrix}. \quad (27)$$

*Theorem 1: (Swing-Phase Zero Dynamics Form):* Under the hypotheses of Lemma 1,  $(\xi_1, \xi_2) = (\theta(q), \gamma_0(q)\dot{q})$  is a valid set of coordinates on  $Z$ , and in these coordinates the zero dynamics take the form

$$\dot{\xi}_1 = \kappa_1(\xi_1)\xi_2 \quad (28)$$

$$\dot{\xi}_2 = \kappa_2(\xi_1). \quad (29)$$

Moreover, if (3) is expressed in coordinates satisfying RH6), the following interpretations can be given for the various functions appearing in the zero dynamics:

$$\xi_1 = \theta|_Z \quad (30)$$

$$\xi_2 = \left. \frac{\partial K}{\partial \dot{q}_N} \right|_Z \quad (31)$$

$$\kappa_1(\xi_1) = \left. \frac{\partial \theta}{\partial q} \begin{bmatrix} \frac{\partial h}{\partial q} \\ \gamma_0 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right|_Z \quad (32)$$

$$\kappa_2(\xi_1) = \left. -\frac{\partial V}{\partial q_N} \right|_Z \quad (33)$$

where  $K(q, \dot{q}) = 1/2\dot{q}'D(q)\dot{q}$  is the kinetic energy of the robot,  $V(q)$  is its potential energy, and  $\gamma_0$  is the last row of  $D$ , the inertia matrix.  $\square$

*Proof:* The form of (28) is immediate by the form of (25) and (27) since both  $h$  and  $\gamma_0$  are functions of  $q$ , and hence when restricted to  $Z$ , are functions of  $\xi_1$  only.

Suppose now that the model (3) is expressed in coordinates satisfying RH6). Since the kinetic energy of the robot,  $K(q, \dot{q})$ , is independent of the choice of world coordinate frame [61, p. 140], and since  $q_N$  fixes this choice,  $K(q, \dot{q})$  is independent of  $q_N$ . Since  $D := \partial[(\partial K/\partial \dot{q})']/\partial \dot{q}$  [61, p. 141], it follows that  $\partial D/\partial q_N = 0$ . Let  $D_N$ ,  $C_N$ , and  $G_N$  be the last rows of  $D$ ,  $C$ , and  $G$ , respectively. Then,  $\xi_2 = \gamma_0(q)\dot{q}$  is equal to  $D_N(q)\dot{q}$ [24], and thus is equal to  $\partial K/\partial \dot{q}_N$  since  $K = 1/2\dot{q}'D\dot{q}$ . Continuing,  $\dot{\xi}_2 := L_f \gamma$  becomes

$$L_f \gamma = \begin{bmatrix} \dot{q}' \frac{\partial D'_N}{\partial q} & D_N \end{bmatrix} \begin{bmatrix} \dot{q} \\ -D^{-1} [C\dot{q} + G] \end{bmatrix} \quad (34)$$

$$= \dot{q}' \frac{\partial D'_N}{\partial q} \dot{q} - C_N \dot{q} - G_N. \quad (35)$$

Noting that (see [61, p. 142])

$$C_N = \dot{q}' \frac{\partial D'_N}{\partial q} - \frac{1}{2} \dot{q}' \frac{\partial D}{\partial q_N} \quad (36)$$

Equation (35) becomes  $L_f \gamma = -G_N = -\partial V/\partial q_N$ , which, when evaluated on  $Z$ , is a function of  $\xi_1$  only.  $\square$

## B. Hybrid Zero Dynamics

The goal of this subsection is to incorporate the impact model into the notion of the *maximal internal dynamics compatible with the output being identically zero*, in order to obtain a zero dynamics of the complete model of the biped walker, (13). Toward this goal, let  $y = h(q)$  be an output satisfying the hypotheses of Lemma 1 and suppose there exists a trajectory,  $x(t)$ , of the hybrid model (13) along which the output is identically zero. If the trajectory contains no impacts with  $S$ , then  $x(t)$  is a solution of the swing phase dynamics and also of its zero dynamics. If the trajectory does contain impact events, then let  $(t_0, t_f)$  be an open interval of time containing exactly one impact at  $t_e$ . By definition, on the intervals  $(t_0, t_e)$  and  $(t_e, t_f)$ ,  $x(t)$  is a solution of the swing phase dynamics and hence also of its zero dynamics, so  $x(t) \in Z$ ; since also by definition of a solution,  $x^- := \lim_{t \nearrow t_e} x(t)$  exists, is finite, and lies in  $S$ , it follows that  $x^- \in S \cap Z$ . Moreover, by definition of a solution of (13),  $x(t_e) := x^+ := \Delta(x^-)$ , from which it follows that  $\Delta(x^-) \in Z$ . On the other hand, if  $\Delta(S \cap Z) \subset Z$ , then from solutions of the swing phase zero dynamics it is clearly possible to construct solutions to the complete model of the biped walker along which the output  $y = h(q)$  is identically zero. This leads to the following definition.

*Definition 1:* Let  $y = h(q)$  be an output satisfying the hypotheses of Lemma 1, and let  $Z$  and  $\dot{z} = f_{\text{zero}}(z)$  be the associated zero dynamics manifold and zero dynamics of the swing phase model. Suppose that  $S \cap Z$  is a smooth, one-dimensional, embedded submanifold of  $TQ$ . If  $\Delta(S \cap Z) \subset Z$ , then the nonlinear system with impulse effects

$$\begin{aligned}\dot{z} &= f_{\text{zero}}(z), & z^- &\notin S \cap Z \\ z^+ &= \Delta(z^-), & z^- &\in S \cap Z\end{aligned}\quad (37)$$

with  $z \in Z$  is the *hybrid zero dynamics* of the model (13).  $\triangleleft$

*Remark 1:* From standard results in [9],  $S \cap Z$  will be a smooth one-dimensional embedded submanifold if  $S \cap Z \neq \emptyset$  and the map  $[h' (L_f h)' p_2^v]'$  has constant rank equal to  $2N - 1$  on  $S \cap Z$ . A simple argument shows that this rank condition is equivalent to rank of  $[h' p_2^v]'$  =  $N$ , and under this rank condition,  $S \cap Z \cap \mathcal{Q}$  consists of the isolated zeros of  $[h' p_2^v]'$ . Let  $q_0^-$  be a solution of  $(h(q), p_2^v(q)) = (0, 0)$ ,  $p_2^h(q) > 0$ . Then, the connected component of  $S \cap Z$  containing  $q_0^-$  is diffeomorphic to  $\mathbb{R}$  per  $\sigma : \mathbb{R} \rightarrow S \cap Z$ , where

$$\sigma(\omega) := \begin{bmatrix} \sigma_q \\ \sigma_{\dot{q}} \omega \end{bmatrix} \quad (38)$$

$\sigma_q := q_0^-$ , and

$$\sigma_{\dot{q}} = \begin{bmatrix} \frac{\partial h}{\partial q}(q_0^-) \\ \gamma_0(q_0^-) \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix}. \quad (39)$$

In view of this, the following additional assumption is made about the output  $h$  and the open set  $\mathcal{Q}$ .

HH5) There exists a unique point  $q_0^- \in \tilde{Q}$  such that  $(h(q_0^-), p_2^v(q_0^-)) = (0, 0)$ ,  $p_2^h(q_0^-) > 0$  and the rank of  $[h' \quad p_2^v]'$  at  $q_0^-$  equals  $N$ .

◁

The next result characterizes when the swing phase zero dynamics are compatible with the impact model, leading to a non-trivial hybrid zero dynamics.

*Theorem 2: (Hybrid Zero Dynamics Existence):* Consider the robot model (13) satisfying RH1)–RH6) and IH1)–IH6) with a smooth function  $h$  satisfying HH1)–HH5). Then, the following statements are equivalent:

- $\Delta(S \cap Z) \subset Z$ ;
- $h \circ \Delta|_{(S \cap Z)} = 0$  and  $L_f h \circ \Delta|_{(S \cap Z)} = 0$ ;
- there exists at least one point  $(q_0^-, \dot{q}_0^-) \in S \cap Z$  such that  $\gamma_0(q_0^-)\dot{q}_0^- \neq 0$ ,  $h \circ \Delta_q(q_0^-) = 0$ , and  $L_f h \circ \Delta(q_0^-, \dot{q}_0^-) = 0$ .

◻

*Proof:* The equivalence of a) and b) is immediate from the definition of  $Z$  as the zero set of  $h$  and  $L_f h$ . The equivalence of b) and c) follows from Remark 1 once it is noted from (12) that  $L_f h \circ \Delta$  is linear in  $\dot{q}$ . ◻

Under the hypotheses of Theorem 2, the hybrid zero dynamics are well-defined. Let  $z^- \in S \cap Z$ , and suppose that  $T_I \circ \Delta(z^-) < \infty$ . Set  $z^+ = \Delta(z^-)$  and let  $\varphi : [0, t_f] \rightarrow Z$ ,  $t_f = T_I(z^+)$ , be a solution of the zero dynamics (22), such that  $\varphi(0) = z^+$ . Define  $\hat{\theta}(t) := \theta \circ \varphi(t)$  and  $\hat{\theta} := d\hat{\theta}(t)/dt$ .

*Proposition 1:* Assume the hypotheses of Theorem 2. Then, over any step of the robot,  $\hat{\theta} : [0, t_f] \rightarrow \mathbb{R}$  is never zero. In particular,  $\hat{\theta} : [0, t_f] \rightarrow \mathbb{R}$  is strictly monotonic and thus achieves its maximum and minimum values at the end points. ◻

The proof is given in the appendix. By Remark 1, it follows that  $\hat{\theta}(0) = \theta \circ \Delta_q(q_0^-)$  and  $\hat{\theta}(t_f) = \theta(q_0^-)$ , that is, the extrema can be computed *a priori*. Denote these by

$$\theta^- := \theta(q_0^-) \quad (40)$$

$$\theta^+ := \theta \circ \Delta_q(q_0^-). \quad (41)$$

Without loss of generality, it is assumed that  $\theta^+ < \theta^-$ ; that is, along any step of the hybrid zero dynamics,  $\theta$  is *monotonically increasing*.

*Remark 2:* The fact that  $\theta$  evaluated along a step of the zero dynamics must be monotonic implies that there are restrictions on the walking gaits that can be achieved through computed-torque control based on an output that depends only on the configuration variables. ◁

#### IV. STABILITY ANALYSIS OF THE ZERO DYNAMICS

Now, an explicit expression for the Poincaré map of the hybrid zero dynamics will be derived, along with a precise determination of its domain of definition. Fixed points of the Poincaré return map of the hybrid zero dynamics correspond to periodic orbits of the hybrid zero dynamics. When the hybrid zero dynamics admit an exponentially stable periodic orbit, the general feedback approach developed in [24], [45] can be immediately applied to create a provably, exponentially stable periodic orbit in the full hybrid model.

#### A. Poincaré Analysis of the Zero Dynamics

It is shown here that the Poincaré map associated with (37) is diffeomorphic to a scalar LTI system, reducing determination of the local stability properties of its fixed point to a simple explicit computation.

Assume the hypotheses of Theorem 2. Take the Poincaré section to be  $S \cap Z$  so that the Poincaré map is the partial map  $\rho : S \cap Z \rightarrow S \cap Z$  defined as follows [24]: let  $\varphi(t, z_0)$  be a solution of the zero dynamics  $f_{\text{zero}}$  and consider the time to impact function, (15), restricted to  $Z$ . Since both  $f_{\text{zero}}(z)$  and  $Z$  are smooth, a solution of (28) and (29) from a given initial condition,  $z_0$ , is unique and depends smoothly on  $z_0$ . Then, by [24, Lemma 3],  $\tilde{Z} := \{z \in Z \mid 0 < T_I(z) < \infty \text{ and } L_f p_2^v(\varphi(T_I(z), z)) \neq 0\}$  is open. Define the Poincaré return map for the hybrid zero dynamics as

$$\rho(z) := \varphi(T_I \circ \Delta(z), \Delta(z)). \quad (42)$$

In a special set of local coordinates, the return map can be explicitly computed. Indeed, express the hybrid zero dynamics in the coordinates of Theorem 1, namely,  $(\xi_1, \xi_2) = (\theta, \gamma)$ . In these coordinates,  $S \cap Z$  and  $\Delta : (\xi_1^-, \xi_2^-) \rightarrow (\xi_1^+, \xi_2^+)$  simplify to

$$S \cap Z = \{(\xi_1^-, \xi_2^-) \mid \xi_1^- = \theta^-, \xi_2^- \in \mathbb{R}\} \quad (43)$$

$$\xi_1^+ = \theta^+ \quad (44)$$

$$\xi_2^+ = \delta_{\text{zero}} \xi_2^- \quad (45)$$

where  $\delta_{\text{zero}} := \gamma_0(q^+) \Delta_q(q_0^-) \sigma_q(q_0^-)$ , a constant that may be computed *a priori*. The hybrid zero dynamics are thus given by (28) and (29) during the swing phase, and at impact with  $S \cap Z$ , the reinitialization rules (44) and (45) are applied. By Proposition 1, over any step  $\xi_1$  is nonzero and, thus, (28) and (29) are equivalent to

$$\frac{d\xi_2}{d\xi_1} = \frac{\kappa_2(\xi_1)}{\kappa_1(\xi_1)\xi_2}. \quad (46)$$

From (30),  $\dot{\xi}_1 \neq 0$  implies  $\xi_2 \neq 0$ , and, thus,  $\zeta_2 := 1/2(\xi_2)^2$  is a valid change of coordinates on (46). In these coordinates, (46) becomes

$$\frac{d\zeta_2}{d\xi_1} = \frac{\kappa_2(\xi_1)}{\kappa_1(\xi_1)}. \quad (47)$$

For  $\theta^+ \leq \xi_1 \leq \theta^-$ , define<sup>1</sup>

$$V_{\text{zero}}(\xi_1) := - \int_{\theta^+}^{\xi_1} \frac{\kappa_2(\xi)}{\kappa_1(\xi)} d\xi \quad (48)$$

$$\zeta_2^- := \frac{1}{2}(\xi_2^-)^2 \quad (49)$$

$$\zeta_2^+ := \delta_{\text{zero}}^2 \zeta_2^-. \quad (50)$$

Then, (47) may be integrated over a step to obtain

$$\zeta_2^- = \zeta_2^+ - V_{\text{zero}}(\theta^-) \quad (51)$$

<sup>1</sup>In general,  $V_{\text{zero}}$  must be computed numerically.

as long as  $\zeta_2^+ - K > 0$ , where

$$K := \max_{\theta^+ \leq \xi_1 \leq \theta^-} V_{\text{zero}}(\xi_1). \quad (52)$$

*Theorem 3: (Poincaré Map for Hybrid Zero Dynamics):* Assume the hypotheses of Theorem 2 and let  $(\theta, \gamma)$  be as in Theorem 1. Then, in the coordinates  $(\zeta_1, \zeta_2) = (\theta, (1/2)\gamma^2)$ , the Poincaré return map of the hybrid zero dynamics,  $\rho : S \cap Z \rightarrow S \cap Z$ , is given by

$$\rho(\zeta_2^-) = \delta_{\text{zero}}^2 \zeta_2^- - V_{\text{zero}}(\theta^-) \quad (53)$$

with domain of definition

$$\{\zeta_2^- > 0 \mid \delta_{\text{zero}}^2 \zeta_2^- - K \geq 0\}. \quad (54)$$

If  $\delta_{\text{zero}}^2 \neq 1$  and

$$\zeta_2^* := -\frac{V_{\text{zero}}(\theta^-)}{1 - \delta_{\text{zero}}^2} \quad (55)$$

is in the domain of definition of  $\rho$ , then it is the fixed point of  $\rho$ . Moreover, if  $\zeta_2^*$  is a fixed point, then  $\zeta_2^*$  is an exponentially stable equilibrium point of

$$\zeta_2(k+1) = \rho(\zeta_2(k)) \quad (56)$$

if, and only if,  $0 < \delta_{\text{zero}}^2 < 1$ , and in this case, its domain of attraction is (54), the entire domain of definition of  $\rho$ .  $\square$

*Proof:* This follows directly from the aforementioned results.  $\square$

These stability results can be reformulated in the following way.

*Corollary 1:*

- a) There exists a nontrivial periodic orbit of the hybrid zero dynamics if, and only if,  $\delta_{\text{zero}}^2 \neq 1$  and

$$\frac{\delta_{\text{zero}}^2}{1 - \delta_{\text{zero}}^2} V_{\text{zero}}(\theta^-) + K < 0. \quad (57)$$

- b) There exists an exponentially stable periodic orbit of the hybrid zero dynamics if, and only if, (57) holds and

$$0 < \delta_{\text{zero}}^2 < 1. \quad (58)$$

$\square$

*Remark 3:* The Lagrangian of the zero dynamics (28) and (29) can be shown to be  $L_{\text{zero}} := K_{\text{zero}} - V_{\text{zero}}$ , where  $V_{\text{zero}}$  is given by (48) and

$$K_{\text{zero}} = \frac{1}{2} \left( \frac{\dot{\xi}_1}{\kappa_1(\xi_1)} \right)^2. \quad (59)$$

The interpretation of this result will be presented elsewhere.  $\triangleleft$

### B. Imposing Modeling Hypotheses on the Zero Dynamics

While the domain of definition of the Poincaré map is given in (54), not all solutions of the zero dynamics satisfy the modeling hypotheses; in particular, walking hypothesis GH2) limits

<sup>2</sup>By definition,  $\zeta_2 := (1/2)(\xi_2)^2$  must be positive along any solution.

the ratio and sign of the ground reaction forces of the stance leg end during phases of single support. This limit is reflected as an upper bound on the domain of definition of  $\rho$ . Let  $F_1^T$  and  $F_1^N$  be the tangential and normal forces experienced at the end of the stance leg. The upper bound will be the largest  $\zeta_2^-$  such that at some point during the associated phase of single support either  $F_1^N$  becomes negative, or  $|F_1^T/F_1^N|$  exceeds the maximum allowed static Coulomb friction coefficient.

Calculation of  $F_1^T$  and  $F_1^N$  requires the full  $(N+2)$ -DOF model. Consider the model (4) and apply the feedback  $u^*$  from (17). Let  $\dot{x}_e = f_e(x_e) + g_e(x_e)[F_1^T, F_1^N]'$  be the resulting closed-loop system written in state space form, where,  $x_e := (q_e', \dot{q}_e)'$  and  $y_e = h_e(q_e) := [p_1^h(q_e), p_1^v(q_e)]'$  is the 2-vector of outputs corresponding to the position of the end of the stance leg. It is easily checked that the decoupling matrix  $L_{g_e} L_{f_e} h_e$  is invertible, and thus the forces  $F_1^T$  and  $F_1^N$  may be calculated as

$$\begin{bmatrix} F_1^T \\ F_1^N \end{bmatrix} = -(L_{g_e} L_{f_e} h_e)^{-1} L_{f_e}^2 h_e. \quad (60)$$

The above expression is quadratic in  $\dot{q}_e$ , and, when restricted to  $Z$ , is affine in  $\zeta_2$ . Combining this with (47) results in an expression for the forces over a step of the robot that depends only on  $\xi_1$  and  $\zeta_2^-$ . Express this as

$$\begin{bmatrix} F_1^N(\xi_1, \zeta_2^-) \\ F_1^T(\xi_1, \zeta_2^-) \end{bmatrix} = \Lambda_1(\xi_1) \zeta_2^- + \Lambda_0(\xi_1) \quad (61)$$

where  $\Lambda_0$  and  $\Lambda_1$  are smooth functions of  $\xi_1$ . Thus, an upper bound on  $\zeta_2^-$  so that the pivot assumption holds is given by

$$\zeta_{2, F_1^N}^{\max} := \sup_{\zeta_2^-} \left[ \min_{\theta^+ \leq \xi_1 \leq \theta^-} F_1^N(\xi_1, \zeta_2^-) \right] \geq 0 \quad (62)$$

$$\zeta_{2, |F_1^T/F_1^N|}^{\max} := \sup_{\zeta_2^- \leq \zeta_{2, F_1^N}^{\max}} \left[ \max_{\theta^+ \leq \xi_1 \leq \theta^-} \left| \frac{F_1^T(\xi_1, \zeta_2^-)}{F_1^N(\xi_1, \zeta_2^-)} \right| \right] \leq \mu_s \quad (63)$$

where  $\mu_s$  is the static Coulomb friction coefficient of the walking surface [30], and the domain of definition of the Poincaré return map should thus be restricted to

$$\left\{ \zeta_2^- > 0 \mid \delta_{\text{zero}}^2 \zeta_2^- - K \geq 0, \zeta_2^- \leq \zeta_{2, |F_1^T/F_1^N|}^{\max} \right\}. \quad (64)$$

On a practical note, if the modeling hypotheses included bounds on the maximum actuator torque, these bounds could also be explicitly included in the domain of definition of the Poincaré map in the same manner.

### C. Creating Exponentially Stable, Periodic Orbits in the Full Model

Fixed points of the Poincaré return map of the hybrid zero dynamics correspond to periodic orbits of the hybrid zero dynamics. By construction of the hybrid zero dynamics, these are also periodic orbits of the full model, (13). Moreover, *exponentially stable orbits* of the hybrid zero dynamics correspond to *exponentially stabilizable orbits* of the full model. This is developed next.

Suppose that hypotheses HH1)–HH5) hold and that, in addition, there exists a fixed point,  $z^* \in S \cap Z$ , of the Poincaré

return map for the hybrid zero dynamics. Let  $\mathcal{O}$  be the periodic orbit in  $Z$  corresponding to  $z^*$ ; that is

$$\mathcal{O} := \{z \in Z \mid z = \varphi(t, \Delta(z^*)), 0 \leq t < T_I \circ \Delta(z^*)\} \quad (65)$$

where  $\varphi$  is a solution of the hybrid zero dynamics, (37).  $\mathcal{O}$  is then a periodic orbit of the full model corresponding to initial condition  $z^*$  and control input  $u(t) = u^* \circ \varphi(t, \Delta(z^*))$ , for  $0 \leq t < T_I \circ \Delta(z^*)$ , where  $u^*$  is given by (17).

The application of the prefeedback

$$u(x) = (L_g L_f h(x))^{-1}(v - L_f^2 h(x)) \quad (66)$$

to (3) with an output satisfying HH1)–HH4) results in the chain of  $N - 1$  double integrators

$$\frac{d^2 y}{dt^2} = v; \quad (67)$$

see (16). Let  $v(y, \dot{y})$  be any feedback controller on (67) satisfying conditions CH2)–CH5) of [24], that is, the following.

*Controller Hypotheses* : For the closed-loop chain of double integrators,  $\ddot{y} = v(y, \dot{y})$

- CH2) solutions globally exist on  $\mathbb{R}^{2N-2}$ , and are unique;
- CH3) solutions depend continuously on the initial conditions;
- CH4) the origin is globally asymptotically stable, and convergence is achieved in finite time;
- CH5) the settling time function<sup>3</sup>,  $T_{\text{set}} : \mathbb{R}^{2N-2} \rightarrow \mathbb{R}$  by

$$T_{\text{set}}(y_0, \dot{y}_0) := \inf\{t > 0 \mid (y(t), \dot{y}(t)) = (0, 0), \\ (y(0), \dot{y}(0)) = (y_0, \dot{y}_0)\}$$

depends continuously on the initial condition,  $(y_0, \dot{y}_0)$ .

Hypotheses CH2)–CH4) correspond to the definition of finite-time stability [7], [25]; CH5) is also needed, but is not implied by CH2)–CH4) [8]. These requirements rule out traditional sliding mode control, with its well-known discontinuous action.

Consider now the full-model (13) in closed loop with the feedback

$$u(x) = (L_g L_f h(x))^{-1}(v(h(x), L_f h(x)) - L_f^2 h(x)). \quad (68)$$

Take the Poincaré section as  $S$  the walking surface, and let  $P : S \rightarrow S$  be Poincaré return map. A simple computation shows that the invariance condition,  $\Delta(S \cap Z) \subset Z$ , implies that  $P$  has a well-defined restriction to  $S \cap Z$ , and that  $P|_{S \cap Z} = \rho$ , the Poincaré return map of the hybrid zero dynamics. By [24, Th. 2], it therefore follows that  $\mathcal{O}$  is exponentially stable for the full model (13) under the feedback (68) if, and only if, it is exponentially stable for the hybrid zero dynamics.

Hence, if an output can be selected so that the resulting 1-DOF hybrid zero dynamics admits an exponentially stable orbit, then an exponentially stable walking motion can be achieved under feedback control for the full dynamical model of the robot. Moreover, by the results of Section IV-B, it can be assured that key modeling assumptions are met for the steady

<sup>3</sup>That is, the time it takes for a solution initialized at  $(y_0, \dot{y}_0)$  to converge to the origin. The terminology is taken from [7].

state walking motion. The next parts of the paper look at a means of systematically selecting the output function.

## V. COMPUTING AND PARAMETERIZING THE HYBRID ZERO DYNAMICS

Section IV has provided the conditions for the existence of a zero dynamics for the complete robot model with impacts, and established a number of its properties. However, in a concrete manner, the results are not yet practicable for feedback design because the explicit computation of the zero dynamics involves the inversion of a coordinate transformation. This section has two principal objectives. The first is to present a class of output functions that leads to computable, closed-form representations of the zero dynamics. The second objective is to introduce a finite parameterization of the outputs in a convenient form that will permit the shaping of the zero dynamics by parameter optimization.

### A. Almost Linear Output Function Structure

Consider the following output function:

$$y = h(q) := h_0(q) - h_d \circ \theta(q) \quad (69)$$

where  $h_0(q)$  specifies  $(N - 1)$  independent quantities that are to be controlled and  $h_d \circ \theta(q)$  specifies the desired evolution of these quantities as a function of the monotonic quantity  $\theta(q)$ . Driving  $y$  to zero will force  $h_0(q)$  to track  $h_d \circ \theta(q)$ . Intuitively, the posture of the robot is being controlled as a holonomic constraint parameterized by  $\theta(q)$ .

Choosing

$$h_0(q) := H_0 q \quad (70)$$

$$\theta(q) := c q \quad (71)$$

where  $H_0 : \mathbb{R}^N \rightarrow \mathbb{R}^{N-1}$  is a linear map,  $c : \mathbb{R}^N \rightarrow \mathbb{R}$  is a linear functional allows the hypotheses of Lemma 1 to be easily satisfied. Concerning those hypotheses, the output function structure (69) with  $h_0(q)$  and  $\theta(q)$  as in (70) and (71), respectively, satisfies HH1) (the output only depends on the configuration variables) and will satisfy HH3) (invertibility) if, and only if,  $H := [H_0', c']$  is full rank. Hence, if HH2) and HH4) hold, the swing phase zero dynamics can be computed in closed form. Indeed, the coordinate inverse required in (26) is given by

$$q = H^{-1} \begin{bmatrix} h_d(\xi_1) \\ \xi_1 \end{bmatrix}. \quad (72)$$

In Section V-B,  $h_d$  will be specialized to a vector of Bézier polynomials which will make it easy to achieve the invariance condition,  $\Delta(S \cap Z) \subset S$ .

### B. Specialization of $h_d$ by Bézier Polynomials

A one-dimensional Bézier polynomial [6] of degree  $M$  is a polynomial,  $b_i : [0, 1] \rightarrow \mathbb{R}$ , defined by  $M + 1$  coefficients,  $\alpha_k^i$ , per

$$b_i(s) := \sum_{k=0}^M \alpha_k^i \frac{M!}{k!(M-k)!} s^k (1-s)^{M-k}. \quad (73)$$

Some particularly useful features of Bézier polynomials are (see [50, p. 291]) as follows.

- 1) The image of the Bézier polynomial is contained in the convex hull of the  $M+1$  coefficients (as viewed as points in  $\mathbb{R}^2$ ,  $\{(0, \alpha_0^i), (1/M, \alpha_1^i), (2/M, \alpha_2^i), \dots, (1, \alpha_M^i)\}$ ) (the polynomial does not exhibit large oscillations with small parameter variations).
- 2)  $b_i(0) = \alpha_0^i$  and  $b_i(1) = \alpha_M^i$ .
- 3)

$$(\partial b_i(s)/\partial s)|_{s=0} = M(\alpha_1^i - \alpha_0^i)$$

and

$$(\partial b_i(s)/\partial s)|_{s=1} = M(\alpha_M^i - \alpha_{M-1}^i).$$

The first feature will be useful for numerical calculations (such as approximating the gradient of a cost function) where numerical stability is crucial. The second two features are exactly those used to achieve  $\Delta(S \cap Z) \subset S$ .

A given function  $\theta(q)$  of the generalized coordinates will not, in general, take values in the unit interval over a phase of single support. Therefore, to appropriately compose a Bézier polynomial with  $\theta(q)$ , it is necessary to normalize  $\theta$  by

$$s(q) := \frac{\theta(q) - \theta^+}{\theta^- - \theta^+} \quad (74)$$

which takes values in  $[0, 1]$ . Define  $h_d \circ \theta(q)$  as

$$h_d \circ \theta(q) := \begin{bmatrix} b_1 \circ s(q) \\ b_2 \circ s(q) \\ \vdots \\ b_{N-1} \circ s(q) \end{bmatrix}. \quad (75)$$

Group the parameters  $\alpha_k^i$  into an  $(N-1) \times (M+1)$  matrix,  $\alpha$ , and denote the columns of  $\alpha$  by  $\alpha_k := (\alpha_k^1, \dots, \alpha_k^{N-1})'$ . Evaluating (75) and its derivative with respect to  $\theta$  at the beginning (respectively, end) of a phase of single support, that is, where  $\theta(q) = \theta^+$  (respectively,  $\theta(q) = \theta^-$ ), leads to the following result.

*Theorem 4 (Achieving  $\Delta(S \cap Z) \subset Z$ ):* Assume the hypotheses of Theorem 2 and an output  $h$  of the form (69) with  $h_0$ ,  $h_d$ , and  $\theta$  as in (70), (75), and (71), respectively. Then,  $h \circ \Delta(S \cap Z) = 0$  if, and only if

$$\begin{bmatrix} \alpha_0 \\ \theta^+ \end{bmatrix} = H R H^{-1} \begin{bmatrix} \alpha_M \\ \theta^- \end{bmatrix}. \quad (76)$$

Moreover, if  $\delta_{\text{zero}} \neq 0$ , then  $L_f h \circ \Delta(S \cap Z) = 0$  if, and only if

$$\alpha_1 = \frac{\theta^- - \theta^+}{M c \dot{q}^+} H_0 \dot{q}^+ + \alpha_0 \quad (77)$$

where  $\dot{q}^- = \sigma_{\dot{q}}(q_0^-)$  and  $\dot{q}^+ := \Delta_{\dot{q}}(q_0^-) \dot{q}^-$ . That is, if (76) and (77) hold, then  $\Delta(S \cap Z) \subset Z$ .  $\square$

*Proof:* Using Theorem 2, it suffices to show that there exists at least one point  $(q_0^-, \dot{q}_0^-) \in S \cap Z$  such that  $\gamma_0(q_0^-) \dot{q}_0^- \neq 0$ ,  $h \circ \Delta_q(q_0^-) = 0$ , and  $L_f h \circ \Delta(q_0^-, \dot{q}_0^-) = 0$ . Evaluating (72)

on  $S \cap Z$ ,  $h \circ \Delta(x^-) = 0$  means that  $q|_{\xi_1=\theta^+} = \Delta_q q|_{\xi_1=\theta^-}$ . This implies

$$H^{-1} \begin{bmatrix} \alpha_0 \\ \theta^+ \end{bmatrix} = R H^{-1} \begin{bmatrix} \alpha_M \\ \theta^- \end{bmatrix} \quad (78)$$

which may be solved for  $[\alpha_0', \theta^+]'$ . Achieving  $L_f h \circ \Delta(x^-) = 0$  means that  $\dot{q}^+$  must fall in the null space of  $\partial h(q)/\partial q$ . This can be achieved by choosing  $\alpha_1$  such that

$$\left. \frac{\partial h(q)}{\partial q} \right|_{q=q^+} \dot{q}^+ = \left[ H_0 - \frac{M}{\theta^- - \theta^+} (\alpha_1 - \alpha_0) c \right] \dot{q}^+ = 0. \quad (79)$$

Since  $\gamma_0(q_0^-) \dot{q}_0^- \neq 0$  by assumption it follows<sup>4</sup> that  $c \dot{q}^+ \neq 0$  and the solvability of (79) for  $\alpha_1$  is ensured.  $\square$

*Remark 4:* Theorem 4 constrains the coefficients  $\alpha_0$  and  $\alpha_1$  to be functions of  $\alpha_M$ . Hence,  $M$  must be chosen to be three or greater to impose configuration and velocity periodicity.  $\triangleleft$

## VI. CREATING EXPONENTIALLY STABLE FIXED POINTS THROUGH OPTIMIZATION

The use of optimization in the analysis and design of biped walking motions is not a new concept. Work as early as the 1970s can be found in the biomechanics literature (see [17] and [28], for example). In more recent years, the design of optimal or approximately optimal trajectories for biped robots has become a popular topic [12], [14], [16], [26], [27], [52], [53], [55]. In each case the approach has been to design time trajectories such that a defined cost is minimized, or approximately minimized, subject to a set of constraints. The optimization technique employed varies. Cabodevila and Abba [12] parameterized the robot state as a finite Fourier series and compared the performance of the following three algorithms: Nelder and Mead, Genetic, and Simulated Annealing. Chevallereau and Aoustin [14], and Chevallereau and Sardain [16] rewrote the actuated dynamics of the robot as a polynomial function of the unactuated dynamics and used sequential quadratic programming (SQP). Hasegawa, Arakawa, and Fukuda [27] used a modified genetic algorithm to generate reference trajectories parameterized as cubic splines. Hardt [26] used an optimization package, DIRCOL [62], which implements a sparse SQP algorithm and uses a variable number of cubic splines to approximate the state and piecewise linear functions to approximate the control signals. Rostami and Bessonnet [53] applied Pontryagin's Maximum Principle. Roussel, Canudas-de-Wit, and Goswami [55] approximated the dynamics and used a direct shooting optimization algorithm. While the approach presented here uses the same optimization algorithm as in [26], the result of the optimization is not an optimal or approximately optimal open-loop trajectory, but

<sup>4</sup>First note that  $\xi^+ = \delta_{\text{zero}} \xi^- \neq 0$  where  $\xi^- = \gamma_0(q_0^-) \dot{q}_0^-$  since  $\delta_{\text{zero}} \neq 0$  and  $\xi^- \neq 0$  by assumption. Next, note that since both  $(\xi_1, L_f \xi_1)$  and  $(\xi_1, \xi_2)$  are valid coordinates on  $Z$  the coordinate transformation  $\Xi : (\xi_1, \xi_2) \rightarrow (\xi_1, L_f \xi_1)$ ,  $\Xi = (\xi_1, \kappa_1(\xi_1) \xi_2)$ , is a full-rank map. This implies  $\kappa_1(\xi_1) \neq 0$  since

$$\frac{\partial \Xi}{\partial (\xi_1, \xi_2)} = \begin{bmatrix} 1 & 0 \\ * & \kappa_1(\xi_1) \end{bmatrix}.$$

Hence,  $\xi_2 \neq 0$  implies  $L_f \xi_1 = c \dot{q} \neq 0$ .

rather a *closed-loop system* which creates an exponentially stable orbit, and along this orbit energy consumption has been approximately minimized while satisfying other natural kinematic and dynamic constraints.

Consider (13) with (69) with  $h_0$ ,  $h_d$ , and  $\theta$  as in (70), (75), and (71), respectively. Choosing the parameters of (70), (71), and (75) to satisfy the assumptions of Theorem 4 guarantees that the hybrid zero dynamics exist and the unique control associated with the single support phase of model (13) is given by (17). The goal of the approximate optimization will be to minimize an appropriate cost function while simultaneously satisfying a number of constraints.

Consider the hybrid zero dynamics (37) with cost function

$$J(\alpha) := \frac{1}{p_2^h(q_0^-)} \int_0^{T_I(\xi_2^-)} \sum_{i=1}^{N-1} (u_i^*(t))^2 dt \quad (80)$$

where  $T_I(\xi_2^-)$  is the step duration,  $p_2^h(q_0^-)$  corresponds to step length, and  $u^*(t)$  is the result of evaluating (17) along a solution of the hybrid zero dynamics. It is interesting to note that  $T_I(\xi_2^-)$  may be calculated from (28) as

$$T_I(\xi_2^-) = \int_{\theta^+}^{\theta^-} \frac{1}{\kappa_1(\xi_1)\xi_2(\xi_1, \xi_2^-)} d\xi_1 \quad (81)$$

where  $\xi_2(\xi_1, \xi_2^-)$  is a solution of (46) and is monotonic in  $\xi_2^-$  which implies that  $T_I(\xi_2^-)$  is monotonic in  $\xi_2^-$ .

The total number of parameters for optimization is  $(N - 1)(M - 1)$ ;  $M - 1$  free parameters for each output<sup>5</sup>. The optimization problem may be expressed in Mayer form [4, p. 332] as

$$\dot{x}_1 = \kappa_1(x_1)x_2 \quad (82)$$

$$\dot{x}_2 = \kappa_2(x_1) \quad (83)$$

$$\dot{x}_3 = \sum_{i=1}^{N-1} (u_i^*(x_1, x_2))^2. \quad (84)$$

The constraints may be divided into three classes: nonlinear inequality constraints (NICs), nonlinear boundary equality constraints (NBECs), and explicit boundary constraints (EBCs). The NICs must be satisfied at each point in the time interval of optimization; the NBECs only need to be satisfied at the beginning or end of the time interval of optimization; and the EBCs give the initial or final state. The following constraints are typically required.

*Nonlinear Inequality Constraints:* The following three NICs enforce modeling assumptions per constraints on

- NIC1) minimum normal ground reaction force experienced by the stance leg end;
- NIC2) maximum ratio of tangential to normal ground reaction forces experienced by the stance leg end;
- NIC3) swing leg end height to ensure  $S$  intersects  $Z$  only the end of the step.

<sup>5</sup>By Theorem 4, two parameters per output can be calculated from the other  $M - 1$ .

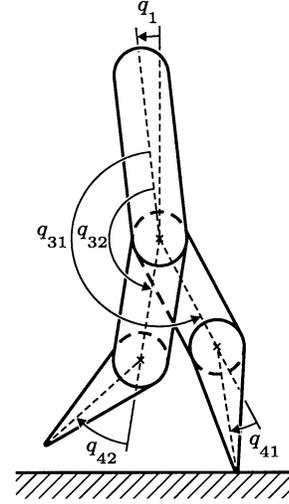


Fig. 3. Example  $\theta(q)$  measured between the vertical and the line connecting the hips and the stance leg end.

Note that other NICs, such as a constraint on minimum hip height, are, in general, required to achieve a desired walking style.

*Nonlinear Boundary Equality Constraints:* There are five natural NBECs that enforce

NBEC1) the average forward walking rate,  $\bar{v}$ , defined as step length divided by step duration

$$\bar{v} := \frac{p_2^h(q_0^-)}{T_I(\xi_2^-)}; \quad (85)$$

NBEC2) that the postimpact velocity of the swing leg is positive;

NBEC3) the validity of the impact of the swing leg end with the walking surface;

NBEC4) the existence of a fixed point,  $\zeta_2^* > K/\delta_{\text{zero}}^2$ ;

NBEC5) the stability of the fixed point,  $0 < \delta_{\text{zero}}^2 < 1$ .

*Explicit Boundary Constraints:* There are five EBCs that give the state at  $t = 0$  and  $t = T_I(\xi_2^-)$

EBC1)  $x_1(0) = c\Delta_q\sigma q$ ;

EBC2)  $x_2(0) = \gamma \circ \Delta \circ \sigma(\zeta_2^*)$ ;

EBC3)  $x_3(0) = 0$ ;

EBC4)  $x_1(T_I(\xi_2^-)) = c\sigma q$ ;

EBC5)  $x_2(T_I(\xi_2^-)) = \gamma \circ \sigma(\zeta_2^*)$ .

Note that  $x_3(T_I(\xi_2^-))$  cannot be explicitly given as its calculation requires knowledge of  $x_1$  and  $x_2$  over the entire time interval of optimization.

Note that without use of the hybrid zero dynamics there would be  $2N$  states, the derivative of the cost, and  $N - 1$  control signals to be included in the problem formulation, while stability of the closed-loop system would be hard to quantify and include as a simple optimization constraint. After optimization, hypothesis HH2), the invertibility of the decoupling matrix, must be checked. This condition is essentially guaranteed whenever  $J(\alpha)$  is finite, since singularities in  $L_g L_f h$  will normally result in  $u^*$  taking on unbounded values; however, a simply connected, open set about the periodic orbit where the decoupling matrix is invertible can be *explicitly* computed by a method developed in [45].

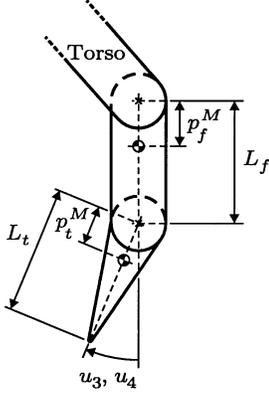


Fig. 4. Schematic of leg with measurement conventions.

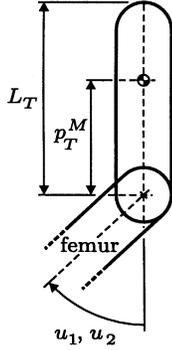


Fig. 5. Schematic of torso with measurement conventions.

## VII. EXAMPLE: FIVE-LINK BIPED WALKER

The goal of this section is to illustrate the application of the presented framework for stability analysis and performance enhancement. This will be done on the five-link biped robot depicted in Fig. 3 which satisfies hypotheses RH1)–RH5). For this robot, GH1)–GH6) will be satisfied by restricting choice of walking gaits appropriately during the optimization process.

### A. Robot Model

The five-link model corresponds to the model of a prototype five-link biped called RABBIT, which is under construction by the French project *Commande de Robots à Pattes* of the *CNRS–GdR Automatique* [1]. Figs. 4 and 5 detail the measurement conventions. Table I gives the model parameters. The equations of motion as well as particulars of the impact model are omitted here for reasons of space, but can be found in [2] in various printable formats.

### B. Almost Linear Output Function Choice

Choosing  $H_0 = [I, 0]$  and  $c = (-1, 0, -1/2, 0, -1)$  clearly guarantees that  $H$  is invertible and results in the output

$$y = h_0(q) - h_d \circ \theta(q) = \begin{bmatrix} q_{31} \\ q_{32} \\ q_{41} \\ q_{42} \end{bmatrix} - h_d \circ \theta(q). \quad (86)$$

In light of Remark 4,  $M$  is chosen to be six, which leaves five free parameters to be chosen for each output. This implies a total of 20 output function parameters to be optimized.

TABLE I  
MODEL PARAMETERS FOR EXAMPLE ROBOT

Model parameters	Torso ( $T$ )	Femurs ( $f$ )	Tibias ( $t$ )
Mass, $M_*$ (kg)	20	6.8	3.2
Length, $L_*$ (m)	0.625	0.4	0.4
Inertia, $I_*$ ( $m^2 kg$ )	2.22	1.08	0.93
Mass Center, $p_*^M$ (m)	0.2	0.163	0.128

TABLE II  
OPTIMIZATION RESULT STATISTICS

$J(\alpha)$ ( $N^2 m$ )	$\zeta_2^*$ ( $kgm^2/s^2$ )	$\delta_{zero}^2$ -	$V_{zero}(\theta^-)$ ( $kgm^2/s^2$ )	$K$ ( $kgm^2/s^2$ )
36.79	979.0	0.638	-354.4	260.4

For particular choice of  $\alpha$ , HH4) must be checked to ensure smoothness of  $S \cap Z$ . This entails evaluating the rank<sup>6</sup> of

$$\frac{\partial}{\partial q} \begin{bmatrix} h(q) \\ p_2^v(q) \end{bmatrix} \Big|_{x \in S \cap Z} = \begin{bmatrix} H_0 - \frac{M}{\theta^- - \theta^+} (\alpha_M - \alpha_{M-1}) c \\ \frac{\partial p_2^v(q)}{\partial q} \Big|_{q=q_0^-} \end{bmatrix}. \quad (87)$$

where  $p_2^v(q)$  is the height of the swing end. Hypothesis HH2), the invertibility of the decoupling matrix, is checked for choice of  $\alpha$  by using the technique presented in [45]. If the optimization constraints are satisfied, as detailed in Section VI, so will the remaining gait, impact model, and output function hypotheses.

### C. Parameter Choice via Approximate Optimization

Three additional NICs are required for the example robot model to walk with a human-like gait. The first two, when satisfied, prevent the stance and swing leg knees from hyperextending. The third, when satisfied, prevents the hips from dropping too low.

The optimization package DIRCOL was used to solve the optimization problem. The implementation was straightforward with the exception that DIRCOL is unable to handle nonconstant initial EBCs. For this reason EBC1) and EBC2) must be converted into NBECs<sup>7</sup>.

Table II summarizes the result of optimizing for a desired average forward walking rate of 1.05 m/s. The optimization took 1240 sec ( $\approx 21$  min) on a PC-based computer with a 1-GHz Pentium III processor. The walking motion is exponentially stable since  $0 < \delta_{zero}^2 < 1$  and  $\zeta_2^* > K/\delta_{zero}^2 = 408.2$ . Fig. 6 is a stick figure animation of this result for a single step. The walking motion appears to be natural. Fig. 7 is a plot of the associated torques at the fixed point. Of the four associated torques, the peak torque occurs at the stance leg hip and is approximately 47 Nm. Note that this is comparable to the torques associated with walking at 0.75 m/s as determined in [14]. The peak power is also associated with the stance leg hip and is approximately

<sup>6</sup>See Remark 1.

<sup>7</sup>This is accomplished for EBC1) by the construction

$$\text{NBEC}_{\text{EBC1}} = \begin{cases} 0, & x_1(0) - c \Delta_q \sigma_q = 0 \\ |x_1(0) - c \Delta_q \sigma_q|, & \text{otherwise} \end{cases}$$

and, similarly, for EBC2).

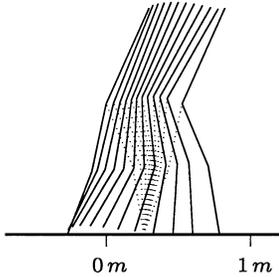


Fig. 6. Stick animation of robot taking one step from left to right. Note that the stance leg is dotted.

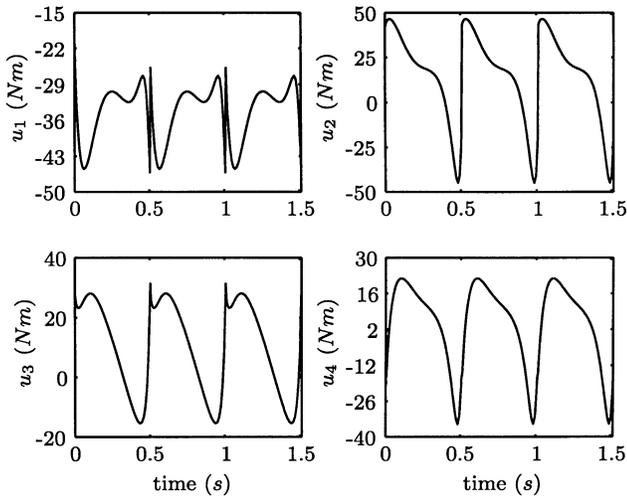


Fig. 7. Torque curves for three steps for the example.

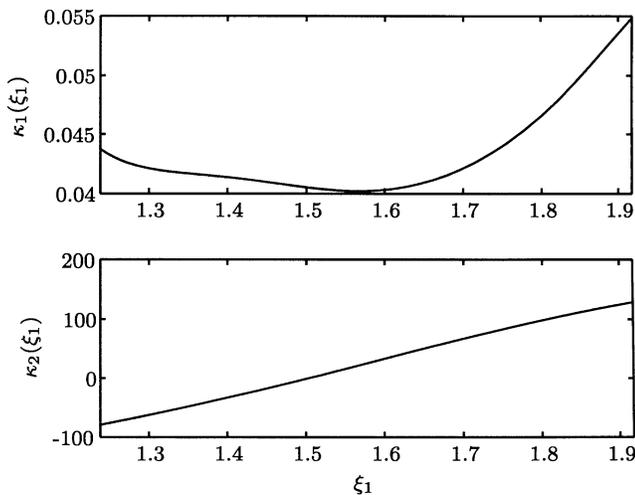


Fig. 8. Constituent functions of the zero dynamics for the example.

267 W. Fig. 8 gives  $\kappa_1$  and  $\kappa_2$  as functions of  $\xi_1$ . Notice that  $\kappa_1$  is strictly positive while  $\kappa_2$  is sign indefinite. Movies of the walking motion may be found in [2].

### VIII. CONCLUSION

The notion of the hybrid zero dynamics has been introduced for a one degree of underactuation, planar, bipedal walker with rigid impacts. This two-dimensional, invariant subdynamics of the complete hybrid model of the biped robot was shown to be key to designing exponentially stabilizing controllers for

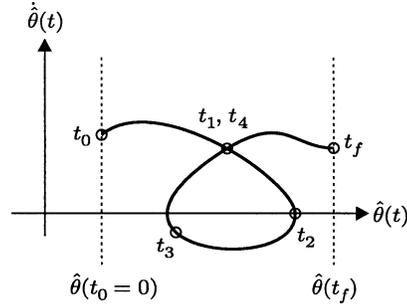


Fig. 9. Impossible integral curve of the zero dynamics.

walking motions. In particular, exponentially stable orbits of the hybrid zero dynamics can be rendered exponentially stable in the complete hybrid model. Since the Poincaré map of the hybrid zero dynamics is diffeomorphic to a scalar, LTI system, the existence and stability properties of orbits of the hybrid zero dynamics are particularly easy to characterize. It was shown how a special class of output functions can be used to simplify the actual computation of the hybrid zero dynamics, while at the same time inducing a convenient, finite parameterization of the hybrid zero dynamics. Parameter optimization was then applied to the hybrid zero dynamics to directly design a provably stable, closed-loop system with satisfied design constraints, such as walking at a given average speed and the forces on the support leg lying in the allowed friction cone. All of the results were illustrated on a five-link walker.

The key property permitting the aforementioned analysis is the invariance of the swing-phase zero dynamics under the impact map, thereby creating a two-dimensional invariant surface in the full hybrid model. Without this property, a “stability” analysis of the swing-phase zero dynamics makes no sense. In [39], invariance of the swing-phase zero dynamics was achieved only at a point, thereby creating a one-dimensional invariant surface (i.e., a periodic orbit) in the full-order model. In [24], an even weaker form of invariance was achieved: the swing-phase zero dynamics became invariant under the impact map only in the limit under high-gain feedback control. In work to be published, it will be shown that the framework of the hybrid zero dynamics also allows the design of transition controllers for switching with stability among controllers that achieve stable walking at discrete speeds, and for achieving walking at a continuum of speeds [63].

### APPENDIX

#### Proof of Proposition 1

*Proof:* Without loss of generality, assume  $\hat{\theta}(t_0) < \hat{\theta}(t_f)$ . Then  $\dot{\hat{\theta}}(t_0) > 0$ . To show that  $\hat{\theta}(t)$  is monotonic it suffices to show that  $\dot{\hat{\theta}}(t) > 0$  for all  $t_0 < t < t_f$ . Suppose there exists some  $t_2$  (see Fig. 9) such that  $t_0 < t_2 < t_f$  and  $\dot{\hat{\theta}}(t_2) = 0$ . Let  $t_2$  be the smallest such  $t$ . The point  $(\hat{\theta}(t_2), 0)$  cannot be an equilibrium point of (22) because  $\hat{\theta}(t_2) < \hat{\theta}(t_f)$ . Hence, there exists some  $t_3 > t_2$  such that for all  $t_2 < t < t_3$ ,  $\dot{\hat{\theta}}(t) < 0$  and  $\hat{\theta}(t) < \hat{\theta}(t_2)$ . By the assumption that  $\dot{\hat{\theta}}(t) > \dot{\hat{\theta}}(t_0)$  for all  $t > t_0$  and because  $\hat{\theta}(t_f) > \hat{\theta}(t_2)$ , there must exist a  $t_4 > t_3$  such

that  $\hat{\theta}(t_4) = \hat{\theta}(t_1)$  for some  $t_0 < t_1 < t_2$ . This contradicts the uniqueness of solutions of (22). Hence, there can be no  $t_2$  such that  $\hat{\theta}(t_2) = 0$  and thus  $\hat{\theta}(t) > 0$  for all  $t_0 < t < t_f$ . Therefore,  $\hat{\theta}: [t_0, t_f] \rightarrow \mathbb{R}$  is strictly monotonic.  $\square$

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