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The Relationship Between Parental Involvement as Social Capital and College Enrollment: An Examination of Racial/Ethnic Group Differences

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Note: At the time of publication, Laura W. Perna was affiliated with the University of Maryland, College Park. Currently (August 2006), she is a faculty member at the Graduate School of Education at the University of Pennsylvania.

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Abstract

Although comparable percentages of African American and White high school sophomores expect to finish college (Nettles & Perna, 1997), smaller shares of African Americans and Hispanics than of Whites actually enroll. Only 39% of African American and 32% of Hispanic high school graduates between the ages of 18 and 24 were addenrolled in college in 1999, compared with 45% of Whites (National Center for Education Statistics [NCES], 2001).

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The Relationship between Parental Involvement as Social Capital and College Enrollment: An Examination of Racial/Ethnic Group Differences

Although comparable percentages of African American and White high school sophomores expect to finish college (Nettles & Perna, 1997), smaller shares of African Americans and Hispanics than of Whites actually enroll. Only 39% of African American and 32% of Hispanic high school graduates between the ages of 18 and 24 were enrolled in college in 1999, compared with 45% of Whites (National Center for Education Statistics [NCES], 2001).

College preparation programs (also known as early intervention programs and pre-collegiate outreach programs) are an increasingly common approach to raising the college enrollment rates of African Americans, Hispanics, and other groups of students who are underrepresented in higher education. Although the federal government has been involved with college preparation programs since the establishment of the TRIO programs in the 1960s, the federal government extended its role in 1998 with the establishment of GEAR-UP (Gaining Early Awareness and Readiness through Undergraduate Preparation). State governments, notfor-profit organizations, and individual colleges and universities also

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The Journal of Higher Education, Vol. 76, No. 5 (September/October 2005) Copyright © 2005 by The Ohio State University sponsor college preparation programs (Fenske, Geranios, Keller, & Moore, 1997). These programs are designed to promote educational attainment among disadvantaged groups of students by developing the skills, knowledge, confidence, aspirations, and preparation that are needed to enroll in and graduate from college.

Administrators of college preparation programs, as well as researchers and policy analysts, generally believe that "parental involvement" is a component of "successful" programs (Swail & Perna, 2000; Tierney, 2002). A 1999 survey by the College Board revealed that more than two thirds (70%) of college preparation programs that target historically underrepresented minority groups report having a parental involvement component; for one third of all programs, parents of participating students are required to participate (Perna, 2002). Despite this high self-reported prevalence, however, some research (Tierney, 2002) suggests that parents are only superficially involved, likely because these programs often lack the time, funding, staffing, and other resources that are required for more substantial involvement.

The stated commitment of most college preparation programs to involve parents reflects an assumption that parental involvement promotes the college enrollment of underrepresented groups of students. Researchers have found that parental involvement is associated with a greater likelihood of aspiring to attend college and actually enrolling (Cabrera & La Nasa, 2000; Horn, 1998; Hossler, Braxton & Coopersmith, 1989; Hossler, Schmit & Vesper, 1999; Perna, 2000), as well as with higher grades (Lee, 1993; Muller, 1993; Zick, Bryant, & Osterbacka, 2001), higher eighth grade mathematics and reading achievement (Lee, 1993; Sui-Chu & Willms, 1996), lower rates of behavioral problems (Lee, 1993; Zick, Bryant, & Osterbacka, 2001), and lower likelihood of high school dropout and truancy (McNeal, 1999).

Nonetheless, research on the relationship between parental involvement and college enrollment is limited in several ways. When included in quantitative analyses, parental involvement is generally operationalized using just one indicator such as a composite of the frequency of discussions between the parent and child about school-related activities (e.g., Horn, 1998; Perna, 2000), rather than a multidimensional construct as recommended by some researchers (e.g., Sui-Chu & Willms, 1996). The role of parental involvement in college enrollment is also generally conceptualized as an individual-level characteristic with no attention to the structural constraints that influence involvement or the types of resources that may be accessed through such involvement (Horvat, 2001). Moreover, although some researchers (Perna, 2000; St. John, 1991) have shown that the college enrollment process varies across racial/ethnic groups, other researchers (Dika & Singh, 2002; Mattingly, Prislin, McKenzie, Rodriguez, & Kayzar, 2002; McNeal, 1999; Tierney & Auerbach, in press) have concluded that little is known about the extent to which the relationship between parental involvement and college enrollment varies by race/ethnicity.

Although some research (Perna, 2002; Swail & Perna, 2000) has described the characteristics and components of college preparation programs, few studies have examined the effectiveness of those programs in general or of such components as parental involvement in particular (Tierney, 2002). While this study does not address the need for methodologically and analytically rigorous evaluations of the parental involvement components of particular college preparation programs, this study sheds light on the ways in which parental involvement influences the college enrollment of African Americans and Hispanics more generally. The results suggest that allocating resources to promote parental involvement is an effective approach for programs that are designed to increase the college enrollment of underrepresented groups.

To examine the relationship between parental involvement and college enrollment, this study draws on the work of Bourdieu (1986), Coleman (1988), and Lin (2001a, 2001b) to conceptualize parental involvement as a form of social capital that provides individuals with access to resources that may facilitate college enrollment. The conceptual model recognizes, as Bourdieu (1986) and Lin (2001b) suggest, that an individual's action (e.g., college enrollment) cannot be fully understood except in terms of the structural context. The structural context is defined in terms of the characteristics of the high school attended: specifically, the extent to which the school encourages parental involvement, the volume of resources that may be accessed via social networks at the school, and the homogeneity of the social networks at the school. As suggested by the underlying theoretical perspectives, the multilevel multinomial analyses show that the likelihood of enrolling in a 2-year or 4-year college depends not only on an individual student's parental involvement but also on the volume of social and other forms of capital that may be accessed via social networks at the school. The analyses also show that the relationship between college enrollment and parental involvement is different for African Americans than for other high school graduates. This article concludes by discussing the implications of these findings for college preparation programs.

Conceptual Framework: Parental Involvement as a Form of Social Capital

This study integrates aspects of Coleman (1988), Bourdieu (1986), and Lin (2001a, 2001b) into a comprehensive conceptual model for understanding the relationship between parental involvement, as a form of

social capital, and college enrollment. Like other forms of capital, social capital is a resource that students may draw upon as needed to enhance productivity (Coleman, 1988), facilitate upward mobility (DiMaggio & Mohr, 1985; Lamont & Lareau, 1988), and realize economic returns (Lin, 2001b). A primary function of social capital is to enable a student to gain access to human, cultural, and other forms of capital, as well as to institutional resources and support (Coleman, 1988; Hofferth, Boisjoly, & Duncan, 1998; Lin, 2001b; Morrow, 1999; Portes, 1998; Stanton-Salazar & Dornbusch, 1995).

Researchers have used varying conceptualizations of social capital (Dika & Singh, 2002; Horvat, Weininger, & Lareau, 2003; Portes, 1998) and, in some instances, as McNeal (1999) notes, have blurred the distinctions between social capital and cultural capital. Cultural capital refers to the system of attributes, such as language skills, cultural knowledge, and mannerisms, that is derived in part from one's parents and that defines an individual's class status (Bourdieu, 1986; Bourdieu & Passeron, 1977). Middle- and upper-class individuals possess the most valued forms of cultural capital (McDonough, 1997). Social capital focuses on social networks and the ways in which social networks and connections are sustained (Morrow, 1999). In his comprehensive assessment of the origins and uses of social capital, Portes (1998) noted that social capital is acquired through an individual's relationships with other individuals, particularly through membership in social networks and other social structures.

Coleman (1988) and Bourdieu (1986) offer two somewhat different conceptualizations of social capital. Coleman's (1988) approach, the approach most frequently used in educational research (Dika & Singh, 2002), stresses the role of social capital in communicating the norms, trust, authority, and social controls that an individual must understand and adopt in order to succeed. Coleman identifies the ways in which parental involvement can build social capital (Dika & Singh, 2002), suggesting that social capital is derived from two types of relationships: the relationship between a student and his/her parents; and relationships between a student's parents and other adults, particularly adults who are connected to the school that the student attends.

Bourdieu focuses on the ways in which some individuals are advantaged because of their membership in particular groups (Portes, 1998). According to Bourdieu (1986), the amount of social capital to which an individual may gain access through social networks and relationships depends on the size of the networks as well as on the amounts of economic, cultural, and social capital that individuals in the network possess. Bourdieu views social capital as a mechanism that the dominant class uses to maintain its dominant position (Lin, 2001b). While Coleman's perspective suggests that parents play a primary role in promoting the status attainment of their children, Bourdieu's approach describes the restrictions that structural barriers, in the form of differential access across racial/ethnic, gender, and other groups, to institutional resources impose (Dika & Singh, 2002). Despite this and other differences (Dika & Singh, 2002; Lin, 2001b), both Coleman and Bourdieu recognize that "social capital consists of resources embedded in social relations and social structures, which can be mobilized when an actor wishes to increase the likelihood of success in a purposive action" (Lin, 2001b, p. 24).

Drawing on the work of Coleman and Bourdieu, Lin (2001b) developed a theory of social capital that focuses on the mechanisms and processes through which an individual obtains the resources that are embedded in social networks. Coleman (1988) argues that network closure (i.e., "intergenerational closure") promotes effective communication and enforcement of social norms as well as shared expectations, goals, and values. Bourdieu also suggests that network closure is required for the dominant class to preserve its dominant position (Lin, 2001a). In contrast, Lin (2001a, 2001b) asserts that network closure is not required. Lin suggests that, whereas closed networks, or strong ties, may effectively preserve resources, weak ties may enable an individual to access resources that are not available via strong ties. In other words, weak ties may serve as a "bridge" to networks that possess information and resources that are different from those that are provided by strong ties or by an individual's family and close friends (Granovetter, 1983; Lin, 2001b). Lin assumes that, although individuals generally establish relationships with individuals who have similar perspectives and socioeconomic backgrounds (i.e., the homophilous principle), some individuals seek relationships with individuals who are of a somewhat "better" social status in order to gain additional resources (i.e., the heterophilous principle).

Research suggests that both strong and weak ties may play a role in educational outcomes. Using longitudinal data from the National Educational Longitudinal Study (NELS), Carbonaro (1998) found that the chances of a child dropping out of high school declined as the number of the child's friends' parents with whom a parent reported talking (i.e., strong ties) increased, after controlling for background characteristics, parental expectations, and such measures of behavior as skipping school, suspensions, and number of friends who had dropped out. Using longitudinal data from the Panel Study of Income Dynamics, Hofferth et al. (1998) found that weak ties, defined as parents' access in an emergency to financial and other assistance from friends, were positively related to college attendance for students from high-income families, whereas strong ties, defined as parents' access in an emergency to financial and other assistance from relatives, were unrelated to college attendance regardless of family income.

Structural Constraints and Resources: The Role of the School Context

Both Bourdieu (Bourdieu & Wacquant, 1992) and Lin (2001b) argue that an individual's actions cannot be fully understood except in relation to the social context in which those actions occur. Bourdieu's notion of habitus describes the ways in which individual actions and societal structures are linked. Habitus is the internalized set of dispositions and preferences that subconsciously define an individual's reasonable actions (Bourdieu & Wacquant, 1992; Horvat, 2001; McDonough, 1997). The habitus reflects the internalization of structural boundaries and constraints and determines what is possible for an individual (Horvat, 2001).

Structural characteristics of the school may restrict college enrollment through their influence on social networks and relationships (Stanton-Salazar, 1997). Stanton-Salazar argues that such institutional agents as teachers, counselors, and middle-class peers provide access to resources and opportunities including information about college and help with college-admissions requirements but that institutional structures limit the ability of working-class minority students to develop "trusting" relationships with institutional agents. Among the structures that may restrict the growth of social capital for working-class minority students are the focus of schools on bureaucratic processes, the dual role of teachers and counselors as mentors and gatekeepers, and the short-term duration of interactions (Stanton-Salazar, 1997).

Variations across Racial/Ethnic Groups in the Conversion of Social Capital

Although some research suggests variations by family income in the conversion of social capital into college enrollment (Hofferth et al., 1998), little is known about racial/ethnic group differences in the ways in which parental involvement as a form of social capital promotes college enrollment. In addition to the need to understand the causes of observed racial/ethnic group gaps in college enrollment, attention to this issue is warranted for at least four reasons.

First, research shows that the relationship between parental involvement and other outcomes varies across racial/ethnic groups. Using data from the NELS, McNeal (1999) found that, after controlling for other variables, the positive effects of parental involvement on reducing the likelihood of high school dropout and truancy were smaller for Blacks, Hispanics, and Asian Americans than for Whites. Also using data from the NELS, Qian and Blair (1999) showed that parental involvement was a predictor of educational aspirations for African American, Hispanic, and White high school seniors, but not for Asian American high school seniors, after controlling for individual characteristics, financial capital, and human capital.

Second, as López, Scribner, and Mahitivanichcha (2001) observed, most approaches to parental involvement rely on a cultural deficit approach that emphasizes traditional forms of parental involvement without considering the ways in which the nature of parental involvement may vary across groups. In their qualitative study of four school districts with large migrant populations, López and colleagues (2001) found that, before parents could participate in their child's education in a meaningful way, their social, economic, and physical needs had to be addressed. Successfully involving parents required recognizing the cultural and educational strengths, as well as the economic and structural barriers, of the migrant families (López et al., 2001).

Third, a Bourdieuian approach predicts that the relationship between parental involvement as social capital and college enrollment will vary across racial/ethnic groups, as Bourdieu argues that barriers based on race/ethnicity as well as those based on sex and class restrict access to institutional resources (Dika & Singh, 2002). Thus, a student's interpretation of acceptable actions, or habitus, will vary, at least in part, based on his/her race (Horvat, 2001). Consistent with this perspective, Freeman's (1997) qualitative study of the perceived barriers to the college enrollment of African Americans suggests that many African American students are not encouraged to pursue college by their parents or other adults.

Finally, Lin's (2001b) theory of social capital suggests that racial/ethnic group variations in college enrollment are attributable, at least in part, to racial/ethnic group differences in the types of resources that are available through a school's social networks. Lin assumes that social structures have a pyramidal shape in which the degree of access to and control over resources is positively related to an individual's position or level within the social hierarchy. Thus, groups that are disadvantaged relative to other groups in terms of their social positions (e.g., African Americans and Hispanics relative to Whites) may also be disadvantaged in terms of their access to valued resources. This perspective predicts that, in order to compensate for their relatively disadvantaged positions, African Americans and Hispanics are more likely than Whites are to rely on heterophilous interactions and weak ties as a source of resources that promote college enrollment (Lin, 2001b). This hypothesis is consistent with work by Fries-Britt (1998) on high-ability Black students. Her qualitative research suggests that high-achieving Black students generally have few opportunities to establish relationships with other highachieving Black students during high school regardless of the racial/ethnic composition of the high school. Thus, Lin's perspective suggests that variations across schools in the resources possessed by social networks and the extent to which heterophilous interactions are possible may be a source of racial/ethnic differences in college enrollment across schools.

Research Method

Drawing on the work of Coleman (1988), Bourdieu (1986; Bourdieu & Wacquant, 1992), and Lin (2001b), this study uses the multinomial extension of hierarchical linear modeling (HLM) to address the following research questions:

- 1. What is the relationship between parental involvement, a studentlevel form of social capital, and the likelihood that a student enrolls in a 2-year or 4-year college or university in the fall after graduating from high school after controlling for other studentlevel predictors of college enrollment and school-level structural characteristics?
- 2. How does the relationship between different types of parental involvement and the likelihood of enrolling in a 2-year or 4-year college or university vary across racial/ethnic groups after controlling for other student- and school-level variables?
- 3. What is the relationship between the characteristics of the social networks at the school attended and the likelihood that a student enrolls in a 2-year or 4-year college or university after controlling for student-level predictors?

Sample

Data from the second (1992) and third (1994) follow-ups to the NELS were used to examine the research questions. Sponsored by the U.S. Department of Education's National Center for Education Statistics, the NELS contains data for a cohort of students in the eighth grade (1988), when they are high school sophomores (1990), when they are high school seniors (1992), and when they are two years out of high school (1994). The sample is limited to individuals who graduated from high school as scheduled in 1992.

The sample excludes American Indians/Alaskan Natives (n = 128) and students of unknown (n = 3) race/ethnicity because of their small numbers. The sample also excludes 1,421 cases that are missing high school identification numbers. As recommended by Snijders and Bosker (1999), the analyses exclude 245 students who are the sole representative of their high school since variances and other statistics cannot be calculated for groups with only one case. The analytic sample numbers 9,810 high school graduates attending 1,006 high schools.

Conceptual Model

Figure 1 summarizes the conceptual model that was used to address the research questions. The model shows that both student-level characteristics, including the amounts of different types of capital that an individual student possesses and structural characteristics of the school that a student attends, influence college enrollment. Although the focus of this study was on the contribution of parental involvement as social capital, the conceptual model recognizes that other forms of capital namely economic, cultural, and human capital—reflect aspects of an individual's habitus and thus influence college enrollment decisions. The dependent variable, college enrollment, was measured in October 1992, the fall after the student's scheduled graduation from high school. The variable has three categories: enrolled in a 2-year college; enrolled in a 4-year college or university; and not enrolled (reference category). Appendices A and B provide operational definitions for the student- and school-level variables in the analyses.

Student-Level Variables

At the student level, the analyses control for race/ethnicity, gender (female, yes or no), and several forms of capital. The analyses include four racial/ethnic groups: African American, Asian, Hispanic, and White (reference group).

Economic capital is measured by variables that reflect an individual's actual and perceived ability to pay the costs of college (Paulsen & St. John, 2002). Family income in 1991, a measure of actual ability to pay, is a 15-category variable ranging from no income to more than \$200,000. Following the example of Paulsen and St. John (2002), perceived ability to pay "fixed" college costs is measured by whether a student reports that neither college expenses nor financial aid is important in the choice of college, both college expenses and financial aid are very important, or college expenses and financial aid are somewhat important

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FIG. 1. Conceptual Model of College Enrollment

(reference category). Perceived ability to pay "controllable" costs is measured by whether a student reports that living at home to attend college is very important to the college choice.

Cultural capital is measured by four variables that are designed to reflect an individual's language skills, cultural knowledge, values about higher education, and class status. One measure is parents' educational attainment, defined as the highest level of education that either parent has attained. A second measure reflects the highest level of education that parents report that they expect their child to attain: less than a college degree, a college degree, or an advanced degree (reference category). The third measure reflects whether English is the primary language that is spoken in the student's home. Following the example of Downey and Powell (1993), cultural capital is also measured by whether a student reports taking music, art, or dance classes at least once a week. Human capital is measured by academic achievement and academic preparation. Academic achievement is measured by the standardized composite score on the reading and mathematics tests that were administered as part of the NELS data collection in 1992. Test scores are used rather than grades because grades are more likely than test scores to reflect differences in standards, expectations, and behaviors across schools (Muller, 1993).

Although positively related to college enrollment, academic curricular track is an unreliable measure of academic preparation (Adelman, 1999; Stevenson, Schiller, & Schneider, 1994). Adelman (1999) suggests that a better measure of the quality and intensity of academic preparation is the highest level of coursework that is completed in particular subjects. Because the hierarchical sequence of courses is clearer for mathematics than for other subjects, this research, like other research (e.g., Horn, 1998), measures the quality of academic preparation by the highest level of mathematics coursework that was completed at the time of high school completion. A series of dichotomous variables reflects the highest level of mathematics that a student completed: algebra I and geometry; algebra II; or at least one advanced math course. Other or no mathematics coursework is the reference category.

Social capital as measured by parental involvement. Using Coleman's (1988) conceptualization, parental involvement is a form of social capital that may promote college enrollment because of the relationship between a student and her/his parents, the relationship between the student's parents and school officials, and the relationship between the student's parents and the student's friends' parents.

Parent-student involvement is measured by parent-student discussions about education-related issues and by parental monitoring of the student's behavior. Parent-student discussions about education-related issues is a factor composite¹ that is comprised of six parent-reported variables that reflect the frequency of discussions regarding the student's selection of courses, school activities, topics studied in schoolwork, grades, plans to take the SAT or ACT, and applications to college. The alpha reliability coefficient for this composite is 0.80. The second factor composite, parental monitoring, reflects whether, as reported by the parent, the family has rules about maintaining a minimum grade point average, doing homework, and attending school regularly. The alpha reliability coefficient for this composite is 0.79.

Parent-school involvement is measured by one single-item measure and four factor composites. The single questionnaire item measures the frequency with which the parent reports contacting the student's school about doing volunteer work. The first factor—parent acts to acquire information about college-reflects whether the parent reports attending a program about educational opportunities after high school, a program about college financial aid, or both. The second factor-parent knows about academic requirements-reflects whether the parent reports knowing which courses the student is taking, how well the student is doing in school, the number of credits the student has earned toward high school graduation, and the number of credits that is required to graduate from high school. The third factor-parent initiates contact with the school about academic issues-reflects the extent to which the parent reports contacting the school about academic performance, academic program, plans after high school, and college preparatory course selection. The fourth factor-parent initiates contact with the school about behavioral problems-reflects the frequency with which the parent reports contacting the school about the student's attendance or behavior. The alpha reliability coefficients for these four factors are 0.76, 0.78, 0.79, and 0.59, respectively.

Parent-to-parent involvement, an indicator of intergenerational closure, is measured by the number of the student's friends' parents with whom a parent reports talking. The postsecondary plans of a student's friends may provide an additional measure of intergenerational closure or may reflect the subtle ways in which parents structure a student's activities to influence the composition of the peer group (Tierney & Auerbach, in press). Peer plans are measured by the share of a student's friends who plan to attend a 4-year college, 2-year college, or no college after graduating from high school.

By interrupting a parent's relationships with other parents as well as with school officials, geographic mobility may disrupt social capital (Hofferth et al., 1998). To account for possible disruptions to social capital, the analyses include a variable measuring the number of times that a student's family moved between 1988 and 1992.

School-level variables

The conceptual model incorporates Bourdieu's (1986) and Lin's (2001a, 2001b) assumptions that an individual's behavior cannot be understood except in terms of the social context in which the behavior occurs and that the social capital to which an individual may gain access through social networks depends on the volume of economic, cultural, and social capital that individuals in the network possess. Figure 1 shows that the conceptual model includes structural characteristics that reflect three aspects of the social networks at the school attended: the extent to which the school encourages parental involvement, the volume

of resources that may be accessed via social networks at the school, and the extent to which heterophilous interactions may occur at the school. The extent to which a school encourages parental involvement is measured by a factor composite of eight variables that are reported by school administrators. The factor composite is comprised of the extent to which the school reports that parents are involved with establishing curricular guidelines, grading and student evaluation policies, discipline policies, textbooks and materials, course offerings, school expenditure priorities, policies for grouping student classes, and criteria for hiring and firing teachers. The alpha reliability coefficient for the factor is 0.81.

Other school-level measures that may reflect both the extent of school encouragement for parental involvement and the volume of social capital in the form of parental involvement that is available through social networks at the school are the school-reported percentages of parents who volunteer time in the classroom and who participate in a parent-teacher organization. The volume of social capital that is available through social networks is also measured by the average of each of the student-level measures of parental involvement (e.g., average frequency of parent-student discussions about education-related issues) at the school attended.

The volume of economic, cultural, and human capital that is available through social networks at the school is measured by school-level averages of the following student-level variables: family income, parental education, parental educational expectations, and test scores. The percentages of the prior year's (1991) 12th graders who enrolled in 2-year and 4-year colleges are additional measures of the cultural capital that is available through school social networks.

The extent to which heterophilous interactions, or weak ties, may provide access to otherwise unavailable resources is measured by the standard deviation of the family income of students attending the same school and the percentages of African Americans and Hispanics in the school student body. These three variables provide an indication of the diversity of the students attending a school in terms of family income and race/ethnicity.

Missing Data

Data are not missing randomly for several of the student-level variables in the model. Following the recommendation by Cohen and Cohen (1983), the student-level analyses include a single independent variable that reflects the "tendency to have missing data." This variable is calculated as the number of independent variables on which data are missing. Mean scores for the cases missing data on each of the continuous independent variables are imputed.

Analyses

HLM is used to address the research questions. Because the dependent variable has three categories, the analyses use the multinomial extension of HLM (Raudenbush & Bryk, 2002).

HLM is appropriate for at least four reasons. First, to address the research questions, the relationship between college enrollment and an individual student's parental involvement must be isolated from the relationship between college enrollment and the school-level characteristics of the social networks that may be accessed through such involvement. Testing hypotheses about the relationship between variables at two levels is one of the primary uses of HLM (Bryk & Raudenbush, 1992).

Second, preliminary analyses suggest that differences in school characteristics are a source of observed differences in college enrollment. A one-way ANOVA of an unconditional model shows that 13% of the variance in college enrollment is accounted for by differences in the characteristics of the schools that students attend.

Third, unlike other statistical methods, HLM allows for an examination of whether structural relations vary across schools. This feature is used to examine the third research question, which focuses on the relationship between school characteristics and college enrollment.

Finally, HLM is appropriate for statistical reasons. Failing to account statistically for different units of analysis (i.e., student and school) can lead to aggregation bias, miscalculation of standard errors, and heterogeneity of regression (Bryk & Raudenbush, 1992). HLM also addresses the design effects that are inherent in the NELS dataset, which utilized a sampling frame that first sampled schools and then sampled students within the schools (Thomas & Heck, 2001).

Because there are three enrollment categories, the HLM analyses estimate two student-level models. The multinomial logit link function expresses the log-odds of a particular type of enrollment (m) relative to no enrollment, the reference category (Raudenbush & Bryk, 2002). The multinomial student-level structural model is expressed as:

 $\eta mij = \beta_0 j(m) + \beta_1 j(m) * (\text{RACE}) ij + \beta_2 j(m) * (\text{FEMALE}) ij$

+ $\beta_{3}j(m)$ * (FAMILY INCOME) $ij + \beta_{4}j(m)$ * (IMPORTANCE OF FIXED COSTS) $ij + \beta_{5}j(m)$ * (IMPORTANCE OF CONTROLLABLE COSTS)ij+ $\beta_{6}j(m)$ * (PARENTS' EDUCATION) $ij + \beta_{7}j(m)$ * (PARENTS' EXPECTA-TIONS)ij (1) + $\beta_{8}j(m)$ * (PRIMARY LANGUAGE) $ij + \beta_{9}j(m)$ * (CULTURAL ACTIVI-TIES) $ij + \beta_{10}j(m)$ * (TEST SCORES) $ij + \beta_{11}j(m)$ * (HIGHEST LEVEL MATH)ij + $\beta_{12}j(m) * (\text{SOCIAL CAPITAL})ij + \beta_{13}j(m) * (\text{MISSING DATA})ij$

+ $\beta_{14}j(m)$ * (RACE x SOCIAL CAPITAL)ij

where *i* denotes the student, *j* denotes the school, m denotes 1 to 2 types of enrollment (i.e., enrollment in a 2-year college and enrollment in a 4-year college relative to not enrolling), SOCIAL CAPITAL is a vector of parental involvement variables as described above, and RACE x SOCIAL CAPITAL represents the interactions between race/ethnicity and each of the variables in the social capital vector.

The RACE x SOCIAL CAPITAL interactions address the second research question regarding variations across racial/ethnic groups in the relationship between different types of parental involvement and the likelihood of enrolling in a two-year or four-year college.

The beta coefficients in Equation 1 characterize the distribution of college enrollment in school j given observable student characteristics. In this research, only the regression coefficients for the intercept are assumed to vary across schools. In other words, the analyses constrain the coefficients for all within-school predictors to be the same for all schools (Bryk & Raudenbush, 1992).

The school-level model takes into account the variance in the intercept across schools. The school-level model is expressed by Equation 2:

 $B_{0j(m)} = \gamma_{00,} + \gamma_{01(m)}^{*}$ (SCHOOL ENCOURAGES PARENTAL INVOLVE-MENT)_{*j*}

+ $\gamma_{02(m)}^{*}$ (VOLUME OF CAPITAL AVAILABLE AT THE SCHOOL)

+ $\gamma_{03(m)}^{}$ *(POSSIBILITY OF HETEROPHILOUS INTERACTIONS)_{*j*} + $u_{0j(m)}$,

 $B_{pj(m)} = \gamma_{p0(m)}$ for p = 1, ... 8 student-level variables where *j* denotes the school, *m* denotes 1 to 2 types of enrollment, and SCHOOL ENCOURAGES PARENTAL INVOLVEMENT, VOLUME OF CAPITAL AVAILABLE AT THE SCHOOL, and POSSIBILITY OF HET-EROPHILOUS INTERACTIONS are vectors of school-level variables as described above.

All student-level and school-level variables are centered on their group means since group-mean centering assumes that student-level variables are determined by both individual and school characteristics (Kreft, de Leeuw, & Aiken, 1995). Hypothesis tests on significant parameters of variables that are aggregated up to the school level are used to determine whether contextual effects exist. In other words, because the student-level predictors are group-mean centered, hypothesis tests determine whether the statistically significant coefficients for the schoollevel variables that are measured by student-level aggregates represent contextual effects beyond the corresponding student-level effects.

The interpretation of the multinomial logit coefficients is facilitated by the use of odds-ratios. The odds-ratio represents the change in the

(1)

(2)

odds of a particular type of enrollment relative to the reference category (not enrolled) that is associated with a one-unit change in an independent variable holding constant all other variables (Peng, So, Stage, & St. John, 2002). An odds-ratio greater than 1 represents an increase in the likelihood of enrolling in a particular type of college or university relative to not enrolling, whereas an odds-ratio less than 1 represents a decrease in the likelihood of that type of enrollment.

Limitations

One limitation of this research is the inability to use student-level sample weights in the multilevel multinomial analysis to correct for the nonsimple random sample design (Raudenbush & Bryk, 2002). The NELS sample design included oversampling of Hispanic and Asian/Pacific Islander students in the base year, and disproportionate retention of Hispanic, Asian/Pacific Islander, and American Indian students in the 1990 follow-up (NCES, 1994).

A second limitation pertains to the adequacy of available proxies. As noted in reviews of prior research (Dika & Singh, 2002; Morrow, 1999), a limitation of this and other research is the reliance on proxies for parental involvement that reflect the quantity rather than the quality of interactions. Measuring the quality of interactions may be especially useful given the growing diversity of family structures (Morrow, 1999). Moreover, as Tierney (2002; Tierney & Auerbach, in press) has argued, "family" involvement is likely a more appropriate focus than "parental" involvement, given changes over time in the definition of "family." Older siblings and members of the extended family may be a particularly important source of encouragement for minority students (Tierney & Auerbach, in press). Nonetheless, few measures of "family" involvement are available in the NELS 1992/1994 dataset.

The study may also be limited by omitted variables, particularly with regard to measures of student financial aid (St. John, 2003). Following the example of Paulsen and St. John (2002), the analyses include measures of actual and perceived ability to pay college costs. While Paulsen and St. John (2002) also included actual college costs in their "financial aid nexus model," this study does not for several reasons. First, while Paulsen and St. John focus on both college enrollment and persistence, this study examines only college enrollment. Second, because many students in the analyses did not enroll in college after graduating from high school, at best only estimates of financial aid offers or unmet financial need could be included. Unlike other NCES datasets (e.g., National Postsecondary Student Aid Survey), the NELS includes limited, and

only student-reported, measures of the financial aid that students receive. Thus, although including measures of family income and perceptions of college costs contributes to a comprehensive modeling of a student's habitus toward college enrollment, the exclusion of financial aid variables may result in a coefficient for family income that underestimates the relationship between family income and college enrollment (Becker, 2003).

Fourth, parental involvement is measured using data from 1992, when students in the analyses were in the 12th grade. This study focuses on the role of parental involvement in the 12th grade rather than at earlier points in time for conceptual clarity. Many other variables may intervene between the time of the involvement in 8th grade (as an example) and college enrollment after the 12th grade. Modeling the effects of parental involvement in earlier grades, the ways in which the effects of parental involvement on college enrollment change during high school, and the effects of parental involvement on other outcomes (e.g., academic preparation) are beyond the scope of this study. Because some research suggests that parental involvement declines as students move from the freshman to senior years of high school, particularly for those in college preparatory tracks (Crosnoe, 2001), this study's examination of parental involvement in the 12th grade likely produces a conservative estimate of the effect of parental involvement on college enrollment.

This research also limits measures of school structural characteristics to those that may encourage parental involvement and that describe the volume of resources that may be accessed through such involvement. Although Stanton-Salazar (1997) points to the potential roles of other structural constraints (e.g., a school's focus on bureaucratic processes), attention to a broader set of structural barriers is beyond the scope of this study.

This study is also limited by the relatively small number of students attending each school in the sample. The small number of students per school may produce samples of students that are not representative of the population of students attending the school. Nonetheless, the empirical Bayes estimating procedure employed by HLM helps address the problem of small numbers of students in each school. The small number of students per school also reduces the statistical power to detect variations across schools, resulting in conservative estimates of the school-level effects (Lee & Burkum, 2003). Future research should test the relationships for the school-level variables using a dataset that includes a larger number of students per school.

Finally, because of the small numbers of African Americans and Hispanics at each high school in the NELS sample, this research cannot

examine the ways in which variations in the characteristics of school social networks contribute to racial/ethnic group differences across high schools in the likelihood of enrolling at a 2-year or a 4-year institution. Specifically, the analyses do not converge when we allow the coefficients for race/ethnicity to vary across schools in the level-two analyses. Further research that utilizes a sample with larger numbers of African Americans and Hispanics in each high school is required to test the hypothesis, stemming from Lin's (2001b) work, that variations in the resources possessed by social networks and differences in the extent to which heterophilous interactions are possible at the school are a source of racial/ethnic group differences in college enrollment across schools.

Findings

Parental Involvement is related to College Enrollment

The multilevel multinomial analyses show that several student-level measures of parental involvement are related to the odds of enrolling in a 2-year or 4-year college in the fall after graduating from high school even after taking into account race/ethnicity, sex, and measures of economic, cultural, and human capital. A review of the statistically significant odds-ratios in Table 1 shows that the odds of enrolling in either a 2-year or 4-year college relative to not enrolling increase with the frequency with which the parent discusses with the student education-related topics (odds-ratio for 2-year = 1.130; odds-ratio for 4-year = 1.164), contacts the school to volunteer (odds-ratio for 2-year = 1.120; odds-ratio for 4-year = 1.143), and initiates contact with school about academics (odds-ratio for 2-year = 1.132; odds-ratio for 4-year = 1.145). In contrast, the odds of enrolling in either a 2-year or 4-year college decline as the frequency of parent-initiated contact with the school about behavioral issues increases (odds-ratio for 2-year = 0.849; odds-ratio for 4-year = 0.786).

A student's friends' postsecondary plans, a measure of intergenerational closure, and the ways in which parents subtly structure a student's peer group are also related to college enrollment. The share of a student's friends who plan to attend a 2-year college is positively associated with the likelihood of enrolling in a 2-year college (odds-ratio = 1.109) and negatively related to the likelihood of enrolling in a 4-year college (oddsratio = 0.786). The share of a student's friends who plan to attend a 4-year institution is positively related to enrollment in both a 2-year (odds-ratio = 1.164) and 4-year (odds-ratio = 1.536) institution, although the magnitude of the relationship is greater for 4-year than for 2-year enrollment.

After controlling for other variables, disruptions to social capital, as measured by the number of times a student's family moves, are associated with lower odds of enrolling in either a 2-year (odds-ratio = 0.871) or 4-year (odds-ratio = 0.893) college in the fall after graduating from high school relative to not enrolling.

TABLE 1

Increase in the odds of enrolling in a two-year or four-year college or university in fall 1992 relative to not enrolling that is associated with a one-unit change in each student-level and school-level variable among 1992 high school graduates (odds-ratios)

Predictors	Two-year Enrollment	Four-year Enrollment
Student level fixed effects		
African American	0.725*	1.598**
Asian	0.966	1.028
Hispanic	1.224	1.187*
White (reference group)		
Female	1.146*	1.284**
Male (reference group)		
Family income	1.131**	1.126**
College expenses and financial aid not important	0.794*	0.877
College expenses and financial aid very important	0.969	0.965
College expenses and aid somewhat important (reference)		
Living at home to attend college very important	1.561***	0.876
Living at home not important (reference)		
Parents' education	1.211***	1.441***
Parents expect student to earn less than college degree	0.582***	0.081***
Parents expect student to finish college	1.061	0.787**
Parents expect student to earn an advanced degree (referen	ce)	
English is primary language spoken at home	0.892	0.931
English not primary language spoken at home (reference)		
Participate in cultural classes	1.030	1.245
Do not participate (reference)		
Test score	0.887**	1.135**
Took algebra 1	1.726***	2.891***
Took algebra 2	2.218***	9.565***
Took advanced math	2.288***	25.406***
No math/missing math (reference group)		
Parent-student involvement		
Parent discusses with student	1.130**	1.164***
Parent monitors behavior	1.011	1.012
Parent-school involvement		
Parent contacts school to volunteer	1 120**	1 143**
Parent acquires info about education	0.987	1.045
Parent knows academic requirements	0.984	0.982
Parent-initiated contact - academics	1 132**	1 145**
Parent-initiated contact - behavior	0.849***	0.786***
Parent parent involvement	0.017	0.700
Number parents to whom parent talks	0.963	1.047
Friends not planning college	0.903	0.045
Friends not planning conege	1 100**	0.905
Friends plan 4-year college	1.107	1 536***

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TABLE 1 (Continued)

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Average parent contacts to volunteer1.0951.089Average parent acts to acquire info about college1.0201.040Average parent knows academic requirements0.9661.023Average parent-initiated contact academics1.1131.214**Average parent-initiated contact behavior0.9930.867**Average number friends no plan college0.837** †0.921Average number friends plan 2-year college1.0331.679***Average number friends plan 4-year college1.0331.679***Average number of times family moved0.8400.567** †Other capital available at the school1.190*1.104Average parents expect less than a college degree0.814***0.651***Average parents expect less than a college degree0.9431.027 $\%$ 90-91 graduates in 2-year colleges1.0301.230*** $\%$ 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction1.0821.090 $\%$ African Americans grades 9-120.839*0.921 $\%$ Hispanics grades 9-120.375***0.256***Reliability of intercept0.375***0.256***Number of students in the analyses9,8101.006	Average parent monitoring	1.014	1.014
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Average parent knows academic requirements 0.966 1.023 Average parent-initiated contact academics 1.113 $1.214**$ Average parent-initiated contact behavior 0.993 $0.867**$ Average number friends no plan college $0.837**$ 0.921 Average number friends plan 2-year college $1.511***$ $0.737***$ Average number friends plan 4-year college 1.033 $1.679***$ Average number friends plan 4-year college 0.840 $0.567**$ Average number of times family moved 0.840 $0.567**$ Other capital available at the school $1.190*$ 1.104 Average parents expect less than a college degree $0.814***$ $0.651***$ Average parents expect less than a college degree 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges 1.030 $1.230***$ Possibility of heterophilous interaction 1.082 1.090 $\%$ 4frican Americans grades 9-12 $0.839*$ 0.921 $\%$ Hispanics grades 9-12 $0.339*$ 0.921 $\%$ Hispanics grades 9-12 $0.375***$ $0.256***$ Reliability of intercept $0.375***$ $0.256***$ Reliability of intercept $0.375***$ $0.256***$ Number of students in the analyses 9.810 1.006	Average parent acts to acquire info about college	1.020	1.040
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Average parent-initiated contact behavior 0.993 0.867^{**} Average number friends no plan college 0.837^{**} ; 0.921 Average number friends plan 2-year college 1.511^{***} 0.737^{***} ;Average number friends plan 4-year college 1.033 1.679^{***} Average number parents to whom parent talks 0.914 0.973 Average number of times family moved 0.840 0.567^{**} ;Other capital available at the school 1.190^{*} 1.104 Average family income 1.190^{*} 1.104 Average parents expect less than a college degree 0.814^{***} 0.651^{***} Average parents expect student to finish college 1.018 1.007 Average test score 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges 1.030 1.230^{***} Possibility of heterophilous interaction 5120^{***} 0.839^{**} Standard deviation family income 1.082 1.090 $\%$ African Americans grades 9-12 0.839^{**} 0.921 $\%$ Hispanics grades 9-12 0.375^{***} 0.256^{***} Reliability of intercept 0.375^{***} 0.256^{***} Number of students in the analyses $9,810$ 1.006	Average parent-initiated contact academics	1.113	1.214**
Average number friends no plan college 0.83^{**} \uparrow 0.921 Average number friends plan 2-year college 1.511^{***} 0.737^{***} \dagger Average number friends plan 4-year college 1.033 1.679^{***} Average number parents to whom parent talks 0.914 0.973 Average number of times family moved 0.840 0.567^{**} \dagger Other capital available at the school 1.190^{*} 1.104 Average parental education 1.285^{**} 1.365^{***} \dagger Average parents expect less than a college degree 0.814^{***} 0.651^{***} Average parents expect student to finish college 1.018 1.007 Average test score 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges 1.260^{***} 0.961 $\%$ 90-91 graduates in 4-year colleges 1.030 1.230^{***} Possibility of heterophilous interaction 1.082 1.090 $\%$ African Americans grades 9-12 0.839^{*} 0.921 $\%$ Hispanics grades 9-12 1.034^{*} 1.052 Random effect (variance component of intercept) (log-odds) 0.375^{***} 0.256^{***} Reliability of intercept 0.256^{***} 0.256^{***} Number of students in the analyses 9.810 1.006	Average parent-initiated contact behavior	0.993	0.867**
Average number friends plan 2-year college 1.511^{***} 0.737^{****} Average number friends plan 4-year college 1.033 1.679^{***} Average number parents to whom parent talks 0.914 0.973 Average number of times family moved 0.840 0.567^{**} ;Other capital available at the school 1.190^{*} 1.104 Average parental education 1.285^{**} 1.365^{***} ;Average parents expect less than a college degree 0.814^{***} 0.651^{***} Average parents expect student to finish college 1.018 1.007 Average test score 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges 1.260^{***} 0.961 $\%$ 90-91 graduates in 4-year colleges 1.030 1.230^{***} Possibility of heterophilous interaction 5 1.032 1.090 $\%$ African Americans grades 9-12 0.839^{*} 0.921 $\%$ Hispanics grades 9-12 1.034^{*} 1.052 Random effect (variance component of intercept) (log-odds) 0.375^{***} 0.256^{***} Reliability of intercept 0.375^{***} 0.256^{***}	Average number friends no plan college	0.83/** Ţ	0.921
Average number friends plan 4-year college 1.033 1.679^{***} Average number parents to whom parent talks 0.914 0.973 Average number of times family moved 0.840 0.567^{**} ;Other capital available at the school 1.190^{*} 1.104 Average family income 1.190^{*} 1.104 Average parental education 1.285^{**} 1.365^{***} ;Average parents expect less than a college degree 0.814^{***} 0.651^{***} Average parents expect student to finish college 1.018 1.007 Average test score 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges 1.260^{***} 0.961 $\%$ 90-91 graduates in 4-year colleges 1.030 1.230^{***} Possibility of heterophilous interaction 1.082 1.090 $\%$ African Americans grades 9-12 0.839^{*} 0.921 $\%$ Hispanics grades 9-12 0.375^{***} 0.256^{***} Reliability of intercept 0.375^{***} 0.256^{***} Number of students in the analyses $9,810$ $1,006$	Average number friends plan 2-year college	1.511***	0.737*** †
Average number parents to whom parent talks 0.914 0.973 Average number of times family moved 0.840 $0.567**$ 1 Other capital available at the school $1.190*$ 1.104 Average family income $1.285**$ $1.365***$ 1 Average parental education $1.285**$ $1.365***$ 1 Average parents expect less than a college degree $0.814***$ $0.651***$ $0.651***$ Average parents expect student to finish college 1.018 1.007 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges $1.260***$ 0.961 9.961 $\%$ 90-91 graduates in 4-year colleges 1.030 $1.230***$ Possibility of heterophilous interaction 5 1.030 $1.230***$ Standard deviation family income 1.082 1.090 $\%$ African Americans grades 9-12 $0.839*$ 0.921 $\%$ Hispanics grades 9-12 $0.375***$ $0.256***$ Reliability of intercept $0.375***$ $0.256***$ Number of students in the analyses $9,810$ Number of schools in the analyses $1,006$	Average number friends plan 4-year college	1.033	1.679***
Average number of times family moved0.8400.86/** †Other capital available at the school1.190*1.104Average family income1.285**1.365*** †Average parental education1.285**1.365*** †Average parents expect less than a college degree0.814***0.651***Average parents expect student to finish college1.0181.007Average test score0.9431.027% 90-91 graduates in 2-year colleges1.260***0.961% 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction51.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,8101,006	Average number parents to whom parent talks	0.914	0.973
Other capital available at the school1.190*1.104Average family income1.285**1.365*** †Average parental education1.285**1.365*** †Average parents expect less than a college degree0.814***0.651***Average parents expect student to finish college1.0181.007Average test score0.9431.027% 90-91 graduates in 2-year colleges1.260***0.961% 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction51.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Number of students in the analyses9,8101,006	Average number of times family moved	0.840	0.56/** †
Average family income 1.190^* 1.104 Average parental education 1.285^{**} 1.365^{***} Average parents expect less than a college degree 0.814^{***} 0.651^{***} Average parents expect student to finish college 1.018 1.007 Average test score 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges 1.260^{***} 0.961 $\%$ 90-91 graduates in 4-year colleges 1.030 1.230^{***} Possibility of heterophilous interactionstandard deviation family income 1.082 1.090 $\%$ African Americans grades 9-12 0.839^* 0.921 $\%$ Hispanics grades 9-12 1.034^* 1.052 Random effect (variance component of intercept) (log-odds) 0.375^{***} 0.256^{***} Reliability of intercept $9,810$ Number of students in the analyses $9,810$	Other capital available at the school		
Average parental education1.285**1.365*** †Average parents expect less than a college degree0.814***0.651***Average parents expect student to finish college1.0181.007Average test score0.9431.027% 90-91 graduates in 2-year colleges1.260***0.961% 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction51.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Number of students in the analyses9,8101,006	Average family income	1.190*	1.104
Average parents expect less than a college degree 0.814^{***} 0.651^{***} Average parents expect student to finish college 1.018 1.007 Average test score 0.943 1.027 $\%$ 90-91 graduates in 2-year colleges 1.260^{***} 0.961 $\%$ 90-91 graduates in 4-year colleges 1.030 1.230^{***} Possibility of heterophilous interaction 1.082 1.090 $\%$ African Americans grades 9-12 0.839^{*} 0.921 $\%$ Hispanics grades 9-12 1.034^{*} 1.052 Random effect (variance component of intercept) (log-odds) 0.375^{***} 0.256^{***} Reliability of intercept $9,810$ $1,006$	Average parental education	1.285**	1.365*** †
Average parents expect student to finish college1.0181.007Average test score0.9431.027% 90-91 graduates in 2-year colleges1.260***0.961% 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction51.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,8101,006	Average parents expect less than a college degree	0.814***	0.651***
Average test score0.9431.027% 90-91 graduates in 2-year colleges1.260***0.961% 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction1.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,8101,006	Average parents expect student to finish college	1.018	1.007
% 90-91 graduates in 2-year colleges1.260***0.961% 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction1.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,810Number of students in the analyses1,006	Average test score	0.943	1.027
% 90-91 graduates in 4-year colleges1.0301.230***Possibility of heterophilous interaction	% 90-91 graduates in 2-year colleges	1.260***	0.961
Possibility of heterophilous interaction1.0821.090Standard deviation family income1.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,8101.006	% 90-91 graduates in 4-year colleges	1.030	1.230***
Standard deviation family income1.0821.090% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,8101.006	Possibility of heterophilous interaction		
% African Americans grades 9-120.839*0.921% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,8101.006	Standard deviation family income	1.082	1.090
% Hispanics grades 9-121.034*1.052Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept9,8101,006	% African Americans grades 9-12	0.839*	0.921
Random effect (variance component of intercept) (log-odds)0.375***0.256***Reliability of intercept0.375***0.256***Number of students in the analyses9,8101,006	% Hispanics grades 9-12	1.034*	1.052
(log-odds)0.375***0.256***Reliability of intercept9,810Number of schools in the analyses1,006	Random effect (variance component of intercept)		
Reliability of interceptNumber of students in the analyses9,810Number of schools in the analyses1,006	(log-odds)	0.375***	0.256***
Number of students in the analyses9,810Number of schools in the analyses1,006	Reliability of intercept		
Number of schools in the analyses 1,006	Number of students in the analyses	9,810	
	Number of schools in the analyses	1,006	

SOURCE: Analyses of NELS:92/94

NOTES: Because of space limitations, only the coefficients are presented. Standard errors are available from the authors on request. Odds-ratios based on population average estimates. College enrollment is relative to not enrolling.

[†] Per the hypothesis tests that are summarized in Table 2, the coefficient for this variable does not represent a statistically significant contextual effect above and beyond the effect for the corresponding student-level variable. * p < .05, ** p < .01, *** p < .001

The Relationship between Parental Involvement and College Enrollment Varies by Race/Ethnicity

Descriptive analyses² show that smaller shares of African American and Hispanic than of Whites and Asian Americans enrolled in a 4-year college in the fall after graduating from high school (38% and 30% vs. 46% and 51%). One third (32%) of Hispanic high school graduates were enrolled in a 2-year college in October 1992, compared with about one fourth of Asian Americans (27%) and Whites (25%) and one fifth (21%) of African Americans.

Table 1 shows that, after controlling for student-level and school-level variables, the odds of enrolling in a 4-year college or university are higher for African Americans (odds-ratio = 1.598) and Hispanics (odds-ratio = 1.187) than for Whites. These findings suggest that the lower observed 4-year college enrollment rates for African Americans and Hispanics than for Whites are explained by racial/ethnic group differences in other variables in the model. As noted by others (Hurtado, Inkelas, Briggs, & Rhee, 1997; Perna, 2000), however, this conclusion should be interpreted with caution since only small shares of African Americans and Hispanics are comparable to Whites in terms of all other variables that are included in the model. After controlling for other student- and school-level variables, African Americans are less likely than students of other racial/ethnic groups to enroll in a 2-year college (odds-ratio = 0.725).

The multilevel multinomial analyses reveal two statistically significant interactions between race/ethnicity and measures of parental involvement. The odds-ratio for the interaction between African American and parental discussions is less than 1 for 4-year (odds-ratio = 0.788) enrollment. This suggests that, although the odds of enrolling in 4-year college or university generally increase with the frequency of parent-student discussions about education-related issues net of other variables (odds-ratio = 1.164), the college enrollment "premium" is smaller for African Americans than for high school graduates of other racial/ethnic groups. The positive interaction between African American and parentinitiated contact with the school about academic issues on 4-year college enrollment (odds-ratio = 1.248) suggests that the positive relationship between the frequency of parent-initiated contact with the school about academic issues and the odds of enrolling in a 4-year college or university (odds-ratio = 1.145) is of greater magnitude for African Americans than for high school graduates of other racial/ethnic groups. The negative interaction between African American and low controllable costs on 4year enrollment (odds-ratio = 0.460) suggests that, although perceptions

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of controllable costs are unrelated to enrollment in a 4-year college on average, the odds of enrolling in a 4-year institution decline as the perceived importance of low controllable costs increases among African Americans.

The Likelihood of Enrolling in College Depends on Resources That May Be Accessed Through School Social Networks

In terms of school-level effects, Table 1 shows that none of the three measures of the extent to which a school encourages parental involvement is a statistically significant predictor of college enrollment after controlling for other variables. However, the likelihood of enrolling in a 2-year or 4-year college is related to the volume of resources that may be accessed via social networks at the school. Multivariate hypothesis tests, summarized in Table 2, show that the effects of several of the school-level variables that are based on the average of a student-level variable represent contextual effects above and beyond the corresponding student-level effects.

TABLE 2

Multivariate hypothesis tests of the difference in the odds-ratios for school-level and student-level predictors of college enrollment among 1992 high school graduates (see Table 1)

Coefficients					
Predictors	School- Level	Student- Level	Difference	χ^2	School Level Effect?
Two-Year					
Friends not planning college	0.837	0.937	-0.100	15.5***	No
Friends planning 2-year college	1.511	1.109	0.402	56.5***	Yes
Family income	1.190	1.131	0.059	14.8***	Yes
Parents' education	1.285	1.211	0.074	27.7***	Yes
Parents expect less than college	0.814	0.582	0.232	51.8***	Yes
Four-Year					
Parent-initiated contact-academics	1.214	1.145	0.069	18.1***	Yes
Parent-initiated contact-behavior	0.867	0.786	0.081	17.8***	Yes
Friends planning 2-year college	0.737	0.786	-0.049	62.4***	No
Friends planning 4-year college	1.679	1.536	0.143	179.4***	Yes
Number of times family moved	0.567	0.893	-0.326	26.4***	No
Parents' education	1.365	1.441	-0.076	77.4***	No
Parents expect less than college	0.651	0.081	0.570	326.4***	Yes

SOURCE: Analyses of NELS:92/94

NOTES: The hypothesis tests suggest a school level effect when the difference between the school-level and student-level coefficients is statistically significant and positive.

* p < .05, ** p < .01, *** p < .001

Table 1 shows that the likelihood of enrolling in a 4-year college is positively related to the average frequency of parent-school contact about academic issues (odds-ratio = 1.214) but negatively related to the average frequency of parent-school about behavioral issues (odds ratio = 0.867). Students who attend high schools in which, on average, students report that most or all of their friends plan to attend a 2-year college are more likely to enroll in a 2-year college (odds-ratio = 1.511), whereas students who attend high schools in which, on average, students report that most or all of their friends plan to attend a 4-year college are more likely to enroll in a 4-year college (odds-ratio = 1.679) regardless of a student's own friends' plans.

Table 1 shows that the volume of other types of capital at the school attended is also related to the likelihood of enrolling in college. Students who attend high schools with high average levels of family income and parental educational attainment are more likely to enroll in a 2-year college (odds-ratio = 1.190 and odds-ratio = 1.285, respectively) than they are not to enroll. The likelihood of enrolling in either a 2-year or 4-year institution declines as the share of parents at the school who expect their children to earn less than a bachelor's degree increases (odds-ratios = 0.814 and 0.651, respectively). The odds that a student will enroll in a 2-year college increase with the share of the prior year's high school graduating class that enrolled in a 2-year college increase with the share of share share of the share of the

The analyses suggest that the relationship between college enrollment and the possibility of heterophilous interactions depends on the measurement of the construct. Table 1 shows that the likelihood of enrolling in a 2-year college increases with the share of Hispanics in the student body (odds-ratio = 1.034) but decreases with the share of African Americans in the student body (odds-ratio = 0.839).

Summary

The findings from this research shed light on each of the three research questions. First, even with a narrow definition of parental involvement (e.g., measures of parental rather than family involvement, measures of parental involvement in the 12th grade only), this research supports Coleman's (1988) conceptualization of parental involvement as a form of social capital that promotes college enrollment by conveying norms and standards. Student-level measures of parental involvement are related to the likelihood of enrolling in a 2-year or 4-year college relative to not enrolling, even after controlling for measures of economic capital, cultural capital, and human capital. The analyses suggest that parents convey norms and standards in ways that promote college enrollment through interactions with the student, the school, and other parents. Parent-student discussions about education-related issues are associated with a greater likelihood of enrolling in both a 2-year and a 4-year college. Parent-initiated contact with the school about volunteering and about academic matters is associated with a greater likelihood that a student will enroll at either a 2-year or a 4-year college, whereas parent-initiated contact with the school about behavioral problems is associated with a lower likelihood that a student will enroll at either a 2-year or 4-year institution relative to not enrolling. The general correspondence between a student's friends' postsecondary plans and a student's actual enrollment may reflect not only the benefits of intergenerational closure but also the ways in which parents subtly structure their child's peer group. Disruptions to the networks that are used to convey norms and standards, as measured by the number of times a student's family moved between the 8th and 12th grades, are associated with a lower likelihood of enrolling in either a 2year or 4-year college in the fall after graduating from high school.

Second, as suggested by the work of Lin (2001b) and Bourdieu (1986), the analyses reveal that the relationship between parental involvement as social capital and the likelihood of enrolling in college varies across racial/ethnic groups. Compared with students of other racial/ethnic groups, African Americans realize a smaller college enrollment premium for each unit of parent-student discussions about education-related issues but a larger college enrollment premium for each unit of parent-initiated contact with the school about academic issues. In other words, African Americans appear to be more effective than other groups at converting into college enrollment parental involvement in the form of parent-school contact about academics, but less effective than other groups at converting into college enrollment parental involvement in the form of parent-student discussions about education issues. African Americans also appear to be more sensitive than students of other groups are to controllable costs of attendance in their decision to enroll in a 4-year college.

The prevalence of different types of parental involvement also varies across racial/ethnic groups. Descriptive analyses show that African Americans average a higher level than Whites, Hispanics, and Asian Americans of parent-student discussions about education-related topics and a higher level of parent-school contact about academics. These observed differences are consistent with the notion of racial/ethnic group differences in an individual's habitus, or view of acceptable types of parental involvement (Horvat, 2001). Consistent with López and colleagues (2001), both the multilevel multinomial and descriptive analyses illustrate the need to move beyond a cultural deficit approach that focuses on defining "acceptable" behavior in terms of the behavior that is exhibited by the dominant group and to move toward an approach that appreciates the unique strengths of each group.

Third, regardless of an individual student's social, economic, cultural, and human capital, this research shows that, in support of the work by Bourdieu (1986) and Lin (2001b), the likelihood of enrolling in a 2-year or 4-year college after graduating from high school appears to be related to the volume of resources that may be accessed through social networks at the school attended. In terms of the social capital that is available at the school attended, students who attend high schools in which a high share of parents contact the school about academic matters are more likely to enroll in a 4-year college than they are not to enroll, whereas students who attend high schools in which a high share of parents contact the school about behavioral problems are less likely to enroll in a 4year college than they are to enroll. The likelihood of enrolling in a 2year college is positively related to the availability of economic capital, as measured by the average family income at the school, and cultural capital, as measured by average parental education and average parental educational expectations at the school. These school-level effects suggest that characteristics of the high school attended influence the "social distribution of possibilities," as argued by Stanton-Salazar (1997) and as manifested by college enrollment behavior.

African Americans and Hispanics not only possess fewer of the types of capital that promote college enrollment but also attend schools with fewer of the resources that promote college enrollment. Specifically, descriptive analyses show that African Americans and Hispanics not only average lower levels of family income, parental education, and math coursework than Whites and Asian Americans average but also are relatively concentrated in schools in the lowest quartiles of average family income and parental education. For example, 37% of African Americans and 49% of Hispanics attend schools in the lowest quartile of parental education compared with 17% of Whites and 16% of Asian Americans. Consistent with Lin (2001b), these findings suggest that the lower observed college enrollment rates for African Americans and Hispanics are due in part to lower levels of resources that are available through the social networks at the schools they attend.

Implications for College Preparation Programs

Although this research focused on the ways in which parental involvement in the context of a school promotes college enrollment, the results provide support for the role of programs that are designed to increase the college enrollment of African Americans and Hispanics. While the analyses suggest that such factors as family income (and thus financial aid), academic preparation, and academic achievement also influence college enrollment decisions, this study suggests that college preparation programs should focus on ways to promote the types of parental involvement that encourage both the norms and standards that are required to enroll in college and that ensure that social relationships and networks provide access to the necessary resources and opportunities. Both the levels of parental involvement for an individual student and the volume of social, cultural, and economic capital that are available through social networks are related to the likelihood that a student will enroll in college.

This research suggests that college preparation programs should recognize the ways in which the relationship between parental involvement and college enrollment varies across racial/ethnic groups. The analyses demonstrate that the return to college enrollment for two measures of parental involvement (parent-student discussions about academic issues and parent-school contact about academic issues) and one measure of economic capital (importance of living at home to attend college) is different for African Americans than for high school graduates of other racial/ethnic groups. Rather than imposing a one-size-fits-all approach, college preparation programs should view the differences across groups as an asset or form of cultural wealth that may be invested to promote college enrollment (López, Scribner, & Mahitivanichcha, 2001; Villalpando & Solaranzo, in press).

The results of this study also suggest that, because college enrollment is determined in part by the volume of resources that social networks possess, college preparation programs should utilize a cohort approach. Higher levels of parental involvement promote the college enrollment not only of a parent's own child but also of other students by developing the social capital that other students in the same social network may access.

The positive relationship between college enrollment and variables measuring friends' plans also suggests the benefits of a cohort approach to precollege outreach. Regardless of whether a student's friends' plans reflect intergenerational closure or the subtle influence of a parent on the composition of the peer group, the multilevel analyses show that the odds of enrolling in a 4-year college increase with both the student- and school-level measures of the number of friends planning to attend a 4-year college and decrease with the student-level measures of the number of friends who plan to attend a 2-year college. Increasing the level of educational aspirations among the peer group may be particularly important for raising the college enrollment rates of African Americans and Hispanics. Descriptive analyses show that only 9% of Hispanics and 12% of African Americans report that all of their friends plan to attend a 4-year institution compared with 14% of Whites and 22% of Asian Americans; they also show that only 16% of African Americans and 15% of Hispanics attend schools in the top quartile of friends planning on a 4-year college, compared with 20% of Whites and 28% of Asian Americans.

The seemingly contradictory findings from this study regarding the effects on college enrollment of the possibility of heterophilous interactions, as measured by the racial/ethnic composition of the high school attended and as described by Lin (2001b), suggest that more research is required to understand the optimal composition of the cohort. Future research should examine why the likelihood of enrolling in a 2-year college increases with the share of Hispanics in the student body but decreases with the share of African Americans and the ways in which the effects of the possibility of heterophilous interactions vary based on a student's race/ethnicity.

Conclusion

On average, African Americans and Hispanics are disadvantaged in the college enrollment process not only because of their own low levels of the types of economic, human, and cultural capital that are valued in the college enrollment process but also because of the low levels of resources that are available to promote college enrollment through the social networks at the schools they attend. This research demonstrates that parental involvement as a form of social capital is positively related to college enrollment regardless of the level of individual and school resources. In the context of structural inequities as well as social, cultural, and political challenges to efforts to increase the resources that are devoted to underrepresented groups (Oakes, Rogers, Lipton, & Morrell, 2002), college preparation programs, particularly those that are able to effectively involve parents, offer a promising approach to addressing the continued underrepresentation of African Americans and Hispanics in higher education.

APPENDIX A

Operational definitions of the student-level variables in the analyses

Variable	Distribution	Source
College enrollment	Enrolled in a two-year college = 25.4% Enrolled in a four-year college = 43.9% Not enrolled (reference) = 30.7%	ENRL1092
Race/ethnicity	Asian = 4.5% Black = 10.4% Hispanic = 8.9% White (reference) = 76.2%	F3RACE
Gender	Female = 49.9% Male (reference group) = 50.1%	
Economic capital		
Family income	Mean = 10.4 Standard deviation = 2.43 Range = 1 to 15	F2P74
Importance of college expenses and aid	Not important = 11.1% Somewhat important (reference) = 65.4% Very important = 23.5%	Derived from F2S59A and F2S59B
Importance of living at home for college	Very important = 23.3% Somewhat or not important (reference) = 76.7%	F2S59F
Cultural capital		
Parents' educational attainment	Mean = 3.18 Standard deviation = 1.22 Range = 1 to 6	F2PARED
Parents' educational expectations for child	Less than college degree = 18.9% College degree = 39.4% Advanced degree (reference) = 41.7%	F2P61
Primary language spoken at home	English is primary language = 89.7% English is not primary language = 10.3%	F2P27
Participation in cultural activities	Participate in class at least once/week = 18.2% No = 81.8%	F2S33J
Human capital		
Academic achievement	Mean = 58.61 Standard deviation = 18.93 Range = 27.9 to 99.99	F22XCOMP
Academic preparation	Algebra I & geometry = 14.9 Algebra II = 32.2 At least one advanced math = 26.3 Other or no math (reference category) = 26.6	Derived from: F2RGEO_C, F2RAL1_C, F2RAL2_C, FF2RPRE_C, F2RCAL_C, F2RTRI_C
Social capital		
Parent-student discussions about education-related issues	Mean = 0 Standard deviation = 1.00 Range = -2.75 to 9.11	Factor composite derived from: F2P49A, F2P49B, F2P49C, F2P49D, F2P49E, F2P49F
Parent monitoring of student behavior	Mean = 0 Standard deviation = 1.00 Range = -2.84 to 6.76	Factor composite derived from: F2P51A, F2P51B, F2P51C

APPENDIX A (Continued)

to volunteer		F2P44G
Parent acquires information about college	Mean = 0 Standard deviation = 1.00 Range = -0.96 to 6.04	Factor composite derived from: F2P45A, F2P45B
Parent knows academic requirements	Mean = 0 Standard deviation = 1.00 Range = -0.59 to 6.29	Factor composite derived from: F2P46A, F2P46B, F2P46C, F2P46D
Parent initiates contact with school about academic issues	Mean = 0 Standard deviation = 1.00 Range = - 0.89 to 8.88	Factor composite derived from: F2P44A, F2P44B, F2P44C, F2P44D
Parent initiates contact with school about behavior	Mean = 0 Standard deviation = 1.00 Range = -0.45 to 7.20	Factor composite derived from: F2P44E, F2P44F
Number of parents who whom a parent talks	Mean = 3.46 Standard deviation = 1.40 Range = 1 to 6	F2P55
Share of friends not planning college	Mean = 2.13 Standard deviation = 1.09 Range = 1 to 5	F2S69B
Share of friends planning 2-year college	Mean = 2.48 Standard deviation = 1.02 Range = 1 to 5	F2S69D
Share of friends planning 4-year college	Mean = 3.46 Standard deviation = 1.08 Range = 1 to 5	F2S69E
Number of times family moved between 1988 and 1992	Mean = 1.39 Standard deviation = 0.77 Range = 1 to 4	F2S102

SOURCE: Analyses of NELS:92/94

APPENDIX B

Operational definition of the school-level variables that are included in the analyses

Variable	Mean	Standard Deviation	Minimum	Maximum	Source
School encourages parental involvement	0	1.00	-1.70	4.20	Factor derived from F2C52E4, F2C52F4, F2C52G4, F2C52D4, F2C52C4, F2C52D4, F2C52E4, F2C52A4
% parents who volunteer	1.33	0.68	1.00	5.00	F2C54A
% parents who participate in PTO	1.75	0.95	1.00	5.00	F2C54G
Average parent-child discussions	0	1.00	-4.57	6.94	School-level average of student-level factor
Average parent monitoring	0	1.00	-5.18	1.60	School-level average of student-level factor
Average parent contacts to volunteer	1.70	0.43	1.00	3.80	School-level average of F2P44G
Average parent acts to acquire info about college	0	1.00	-2.38	7.40	School-level average of student-level factor
Average parent knows academic requirements	0	1.00	-6.39	1.08	School-level average of student-level factor
Average parent contact about academics	0	1.00	-2.10	9.64	School-level average of student-level factor
Average parent contact about behavior	0	1.00	-1.16	9.37	School-level average of student-level factor
Average # friends no plans for college	2.11	0.47	1.00	5.00	School-level average of F2S69B
Average # friends plan 2-year college	2.43	0.54	1.00	4.00	School-level average of F2S69D
Average # friends plan 4-year college	3.50	0.57	1.00	5.00	School-level average of F2S69E
Average number parents parent talks	3.67	0.61	1.33	5.75	School-level average of F2P55
Average number times family moved	1.39	0.34	1.00	4.00	School-level average of F2S102
Average family income	10.32	1.39	5.00	14.67	School-level average of F2P74
Average parental education	3.16	0.81	1.00	6.00	School-level average of F2PARED
Average English language	87.02	20.29	0.00	100.0	School-level average of F2P27
Average participate in cultural classes	15.37	21.15	0.00	100.0	School-level average of F2S33J
Average test scores	59.09	11.13	31.44	99.99	School-level average of F22XCOMP
% 1991 12th graders enrolled 2-year college	2.99	0.91	1.00	6.00	F2C27A
% 1991 12th graders enrolled 4-year college	4.24	1.06	1.00	6.00	F2C27B
Standard deviation family income	1.89	0.83	0.00	6.61	Standard deviation F2P74
% African Americans in the school	14.31	37.58	0.00	99.70	F2C22C
% Hispanics in the school	11.62	36.92	0.00	99.7	F2C22B

SOURCE: Analyses of NELS:92/94

Notes

¹Because of space limitations, the factor loadings and other statistics describing the results of the factor analyses are not presented here. This information is available from the authors upon request.

²Complete results of the descriptive analyses are available from the authors upon request.

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