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Abstract
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Disciplines
Behavior and Ethology | Biology | Demography, Population, and Ecology | Population Biology

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Group Size and Social Conflict in Complex Societies

Sheng-Feng Shen,1,* Erol Akçay,2,3 and Dustin R. Rubenstein4


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abstract: Conflicts of interest over resources or reproduction among individuals in a social group have long been considered to result in automatic and universal costs to group living. However, exploring how social conflict varies with group size has produced mixed empirical results. Here we develop a model that generates alternative predictions for how social conflict should vary with group size depending on the type of benefits gained from being in a social group. We show that a positive relationship between social conflict and group size is favored when groups form primarily for the benefits of sociality but not when groups form mainly for accessing group-defended resources. Thus, increased social conflict in animal societies should not be viewed as an automatic cost of larger social groups. Instead, studying the relationship between social conflict and the types of grouping benefits will be crucial for understanding the evolution of complex societies.

Keywords: group size, social conflict, cooperative breeding, eusociality, sociality.

Introduction

Animals living in social groups receive a number of direct and indirect benefits, but they must also pay a variety of costs (Alexander 1974). One of the primary costs associated with living in groups of genetically distinct organisms is the cost associated with conflicts of interest over resources or the distribution of reproduction among individuals in a social group. We define conflicts of interest as situations in which there is a possibility of some individuals increasing their fitness at the expense of other individuals (Ratnieks and Reeve 1992; Godfray 1995). Conflicts of interest can be a repulsive force for the evolution of group living because they frequently generate selection for costly harming behaviors aimed at securing a larger share of reproduction or group resources (Reeve 2000; Queller and Strassmann 2009). We define the realization of such costly behaviors as social conflict. Social conflict may occur at all levels of biological organization and reduce (or even negate) the benefits of sociality. Therefore, determining the factors that influence levels of conflict within groups is central for not only studying the evolution of complex societies (Krause and Ruxton 2002; Bourke 2011) but also for understanding other major evolutionary transitions, such as the transition from single cellularity to multicellularity (Maynard-Smith and Szathmary 1997; Calcott and Sterelny 2011).

Social conflict has been considered an automatic and universal cost of group living because the level of social conflict is often assumed to increase with the number of competing individuals in a group (Alexander 1974). However, empirical studies of animal societies have generally failed to produce consistent relationships between group size and social conflict (Monnin et al. 2003; Clutton-Brock et al. 2008; Mileva et al. 2009; Amsalem and Hefetz 2011). This failure to identify consistent patterns may occur because other social factors (e.g., the fitness benefits to grouping) and ecological factors (e.g., the level of available group resources) may also vary with group size and influence levels of social conflict. As a result, the relationship between group productivity and group size could vary among species because groups form for varying types of benefits in different organisms. For example, some animals form social groups to access fixed resources. In these cases (e.g., carcass sharing in burying beetles; Eggert and Muller 1997; Robertson et al. 1998), per capita productivity will decrease with increasing group size, whereas total group productivity remains constant. In contrast, many social animals form groups because living in a group by itself has some benefit, such as predator avoidance or detection (Alexander 1974). In these cases (e.g., cooperative breeding in lions; VanderWaal et al. 2009), group productivity may increase with increasing group size until reaching the most productive size, at which point it may decrease. Thus, to fully understand the nature of social conflict within complex societies, one must also consider the type of benefits obtained from group living and how these benefits vary with group size.

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Few theoretical models have explicitly addressed the relationship between social conflict and group size. Most theoretical works modeling how social and ecological factors influence social conflict either are simple two-player models (Johnstone 2000; Shen and Reeve 2010; Cant 2012) or do not consider the effect of group size (Frank 1995; Foster 2004; but see Reeve and Hölldobler 2007, which modeled within-group conflict in the context of between-group competition). Thus, the general relationships among group size, group productivity, and social conflict remain largely unexplored theoretically, and given the mixed empirical evidence of a consistent relationship between group size and social conflict, better predictive models are clearly needed. Here we construct an evolutionary game-theoretic model to investigate the relationship between social conflict and group size under different ecological and social conditions. Using our model, we derive key predictions that can be tested in group-living vertebrates and invertebrates to better understand how social and ecological factors impact animal social evolution.

The Model

The Model Framework (see fig. 1)

We begin by explicitly defining social conflict as any act that increases the initiator’s share of the group resources at the expense of other interacting individuals (Reeve 2000). Our definition includes contest competition (e.g., overt aggression, dominance testing; Miller 1967; Isbell 1991) as well as scramble competition (e.g., foraging effort in group-living animals; Van Schaik and Van Noordwijk 1988; Shaw et al. 1995) and even reproductive conflict over offspring production in plural breeding species (Rubenstein 2012; Shen et al. 2012). Importantly, we define an individual’s contribution to social conflict in our model as competitive effort, z. To understand how social conflict is influenced by group size, we examine how an individual’s competitive effort varies with group size and group productivity (i.e., the total reproductive output influenced by the group resources; see also Frank 1995, 2003). We assume that group productivity, \( g(z_1, z_2, \ldots, z_n, n) \), is a function of group size and that each group member’s share of the group productivity will increase as their level of competitive effort, \( z \), increases. We further assume that competitive effort will not only have a negative impact on the group productivity itself but also on an individual’s future fitness because there is a personal cost to exerting competitive effort (Rubenstein and Shen 2009).

Building on the framework of Frank (1995; see also Reeve et al. 1998), we investigate the tension between group productivity and individual competition for a share of that group productivity as group size varies. The fitness function of a focal individual \( i \) in a group of size \( n \), \( w_i \), is

\[
w_i(z_1, z_2, \ldots, z_n, n) = g(z_1, z_2, \ldots, z_n, n) \\
\times \frac{z_i}{\sum_{j=1}^{n} z_j} - c(z_i),
\]

where \( c(z) \) is the personal cost of the competitive effort \( z \), and \( g(z_1, z_2, \ldots, z_n, n) \) is the group productivity function. Importantly, our formulation differs from those of Frank (1995, 1998) and subsequent authors (Foster 2004) in that we include the personal cost of social conflict (Rubenstein and Shen 2009). We assume that the cost function satisfies two sets of conditions: (i) \( \frac{\partial g}{\partial z} < 0 \) and \( \frac{\partial^2 g}{\partial z^2} \leq 0 \), which represent group productivity as a decreasing and linear or accelerating function of a focal individual’s competitive effort; and (ii) \( \frac{\partial c}{\partial z} > 0 \) and \( \frac{\partial^2 c}{\partial z^2} > 0 \), which imply that the proportional personal cost is an increasing and accelerating function of a focal individual’s competitive effort (Trivers 1972).

We consider a population where individuals are genetically related to each other, which we characterize by the (demographically scaled) relatedness coefficient \( r \) (Lehmann and Rouset 2010; Akçay and Van Cleve 2012). In general, this scaled relatedness coefficient will be a function of variables such as migration rate and group size. For example, in the island model with overlapping generations, relatedness scales as \( 2(1 - m)s/[n(2 - m(1 - s)) + 2(1 - m)s] \), where \( m \) and \( s \) are the migration rates between groups and survivorship, respectively (Taylor and Irwin 2000; Akçay and Van Cleve 2012). In different biological
scenarios, relatedness will vary with group size in different ways, though in general, it is expected to decrease with increasing group size. We further assume that individuals’ competitive efforts are “sealed bid” efforts or that they do not respond to each other’s behavior (Açıkay and Van Cleve 2012). Given these assumptions, the evolutionarily stable level of competitive effort in a monomorphic population must satisfy

\[ \frac{\partial w_i}{\partial z_i} + (n - 1)\frac{\partial w_i}{\partial z_j} = 0 \text{ for } j \neq i \text{ and } z_i = z_j = z'. \]  

(2)

For simplicity, we present the analysis for nonkin groups (i.e., \( r = 0 \)) here, but we also present the full analysis of the model in the appendix. Combining equations (1) and (2), after some rearrangement, we obtain

\[ \frac{\partial (g/n)}{\partial z_i} + \frac{(g/n)(n - 1)}{nz^2} - \frac{\partial c}{\partial z_i} = 0. \]  

(3)

To understand how the evolutionarily stable level of competitive effort, \( z' \), changes with group size, we consider how each of the three terms changes with \( n \). The first term in equation (3), the change in per capita group productivity with the competitive effort \( z_i \), is negative since competition decreases group production. This term represents the share of the marginal cost of competition to the group that accrues to a single (in this case, the focal) individual. The second term is always positive and can be interpreted as the marginal benefit to the focal individual to invest in competition; the numerator is the total of the group productivity accruing to everyone but the focal individual, while the denominator reflects the fact that the “pie” is divided according to relative competitive effort. Finally, the third term reflects a private cost that is negative and independent of \( n \). In other words, equation (3) says that competitive effort will increase with \( n \) if the marginal cost of competition to the per capita group productivity (i.e., the first term) increases more slowly than the marginal incentive to invest in competition. Both terms are ultimately determined by how the per capita group productivity changes with group size. Thus, per capita productivity is the crucial quantity in determining the variation in social conflict as a function of group size.

Finally, in the appendix, we analyze this general model systematically and find that the evolutionarily stable level of competitive effort (\( z' \)) will increase with group size if the increase in per capita productivity is above a threshold but otherwise decrease. In other words, (i) social conflict can either increase or decrease with group size, and (ii) per capita group productivity is an important determinant of this social conflict–group size relationship. Below, we illustrate these general results with specific group productivity–group size functions.

**Examples with Specific Productivity Functions**

To explore how different ecological and social conditions impact social conflict, we focus on three specific productivity–group size functions that illustrate the range of variation observed in nature (fig. 2A, 2B; Krause and Ruxton 2002). Importantly, these cases illustrate three of the primary reasons why animal social groups form, but they will differ in how potential total group productivity (i.e., the total reproductive output if all group resources are used for reproduction without engaging in social conflict) and potential per capita productivity vary with group size.

When groups form (i) to gain access to critical fixed group resources, potential group productivity is unaffected by group size, but potential per capita productivity decreases as group size increases. However, when groups form (ii) to receive social benefits through group living, both potential group productivity and per capita productivity will initially increase as group size increases. However, both measures of productivity may decrease as group size increases further due to the detrimental effect of grouping. Finally, when groups form (iii) to enhance resource acquisition (e.g., territorial defense), potential group productivity will still increase as group size increases, but potential per capita productivity will decrease with increasing group size (Alexander 1974; Emlen 1982; Koenig et al. 1992; Queller and Strassmann 1998).

**Access to Critical Fixed Group Resources.** Using equation (1), the fitness function of a focal individual in groups that form to gain access to critical fixed resources (represented in fig. 2 by \( G_i \)) becomes

\[ w_i = \left[ g_{0} - z_i - (n - 1)z_j \right] \frac{z_i}{z_i + (n - 1)z_j} - az^2. \]  

(4)

Equation (4) makes explicit an important distinction between “potential” and “observed” group productivity. Potential group productivity, given by \( g_{0} \), in equation (4), and its relation to the group size is important in determining social conflict (see also Frank 1995, 2003). In contrast, observed group productivity (i.e., \( [g_{0} - z_i - (n - 1)z_j] \) in eq. [4]) is the actual outcome of expending part of the group resources in social conflict. Importantly, observed group productivity can be directly measured in nature, whereas the measure of potential group productivity must account for the loss of group production to social conflict (e.g., must measure the total number of offspring produced prior to infanticide occurring; Ratnieks et al. 2006; Rankin et al. 2007; Bourke 2011).

In equation (4), potential group productivity (i.e., \( g_{0} \)) is unaffected by group size (fig. 2A), but potential per capita productivity (i.e., \( g_{0}/n \)) decreases as group size increases (fig. 2B). Examples of this type of group produc-
productivity, and indicates the group size of maximum potential per capita productivity. G

productivity and group size functions, and n

size–group productivity functions. Parameter values are for (4) to be competitive effort when group production is given by equation (eq. [2]), we find the evolutionarily stable level of competitive effort when group production is given by equation (4) to be

This equation predicts that \( z'_i \) decreases with \( n \) (fig. 3A, dashed line; see also “Results and Discussion”). Additionally, this level of conflict will be convergence stable (i.e., fixation of successive mutations will move the population closer to the evolutionarily stable level of competitive effort; Eshel and Motro 1981; Christiansen 1991) when the following condition holds: \( (diz' \nu t w/\partial z) + r(n - 1)(\partial w/\partial z) < 0 \) and \( z_i = z_i = z'_i \). Furthermore, it can be verified that within the relevant range of relatedness (i.e., \( r < 1 \)), a necessary and sufficient condition for convergence stability is \( r < 1/(n - 1) \).

Social Benefits of Group Living. In groups that form because of the benefits of sociality per se, such as cooperatively breeding lions, cooperatively hunting groups, or even flocks of birds (represented in fig. 2 by \( G_i \)), we expect both potential group productivity and per capita productivity to increase initially as group size increases (fig. 2). However, both measures of productivity may decrease as group size increases further due to the detrimental effect of grouping (e.g., inefficiency of utilizing group-enhancing resources or other factors that negatively influence fitness such as parasite loads). Similarly, applying equation (1), the fitness function of a focal individual in groups that form for social benefit is

\[
w_i = [g_o n^2 - bn^2 - z_i - (n - 1)z_i] \
\times \frac{z_i}{z_i + (n - 1)z_i} - a z_i^3;
\]

The evolutionarily stable level of competitive effort in this case is

\[
z'_i = \frac{\sqrt{1 + 8a(n - 1)(g_o - bn)(1 - r) - 1}}{4a}.
\]

This result shows that \( z'_i \) can either increase or decrease with \( n \) (fig. 3A, solid line; see also “Results and Discussion”). This level of conflict will also be convergence stable within the relevant range of parameter space (i.e., \( g_o > bn, n > 1, \) and \( r > 0 \)).
Resource Acquisition. When groups form to improve resource acquisition (represented in fig. 2 by $G_3$), potential group productivity could still increase as group size increases (fig. 2A), but potential per capita productivity will decrease with increasing group size (fig. 2B). This occurs because the enhancement of resource acquisition through grouping is not large enough to benefit every group member. This pattern occurs in many cooperatively breeding birds, where social groups often form because suitable breeding territories are not available and having helpers will lead to increased group productivity but reduced per capita productivity (Stacey and Koenig 1990; Koenig and Dickinson 2004). The fitness function of a focal individual in groups that form for resource acquisition is described as
\[ w_i = \left[ g_n - bn^2 - z_i - (n - 1)z_i \right] \times \frac{z_i}{z_i + (n - 1)z_i} - az_i^2. \] (8)

The evolutionarily stable level of competitive effort is

\[ z_i^* = \frac{\sqrt{n + 8a(n - 1)(g_n - bn)(1 - n)} - n}{4an}. \] (9)

As in equation (7), this result shows that \( z_i^* \) can either increase or decrease with \( n \) (fig. 3A, dotted-dashed line). As before, this level of conflict will be convergence stable within the relevant range of parameter space (i.e., \( g_n > bn, n > 1 \), and \( r > 0 \)).

**Results and Discussion**

Our model has three primary results. First, social conflict (i.e., costly competitive efforts of individuals, \( z^* \)) can either increase or decrease as group size increases. Second, the relationship between group productivity and group size plays a critical role in determining the direction of this relationship (fig. 3A). Third, the proportion of (potential) group productivity expended on social conflict (i.e., \( nz^*/G_i \)) typically increases with increasing group size (fig. 3B). In general, an individual’s competitive effort will typically increase as potential per capita productivity increases with group size, but it will decrease as per capita productivity decreases with group size (fig. 3A; but see also inequality [A4] in the appendix for the general relationship). The intuition behind this result is simply that the marginal benefit of competitive behavior increases with the per capita productivity of the group as group size increases, resulting in higher incentives to engage in conflict. This relatively simple prediction of our model and the intuition behind it have important consequences for understanding social conflict in animal societies.

We explored this result further by considering three specific models for how animals benefit from living in groups that form for different reasons. For groups that form primarily to access critical resources, such as burying beetles, many cooperatively breeding birds and animals living in artificial groups (described by \( G_i \), if individuals do not produce or defend the resource socially, or by \( G_{zi} \), if resources are a more important determinant of productivity than sociality itself), per capita productivity is likely to decrease as group size increases (Koenig and Dickinson 2004). We demonstrate that in these cases, it is more likely that the evolutionarily stable level of competitive effort will decrease with increasing group size. In support of this prediction, social aggression has been shown to decrease with increasing group size in many groups of farm animals (reviewed in Andersen et al. 2004). Alternatively, if groups form primarily because of the fitness benefits associated with living socially with others per se, then we expect a hump-shaped fitness-group size relationship, as has been observed in many cooperatively breeding mammals (Solomon and French 1997) and eusocial insects (Choe and Crespi 1997). Consequently, social conflict is predicted to increase with increasing group size until group size is larger than the most productive size in these species. This relationship occurs in stenogastrine wasps, where social aggression was positively correlated with the total number and per capita number of brood (Fanelli et al. 2008), as well as in bumblebees *Bombus terrestris*, where the frequency of aggressions in both \( \alpha \)- and \( \beta \)-workers increased with increasing group size (Amsalem and Hefetz 2011).

Our results also show that observed per capita productivity, individual fitness, and potential per capita productivity still have similar relationships with group size, due to the adjustment of an individual’s competitive effort (fig. 3C, 3D). As we pointed out earlier, although potential group productivity is an important parameter in determining levels of social conflict, most empirical studies measure the observed as opposed to potential group productivity. Because our model shows that potential group productivity and observed group productivity have a qualitatively similar relationship with group size, it provides a theoretical basis for understanding the relationship between group size and social conflict when only observed group productivity is available. Finally, it is important to point out that social conflict was predicted to increase with decreasing group productivity in a previous, non-game-theoretical model (Reeve and Nonacs 1997). However, this model did not consider variation in group productivity with group size, as we have done here. Although additional empirical studies are clearly needed to test the different predicted relationships among group productivity, group size, and social conflict in social animals, our model’s predictions are consistent with empirical data from a diverse array of taxa.

Our model also predicts that a larger proportion of potential group productivity will be expended in social conflict (i.e., \( nz^*/G_i \)) as group size increases (fig. 3B), despite the fact that per capita competitive effort (\( z^* \)) might decrease (fig. 3A), simply because there are more individuals competing and therefore consuming the group resources. Both competitive effort (\( z^* \)) and standardized competitive effort (\( nz^*/G_i \)) can be viewed as measures of social conflict. We suggest that the absolute effort (e.g., the frequency of aggressions) would be a better measure for understanding the fitness consequences of social conflict in intraspecific studies where actual numbers of offspring (or resources) lost can be compared across groups. In contrast, the standardized competitive effort (e.g., the
Figure 4: Influence of genetic relatedness among group members and group size on individuals’ competitive efforts ($z'$) in $G_1$ (A), $G_2$ (B), and $G_3$ (C), and the percentage of potential group productivity expended on social conflict in $G_1$ (D), $G_2$ (E), and $G_3$ (F) potential group size–group productivity functions. Relatedness declines with $2(1 - m)s/n[(2 - m)(1 - s)] + 2(1 - m)s$, where $n$, $m$, and $s$ are the group size, migration between groups, and survivorship rates, respectively (see text). The parameters are adjusted such that when $n = 3$, the solid curve has $r = 0$, the dashed curve has $r \sim 0.1$, and the dotted curve has $r \sim 0.2$. 
percentage of eggs destroyed) is more suitable for comparative studies examining conflict resolution (i.e., the reduction of group resources expended on actual conflict) among different species (e.g., Ratnieks et al. 2006; fig. 3) because it provides a standard measure of social conflict for animals with different life histories. We envision that systems where potential group productivity is easier to measure, such as cooperatively breeding vertebrates that exhibit infanticide or egg tossing (Mumme et al. 1983; Young and Clutton-Brock 2006) or social insects that exhibit egg eating (Wenseleers and Ratnieks 2006), will be especially suitable for testing the predictions of our model and for understanding the nature of social conflict and conflict resolution.

Finally, we find that, not surprisingly, genetic relatedness can moderate the level of social conflict in a group, but even genetically related individuals will be in conflict (fig. 4A–4C). Additionally, smaller proportions of potential group productivity will be expended on social conflict among more closely related group members (fig. 4D–4F). However, relatedness does not qualitatively change the predictions of the model about how group productivity and group size influence social conflict (see appendix for the general analysis). The predictions of the model, such as how group productivity and group size influence social conflict, are qualitatively similar in groups with different average relatedness among group members (fig. 4).

In summary, determining how social conflict varies with group size is vital for understanding animal social evolution. Here we highlight the importance of identifying different group size–group productivity relationships in examining how social conflict varies with group size. Our model shows that social conflict should not be automatically assumed to increase with group size. Instead, natural selection adjusts competitive efforts in response to both group productivity and group size. To illustrate the range of expected outcomes, we present alternative scenarios for how conflict might vary with group size for groups that form for different reasons. For example, in most cooperatively breeding birds and farm animals living in artificial groups, where groups typically have limited critical resources, social conflict is predicted to decrease as group size increases. In contrast, in most social insects and cooperatively breeding mammals where groups form due to the benefits of associating with greater numbers of individuals, a positive relationship between group size and social conflict is predicted. Our model thus provides a simple but general ecological explanation for various forms of social conflict and group size relationships in a diverse array of complex animal societies. Moreover, it can be applied to a range of social phenomenon, including social stress, sibling competition, and within-group conflict in cooperative breeders and social foragers.

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**APPENDIX**

**Analysis of the General Model**

The fitness function of a focal individual, $w$, in a group of size $n$, as described in the main article, is

$$ w(z_1, z_2, \ldots, z_n) = g(z_1, z_2, \ldots, z_n, n) \frac{z_i}{\sum_{j=1}^{n} Z_j} - c(z). $$  \hfill (A1)

The evolutionarily stable level of competitive effort must then satisfy equation (2) in the main text. Since equation (2) holds for all values of $n$ and $z$, we can differentiate with respect to $n$ to obtain, after some rearrangement,

$$ \frac{\partial z^*}{\partial n} = \frac{z[A - nz(n[1 + (n - 1)r]g_{r, n} - nrc') - (1 - r)g_{s, n} - n(n - 1)(g - ng_z')r']}{n[1 + (n - 1)r](nz[(n - 1)g_{r, n} + z'[g_{r, z'} + (n - 1)g_{s, z'} - ncr]] - g(n - 1))}, \hfill (A2) $$

where $A = g[n(n + 1) - 2]r - (n - 2)] + g_{n}(n - 1)(1 - r)$ and the subscripts on $g$ denote the partial derivatives with respect to the variables in the subscripts. Additionally, we have taken advantage of the assumption that the group productivity is symmetric in all $z$. Note that we allow the scaled relatedness $r$ to be a function of the group size.

Given the assumptions described in the main text, all the terms in the denominator of $\partial z^*/\partial n$, except for the one involving $g_{r, z'}$, are negative. For now, assume that $g_{r, z'}$ is small enough that the whole denominator is negative. In this case, the sign of $\partial z^*/\partial n$, which represents whether social conflict will increase or decrease with group size, will be
determined by its numerator. Since we are interested in how per capita productivity \((g/n)\) influences an individual’s competitive effort, we rearrange the numerator of \(\partial z^*/\partial n\) to obtain

\[
\frac{\partial z^*}{\partial n} > 0, \text{ if } \frac{\partial (g/n)}{\partial n} > \frac{g([n^2 - 1]r + 1) + nz^*(n([1 + (n - 1)r]g_{n-1} - nrc^*) - (1 - r)g_n) - n(n - 1)(g - ng_zzzi^*)r'}{1 - r(n - 1)n^2}. \tag{A3}
\]

Inequality (A3) simplifies, if one considers interactions among unrelated individuals (i.e., where the evolutionarily stable level of competitive effort is expected to be highest), to become

\[
\frac{\partial z^*}{\partial n} > 0, \text{ if } \frac{\partial (g/n)}{\partial n} > \frac{g(n - 2) + nz_{g_n} - nz_{g_{n-1}}^*}{n(n - 1)}. \tag{A4}
\]

These inequalities suggest that faster increases (or slower decreases) of per capita productivity with group size tend to promote a concomitant increase in social conflict. This occurs because condition (A2) becomes easier to satisfy. However, when the denominator in (A2) becomes positive, the inequality in condition (A4) will be reversed, meaning that faster increases in per capita productivity will promote a slower increase (or decrease) in social conflict. Moreover, if the marginal decrease in group productivity with competitive effort is a sufficiently fast decreasing function of others’ competitive efforts (i.e., a high enough cross derivative \(g_{n-1}zzi\)), then inequality (A4) can be reversed. This might happen when small total competitive efforts decrease group productivity so much that later competition cannot decrease it further (i.e., if group productivity requires a very low level of discord). In such a case, many of the relations considered here would also be reversed. Because there is little data available on how individuals’ competitive interactions interact with each other in reducing group productivity, more empirical effort on this issue is needed.

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